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### Evaluating the use and effectiveness of environmental enrichments in intensive broiler housing

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# **Evaluating the use and effectiveness of environmental enrichments in intensive broiler housing**

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A thesis submitted for the degree of Doctor of Philosophy in the Institute for Global  
Food Security

School of Biological Sciences, Queens University Belfast

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To Peter, for your love. These years have been worth it for that alone.

Lastly, to the broilers that sat on my feet and kept me company while I worked, I hope this helps.

“The worst sin towards our fellow creatures is not to hate them, but to be indifferent to them: that's the essence of inhumanity.”

~ George Bernard Shaw, *The Devil's Disciple*

### **List of publications from thesis**

- Baxter, M., Bailie, C. L., and O'Connell, N. E. (2017). An evaluation of potential dustbathing substrates for commercial broiler chickens. *Animal*. Advanced online publication. <https://doi.org/10.1017/S1751731117003408>
- Baxter, M., Bailie, C. L., & O'Connell, N. E. (2018). Evaluation of a dustbathing substrate and straw bales as environmental enrichments in commercial broiler housing. *Applied Animal Behaviour Science*, 200, 78-85.  
<http://dx.doi.org/10.1016/j.applanim.2017.11.010>
- Baxter, M., Bailie, C. L., and O'Connell, N. E. (accepted). Play behaviour, fear responses and activity levels in commercial broiler chickens provided with preferred environmental enrichments. *Animal*.
- Baxter, M. and O'Connell, N. E. (under review). Do commercial broiler chickens use environmental enrichments differently when they are grouped together rather than provided singly?
- Baxter, M., Bailie, C. L., and O'Connell, N. E. (2017). Comparison of foraging and dustbathing enrichments for commercial broiler chickens. *British Poultry Abstracts Vol. 13: WPSA Annual Spring Meeting UK Branch, 2017*. Abingdon, UK: Taylor & Francis, pp. 1-39.
- Baxter, M. and O'Connell, N. E. (2016). Commercial comparison of potential dustbathing substrates for intensively farmed broilers. *Book of abstracts of the 67th Annual Meeting of the European Association for Animal Production. EAAP Scientific Community (Eds)*. The Netherlands: Wageningen Academic Publishers, pp. 398.

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- Bailie, C. L., Baxter, M., and O'Connell, N. E. (2018). Exploring perching provision options for commercial broiler chickens. *Applied Animal Behaviour Science*, 200, 78-85. [doi.org/10.1016/j.applanim.2017.12.007](https://doi.org/10.1016/j.applanim.2017.12.007).

## Abstract

The main aim of this research was to determine whether broiler welfare would be improved by the addition of a dustbathing material to commercial housing. An initial comparison of potential dustbathing materials in Study 1 found an expected preference for peat, however oat hulls also appeared to satisfy broilers motivation to dustbathe and proved considerably more attractive than straw pellets, woodshavings and litter. In Study 2, dust baths of oat hulls were introduced to commercial housing as an alternative or supplementary enrichment to straw bales. Houses containing oat hulls were compared with those containing straw bales, a combination of straw bales and oat hulls, or no enrichment. Although there was no effect of any enrichment condition on house activity levels, there was an improvement in gait score in broilers housed with both oat hulls and a combination of oat hulls and straw bales. Oat hulls were more successful than straw bales at directly stimulating active foraging and dustbathing behaviours, however the bales appeared to provide birds with a valuable resting area and were dismantled throughout the trial. There was also no negative impact of these enrichments on environmental parameters or production levels, including bird body weight. With oat hulls appearing to be a suitable supplementary enrichment, there was interest in knowing how best to present multiple enrichments. Therefore, in Study 3, oat hulls, pecking chain and straw bales were presented singly or arranged into various combinations around a commercial house. The number of broilers attracted to the enrichment areas and the level of engagement with each enrichment type was monitored. There was little effect of grouping enrichments on their level of use, and placing straw bales around oat hulls did not influence the amount of dustbathing and comfort behaviours observed. In fact, there appeared to be practical benefits to distributing enrichments around the house. Study 4 was designed to explore the effects of environmental enrichment on broiler experience and mental well-being. Frequency of stimulated play behaviours and strength of fear responses were compared in houses containing no enrichment, platform perches, and platform perches with peat dust baths. Although no difference in play behaviours was found between treatments, the method of stimulating play described may prove useful in further examining the relevance of these behaviours. Fearfulness appeared to be mitigated in houses containing dust baths, which suggests providing broilers

33 with the opportunity to dustbathe may influence their mental state in commercial  
34 housing.

35 This thesis has provided an original contribution to animal welfare research by  
36 studying the potential benefits of providing a dustbathing enrichment to commercial  
37 broiler chickens, and by describing a novel method of stimulating frolicking and  
38 sparring behaviours which may be useful in further understanding play in poultry.

39 This research has also highlighted the need for more commercial scale research for  
40 broiler chickens, for example a higher interest in a pecking enrichment was observed  
41 in this thesis than has been reported previously. Oat hulls, which are a by-product of  
42 oat milling, are suggested as a suitable dustbathing material for broilers in intensive  
43 housing. Further research exploring the most efficient ways of presenting and  
44 maintaining oat hulls in a commercial house would be useful, and an assessment of  
45 their effect on dust levels would be needed to ensure no risk to farm workers.

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Progression of research

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Chapter Two (Study One)																										
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# Chapter One

## Introduction

### 1.1 Attitudes to farm animal welfare

An increase in public awareness of environmental and animal welfare issues is usually attributed to the publication of two 20<sup>th</sup> century books, *Silent Spring* by Rachel Carson (1962) and *Animal Machines* by Ruth Harrison (1964). With a larger proportion of the population living in towns after the war and with less insight into farming practices, these books had international impact and a UK government committee was immediately set up to investigate farming standards (Appleby, 2003; Keeling, 2005). The committee published the Brambell Report in 1965 which confirmed that animal welfare in intensive systems was regularly compromised. The recommendations that were published from this report are a stark confirmation of the severe failure of intensive housing to provide an adequate environment at the time; the report stated that “*an animal should at least have sufficient freedom of movement to be able without difficulty, to turn round, groom itself, get up, lie down and stretch its limbs*”. Following this report, the independent Farm Animal Welfare Council (later the Farm Animal Welfare Committee; FAWC) was established to advise the government. FAWC built on the Brambell Report and developed the internationally acknowledged ‘Five Freedoms’, which outlined the minimum requirements for farmed animals (FAWC 1992):

1. **Freedom from Hunger and Thirst** - by ready access to fresh water and a diet to maintain full health and vigour.
2. **Freedom from Discomfort** - by providing an appropriate environment including shelter and a comfortable resting area.

- 209       3. **Freedom from Pain, Injury or Disease** - by prevention or rapid diagnosis  
210             and treatment.
- 211       4. **Freedom to Express Normal Behaviour** - by providing sufficient space,  
212             proper facilities and company of the animal's own kind.
- 213       5. **Freedom from Fear and Distress** - by ensuring conditions and treatment  
214             which avoid mental suffering.

215   The Five Freedoms are not without criticism, largely because they focus heavily on  
216   reducing suffering rather than providing animals with ‘a life worth living’ (Yeates  
217   and Main, 2008; FAWC, 2009; McCulloch, 2013). However, they have been used  
218   extensively to identify problems in intensive systems and are the basis for common  
219   welfare regulations. Since the Brambell Report, there have been improvements to  
220   various aspects of intensive farming in the UK, for example in space allowances,  
221   housing conditions and feeding strategies. Nevertheless, considerable welfare issues  
222   remain and animal welfare continues to be of interest to the general public. An EU  
223   survey in 2006 found that when asked to score the importance of animal welfare on a  
224   scale of 1-10, the average score from all respondents was 7.8 (EU Commission,  
225   2006). When a similar survey was repeated in 2015, asking respondents if they felt  
226   protecting the welfare of farmed animals was important, 94% of respondents said  
227   that it was (EU Commission, 2015).

228   Although the UK has often led the way in making improvements to farming systems,  
229   a plateau in these advances has been reported (FAWC, 2009). The UK has resisted  
230   “gold-plating” EU legislation and there has been a stalling on improvements to well-  
231   established issues, such as lameness in dairy cows and broilers, slaughter without  
232   stunning, and stocking densities. There also remains persistent reliance on legal  
233   mutilations in some cases, and an absence of sufficient labelling schemes to allow  
234   consumers to choose higher welfare options (FAWC, 2009). The UK is now in a  
235   novel position of being able to re-write the majority of its laws following its  
236   imminent departure from the European Union. Approximately 80% of UK laws  
237   related to animal welfare originate from the EU (UK Parliament, 2017), and an  
238   RSPCA survey found that 81% of those polled would want these laws to be  
239   improved or stay the same after Brexit (RSPCA, 2017a). Although Defra’s Secretary  
240   of State recently said that the highest standards of welfare would be a “unique selling

241 point” for the UK, there remains considerable confusion. A 2017 parliamentary  
242 briefing perhaps describes it best:

243 *“The terms of the Brexit negotiations will go a long way towards*  
244 *determining what animal welfare protections are adopted, amended or*  
245 *discarded. This may lead to the same, stronger or weaker regulations*  
246 *than those currently agreed. The Prime Minister has recently ruled out*  
247 *the possibility that the UK would remain a member of the Single*  
248 *Market, meaning EU legislation will cease to have effect after the UK*  
249 *formally leaves the EU. But considerable uncertainty still remains. So*  
250 *while nearly everyone believes Brexit offers an opportunity to change*  
251 *the system, no one can agree precisely how.” (UK Parliament, 2017)*

## 252 1.2 Public perception of broilers

253 A recent increase in awareness of farmed poultry has almost exclusively been  
254 directed towards laying hens, with largescale campaigns to end battery cage systems  
255 spreading internationally. The imagery of caged hens proved successful in causing  
256 public outrage and an EU directive (Council Directive 1999/74/EC) was issued that  
257 no new cage systems could be built after 2003, and any existing cages should be  
258 replaced with furnished cages or an alternative system by 2012. In the UK, there was  
259 a sustained increase in demand for free range eggs, with the percentage of eggs  
260 coming from free range systems increasing from 10% in 1996 to 48% in 2016  
261 (Defra, 2017). Comparatively, there is widespread misunderstanding of the source of  
262 chicken meat and the welfare concerns in broiler chicken production. An EU report  
263 (EU Commission, 2000) on the welfare of broilers confirmed that there was less  
264 consumer sensitivity to broiler welfare, due to at least three main reasons: 1) they  
265 lacked a clear “symbol” of mistreatment (such as cages), 2) welfare scientists are  
266 only able to suggest relative improvements to their welfare, for example reducing  
267 growth rate, rather than provide a binary “with/without” solution, and 3) there is a  
268 general misunderstanding about what a broiler is and what the issues are, for  
269 example many people believe broilers are kept in cages.

270 Research has frequently reported that consumers have very little knowledge of what  
271 broilers are or how they are raised, and experience shock when presented with the  
272 reality of intensive broiler housing (Köhler, 1999; Hall and Sandilands, 2007).  
273 Demand for clearer labelling systems have been made from research scientists (e.g.  
274 de Jonge and van Trijp, 2013; Heerwagen et al., 2015), the Farm Animal Welfare  
275 Committee (FAWC, 2006) and EU reports (EU Commission, 2009), however  
276 confusing labelling systems remain a problem and are likely to limit our  
277 understanding of consumer demand for higher welfare. There is a willingness to pay  
278 more for high welfare products (Mayfield et al., 2007), however retailers and  
279 supermarkets use animal welfare as a method of differentiating their product, and as  
280 such they have a substantial amount of control over the standards that suppliers  
281 adhere to. Through advertising, promotions and shelf space for high welfare  
282 products, they can heavily influence consumer purchasing behaviour and production  
283 practices (Vanhonacker and Verbeke, 2014).

### 284 1.3 Broiler chickens

285 In many ways, broiler chicken production is a triumph of modern science, allowing a  
286 luxury item to be transformed into a staple of modern diets (Clarke, 2014).  
287 Historically, chicken flock sizes were small and birds would lay eggs for several  
288 years before being killed for meat. An increase in demand for cheap food and the end  
289 of feed rationing after WWII revived the UK's struggling poultry sector, and imports  
290 of specialised "broiler" or "grilling" chickens from America in the 1950s saw a  
291 divergence begin between egg layers and broiler chickens. For broiler chickens,  
292 there was a focus on meat yield, meat quality, growth rate and feed conversion  
293 efficiency. In the 1960s, a broiler chicken would reach its slaughter weight of 2kg at  
294 63 days with a feed conversion ratio of 2.5. Modern broilers now reach 2kg in  
295 around 34 days, with a feed conversion ratio of 1.5 (Aviagen, 2014).

296 Broiler housing has also changed dramatically, with technological advances paving  
297 the way for an increase in intensification. Flock sizes are now in the tens of  
298 thousands, with widely used automated systems that control lighting, temperature  
299 and humidity. Broiler sheds are typically large metal-framed structures with concrete  
300 floors and walls, and several feeder and drinker lines that supply food and water *ad*

301 *libitum*. Woodshavings, or another form of litter, are provided in a moderately deep  
302 bed from day 0 and not changed throughout the production cycle. Advanced  
303 biosecurity measures and “all in, all out” production systems have controlled the risk  
304 of disease, and monitoring systems are in place in slaughter houses to detect  
305 heightened damage to carcasses which can indicate housing or transport issues  
306 (Haslam et al., 2008; Cox and Pavic, 2010). This increase in efficiency and  
307 intensification has led to an exponential increase in the number of broilers raised in  
308 the UK and globally. There are over 828 million broilers slaughtered in the UK  
309 alone, a figure that has nearly doubled since the 1990s (Defra, 2017). In 2014, there  
310 were a staggering 62 billion broilers slaughtered worldwide (FAOstat, 2014).

311 Although some would argue that there are benefits to intensive systems, this method  
312 of broiler production has come at a clear cost to bird welfare (Bessei, 2006). Broilers  
313 early growth rate and high body weight are directly linked to a susceptibility for  
314 metabolic and skeletal disorders, and birds show a marked and abnormal reduction in  
315 locomotor behaviour. Contact dermatitis is prevalent in houses with poor litter  
316 quality, and management measures such as high stocking densities and lighting  
317 regimes have been criticised for their effect on bird welfare. The housing itself also  
318 offers little stimulation to broilers which is likely to compound their low activity  
319 levels and cause boredom and frustration (Newberry, 1995, 1999; Bessei, 2006).  
320 Although broiler welfare is becoming a more common research topic, there remains  
321 a large body of work focusing on laying hen welfare, which is often difficult to  
322 extrapolate to broilers due to their substantial morphological and behavioural  
323 differences.

#### 324 1.4 Broiler welfare concerns

325 Animal welfare is a word that came from society rather than from science (Duncan,  
326 2005), and debate still exists on its most appropriate scientific definition. The  
327 common meaning of animal welfare is that it concerns the physical and mental well-  
328 being of an animal, with those two criteria being assigned varying importance  
329 (Duncan and Petherick, 1991; Dawkins, 2004; Duncan, 2005). The 2007 EU  
330 Directive, which came into force in 2010, is the most recent legislation passed for the  
331 protection of chickens reared for meat (Council Directive 2007/43/EC). The



332 directive largely governs aspects of the birds environment, such as lighting, stocking  
333 density and litter requirements. Management practices, such as twice daily house  
334 inspections and personnel training, were also included. No additional UK legislation  
335 has been passed concerning broiler welfare, however welfare assurance schemes  
336 (e.g. RSPCA, 2017b) and certain retailers (e.g. M&S, 2015) have additional  
337 requirements.

#### 338 1.4.1 Lameness

339 Leg weakness in broilers has been described for several decades as a problem  
340 associated with selection for high productivity traits (Mercer and Hill, 1984).  
341 However, the issue remains relatively widespread. Despite alterations to commercial  
342 breeding programmes that have improved the incidence of lameness (the disabling  
343 form of leg weakness) over the past 20 years (Kapell et al., 2012), these advances are  
344 likely to be limited by the link between leg health, body weight and growth rate  
345 (Bessei, 2006). A 2008 survey (Knowles et al., 2008) of over 50% of UK broiler  
346 flocks found that 98% of broilers had some detectable gait abnormality by the time  
347 they reached slaughter weight, and 28% had a gait score of 3 or above which  
348 indicates lameness (on a scale of 0-5, where 0 is completely normal and 5 is unable  
349 to stand; Kestin et al., 1992). A 2013 investigation of intensive broiler houses in the  
350 UK, the Netherlands, France and Italy found an average of 15.6% of birds with gait  
351 scores of  $\geq 3$ , with a wide variation between flocks (5 and 95% quartile: 0.5 and 52%  
352 respectively; Bassler et al., 2013). More recent studies of Norwegian broiler flocks  
353 have found the average birds with gait scores of  $\geq 3$  to be 25% (Kittelsson et al., 2017)  
354 and 19% (Vasdal et al., 2018). However, smaller trials do report significantly lower  
355 average gait scores (e.g. Silvera et al., 2017), which is likely to be due to large  
356 variation between farms and the subjective nature of gait scoring (Dawkins et al.,  
357 2004; EU Commission, 2000).

358 Leg disorders are considered to be a major cause of poor welfare in modern broilers  
359 (EU Commission, 2000), compromising both bird health and mental well-being.  
360 Lamé birds show a reduction in walking, standing and performing behaviours while  
361 upright (Weeks et al. 2000). Extremely lame birds are likely to have difficulty  
362 reaching feeders and drinkers, which are increasingly raised over the production

363 cycle (Butterworth et al., 2002), resulting in poor performance or risk of starvation  
364 and dehydration. Lameness is also assumed to be a painful condition. Broilers  
365 possess the necessary nociceptors and behavioural responses to noxious stimuli that  
366 indicate their ability to feel and experience pain (Gentle and Hill, 1987; Gentle,  
367 2011). Broilers will also show an improvement in walking ability and speed when  
368 given analgesics (McGeown et al., 1999, Caplen et al., 2013), which is a common  
369 method of indirectly measuring an animal's pain status. However, studies  
370 investigating whether lameness is painful for broilers differ significantly in their  
371 analgesic strategies, methodology and outcome measures, which makes conclusions  
372 difficult. Danbury et al. (2000) found that lame broilers will self-select feed  
373 containing analgesics more than their healthy counterparts and show improved  
374 walking ability. However, with a substantially different methodology that did not  
375 involve training birds to differentiate feed by colour, Siegel et al. (2011) found no  
376 effect of lameness on dosed feed intake.

377 There is also a wide variation in dosing levels in analgesic studies. For example,  
378 while birds given 4 mg/kg of the NSAID carprofen showed no improvement in gait  
379 (Corr et al., 2007), others given 25 mg/kg showed an increase in motility, albeit with  
380 observable instability (Caplen et al., 2013). Increasing the dosage again to 35 mg/kg  
381 did not allow increased walking speed, probably because of the previously noted  
382 instability, but birds were able to stand for longer in a water bath (Hothersall et al.  
383 2016). Similarly, while one trial found that lame broilers were able to complete an  
384 obstacle course faster once they had been injected with 2 mg/kg<sup>-1</sup> of the opioid  
385 butorphanol (Singh et al. 2017), another found that doubling the dosage to 4 mg/kg<sup>-1</sup>  
386 of butorphanol had a soporific effect and increased the time it took a lame bird to  
387 complete an obstacle course (Hothersall et al. 2016).

388 Although this research is as yet inconclusive, lame broilers do show considerable  
389 behavioural changes and gait adjustments. It is likely that future research will clarify  
390 our understanding of lameness induced pain in broilers.

#### 391 1.4.1.1 *Causes of lameness*

392 The causes of broiler lameness can generally be placed into three non-mutually  
393 exclusive categories: infectious, developmental and degenerative (Bradshaw et al.,

2002). Infectious causes are thought to be the most common cause of lameness, with skeletal deformities accounting for the majority of remaining cases (Butterworth, 1999). Infectious causes include bacterial chondronecrosis with osteomyelitis, synovitis, and infectious stunting (EU Commission, 2000; Bradshaw et al., 2002). The main developmental disorders are characterised by angular deformities in the long bones, usually caused by improper bone or cartilage formation, including valgus/varus deformities and dyschondroplasia. These deformities can affect broiler walking ability and cause secondary soft tissue pathologies (EU Commission, 2000). Degenerative disorders are usually more apparent in older birds, and include osteochondrosis (usually tibial dyschondroplasia), degenerative joint disease, spontaneous rupture of gastrocnemius tendons, and epiphyseolysis of the femoral head (Bradshaw et al., 2002).

Bacterial chondronecrosis (BCO) is the most common form of infectious lameness, with reports suggesting that over 1% of birds raised in conventional systems will be affected (Wideman, 2015), which would have equated to around 8.3 million birds in the UK in 2016. Broilers high growth rate and rapid increase in body weight puts mechanical stress on their immature skeletons which can cause microfractures that are colonised by opportunistic bacteria, usually *S. aureus* (Butterworth, 1999; Wideman, 2015). Inactivity and long periods of sitting may also interfere with blood flow and prevent proper cartilage development, increasing the risk of BCO (Wideman, 2015). Inaccessible for the bird's immune system, this infection leads to abscess formation and necrosis of cartilage and bone tissue (Butterworth, 1999). Birds will rapidly become lame and typically die within 2-5 days of outward signs of infection, which can include using wings for support when moving, vocalisations if joints are manipulated, a weak response to external stimuli, and sharp reduction in feed and water intake (McNamee and Smyth, 2000). Bacterial and viral agents can also cause synovitis (arthritis), which is inflammation and swelling of joints, and infectious stunting which prevents proper nutrient absorption leading to stunted growth and malnutrition (EU Commission, 2000).

Developmental disorders in broilers can largely be attributed to some combination of their growth rate, genetics, conformation, inactivity, nutrition and intensive management practices (Bradshaw et al., 2002). Varus/valgus deformities and tibial

dyschondroplasia are the most prevalent developmental disorders (EU Commission, 2000). Varus and valgus deformities are characterised by inwards or outwards angulation of the lower part of the leg (Julian, 1984), while tibial dyschondroplasia occurs when there is an abnormal build-up of uncalcified chondrocytes in the growth plate, resulting in improper bone formation. These lesions can lead to either a fracture in the growth plate or the development of an abnormal tibial plateau angle, resulting in varus or valgus deformities (EU Commission, 2000; Bradshaw et al., 2002). A strong correlation between increased growth rate and varus/valgus deformities has been found in several studies (Mercer and Hill, 1984; Akbas et al., 2009; Shim et al., 2012) although the literature is inconsistent (Le Bihan-Duval et al., 1996; Bradshaw et al., 2002; Rekaya et al., 2013). Similarly, tibial dyschondroplasia can be increased with genetic selection (Yalçın et al., 2000) and strong genetic connections with growth rate have been reported (Sorensen, 1992; Bradshaw et al., 2002). Kestin et al. (1992) managed to almost eliminate leg problems in broilers by random breeding, despite housing the birds using typical intensive management practices.

However, genetic factors do not appear to be solely responsible for the development of leg problems in broilers. Weak correlations between bone quality and leg disorders (González-Cerón et al., 2015a), and reports of low to moderate heritability for these conditions (e.g. Rekaya et al., 2013; González-Cerón et al., 2015b) points to the impact of environmental factors. Shorter dark periods (Bassler et al., 2013), litter moisture (Dawkins et al., 2004), early hatching (Groves and Muir, 2017), and nutrition (Waldenstedt, 2006) have all been linked with the development of non-infectious leg disorders. Forcing birds to exercise also has a positive effect on leg health (Thorp and Duff, 1988; Reiter and Bessei, 1995) and there is potential for environmental enrichment to improve tibial dyschondroplasia (Kaukonen et al., 2017a), bone quality and walking ability (Bizeray et al., 2002a). Abnormal gaits and lameness can also occur in broilers with no obvious disorder or injury (Julian, 1998). Broilers have been selected for increased breast muscle, or pectoral hypertrophy, which now equates to 18% of their body mass, compared to 9% in a less selected heritage line (Schmidt et al., 2009). This has displaced their centre of gravity forwards and made birds unstable, leading to compensatory gait modifications. Broilers have a wide stance, a short stride length and exaggerated lateral motions,

459 resulting in a stereotypical waddle (Reiter and Bessei, 1997; Corr et al., 2003;  
460 Caplen et al., 2012). It can therefore be difficult to determine whether a broiler's gait  
461 is primarily influenced by its morphology, by discomfort and pain, or by both.

#### 462 1.4.1.2 *Measuring lameness*

463 Gait scoring is frequently used to assess broiler walking ability, benefiting from  
464 being inexpensive and practical. In the commonly used Bristol gait score (Kestin et  
465 al., 1992), broilers are scored on a scale of 0 to 5, where 0 indicates a normal gait  
466 with no detectable abnormality and a score of 5 is given when a bird cannot stand.  
467 Kestin et al. (1999) suggested that birds with a gait score of 3 and above should be  
468 considered likely to suffer from chronic pain or discomfort associated with their  
469 immobility, an approach which has been widely adopted. The relationship between  
470 gait score and underlying pathology is not well understood (Bradshaw et al., 2002).  
471 Lamé birds with a gait score of 3 and above were found to have a number of  
472 pathologies not seen in sound birds, particularly bacterial chondronecrosis with  
473 osteomyelitis (McNamee et al., 1998; Butterworth et al., 2001). While some studies  
474 have found an association between lameness and tibial dyschondroplasia  
475 (Vestergaard and Sanotra, 1999), others have not (Garner et al., 2002). However,  
476 broilers with a high gait score but no apparent pain inducing pathology may still  
477 suffer from an inability to reach feeders and drinkers and perform normal behaviours  
478 (Bradshaw et al., 2002).

479 Although gait scoring can be easily applied on-farm and requires little equipment  
480 and training, it has been criticised for its subjectivity and lack of inter-rater reliability  
481 (EU Commission, 2000). Efforts to improve the Bristol method have been made both  
482 by increasing the level of detail (Garner et al., 2002) and by collapsing categories to  
483 simplify the scale (Webster et al., 2008). The Modified Gait Scoring Method was  
484 developed in an attempt to reduce error between studies (Garner et al., 2002). This  
485 gait score retains the 0-5 scale but includes more specific detail, including time limits  
486 for recognising abnormalities, and has slightly higher test-retest and inter-rater  
487 reliability than the Bristol gait scoring method (Garner et al. 2002). An alternative to  
488 gait scoring, "latency to lie", has also been developed and can be applied practically  
489 on farms (Weeks et al., 2002). Latency to lie involves placing broilers in a shallow

490 bath of water and measuring the time it takes them to sit, producing a more objective  
491 measure of leg health. The assumption is that water is aversive to broilers and those  
492 capable of holding their body weight will stay standing for longer, with birds  
493 experiencing pain or unable to stay upright sitting down faster. There was a strong  
494 inverse relationship between gait score and latency to lie, and it may be a more  
495 sensitive test of the bird's experience of lameness.

496 Advances in automated ways of measuring walking ability have removed the  
497 problem of inter-related reliability, however they are largely restricted to providing  
498 detailed information on the walking patterns of birds in a laboratory setting. Broiler  
499 gait analysis has been performed using video tracking, pressure plates and infra-red  
500 motion detection (Corr et al., 2007; Caplen et al., 2012). Links have also been found  
501 between gait score and automated video analysis of lying bouts and latency to lie  
502 (Aydin et al., 2015). This technology requires the separation and classification of  
503 individual birds, which makes it unusable in a commercial environment. However,  
504 techniques that allow for "crowd" analysis such as optical flow (Dawkins et al.,  
505 2009) and flock movement away from approaching humans (Silvera et al., 2017),  
506 have more potential for on-farm use.

#### 507 1.4.2 Contact Dermatitis

508 Extended periods of contact with poor quality litter can cause various types of  
509 contact dermatitis in poultry, affecting the feet (footpad or podo- dermatitis), hocks  
510 (hock burn) and breast (breast burn). The high litter moisture, and chemical burning  
511 effect of ammonia from urea, can damage the skin and cause ulceration and lesions  
512 (Dawkins et al., 2004; Haslam et al., 2007). Leaks from drinker lines can also rapidly  
513 worsen litter quality in the house, and wet litter alone without excreta has been  
514 shown to cause fully developed lesions (Mayne et al., 2007). A 2007 survey (Haslam  
515 et al., 2007) of 206 UK flocks, raised through 4 major UK broiler companies, found  
516 the prevalence of breast burn to be generally low, with an average of 0.002%  
517 (ranging from 0 to 0.12%), and hock burn prevalence to range from 0 to 33%, with  
518 an average of 1.29%. Footpad dermatitis was the most common condition, with an  
519 average of 11%, ranging from 0 to 72%. The extent of the problem was highlighted  
520 in a recent study of 53 UK flocks (on one farm; Dawkins et al., 2017), which found

521 the prevalence of footpad dermatitis to be 51.6% (SD 23.4) and of hockburn to be  
522 20.5% (SD 16.4). These lesions are assumed to be painful depending on their  
523 severity (Gentle et al., 2001; Gentle, 2011), contribute to bird lameness, and  
524 represent a significant reduction in bird welfare and production (Martland, 1985; de  
525 Jong et al., 2014).

### 526 1.4.3 Inactivity

527 The relationship between inactivity and broiler leg health appears to be circular, with  
528 low activity contributing to leg weakness, and painful leg disorders in turn reducing  
529 locomotion. Lamé broilers will spend up to 86% of their time sitting down by  
530 slaughter weight, limited by their disability and the pain of moving (Weeks et al.,  
531 2000). However, broilers with no obvious signs of lameness will still spend 76% of  
532 their time sitting down by 6 weeks of age (Weeks et al., 2000). This is an extreme  
533 departure from their red junglefowl ancestors who spend the majority of their time  
534 performing active behaviours and foraging (Dawkins, 1989; Schütz and Jensen,  
535 2001). Selection for rapid growth rates and high body weight are both associated  
536 with a reduction in active behaviours and increase in leg disorders (Bizeray et al.,  
537 2000; Kestin et al., 2001). Young broilers given an artificially high body weight  
538 showed defective long bone formation after 4 days (Reich et al., 2005). It is also  
539 likely to require more energy to move when birds are heavier; older broilers have  
540 larger thigh muscles and feet which implies that the energetic cost of swinging their  
541 legs increases (Paxton et al., 2014). Broilers will walk further when part of their  
542 body weight is alleviated using harnesses, and artificially placing more weight on  
543 slow growing broilers leads to a reduction in locomotion (Rutten et al., 2002; Đukić-  
544 Stojčić and Bessei, 2011).

545 In humans, hypoactivity prevents proper development of the musculoskeletal system,  
546 reduces bone mass and is a risk factor for osteoporosis (Rittweger et al., 2005;  
547 Pinheiro et al., 2009). The same is ostensibly true for chickens. Caged broilers  
548 showed significantly reduced bone mass and quality compared to those able to move  
549 around (Aguado et al., 2015), and it is thought that prolonged periods of sitting can  
550 cause an interruption of blood flow to vascularised bones and joints, preventing  
551 normal development and maturation (Wideman, 2015). When young broilers are

552 forced to exercise, there is a reduction in leg disorders when they are older (Reiter  
553 and Bessei, 1995). Making broilers walk further to reach feeders and drinkers  
554 (Bizeray et al., 2002a,b), giving broilers space to range (Fanatico et al., 2005; Stadig  
555 et al., 2017) and providing perches (Tablante et al., 2003; Ventura et al., 2010) has  
556 had some mixed success in improving leg condition. Although broilers appear to be  
557 capable of moving further than they would choose to, suggesting an additional  
558 motivational component (Reiter and Bessei, 1994, cited by Bessei, 2006; Reiter and  
559 Bessei, 1995), encouraging locomotion in commercial housing has proved difficult.

#### 560 1.4.3.1 *Motivation*

561 A lack of motivation to move around and forage may further explain the dramatic  
562 lack of activity seen in even very young broilers (Bizeray et al., 2000). Foraging in  
563 domestic fowl is an example of contrafreeloading, which describes a feeding strategy  
564 whereby animals will choose to work for food even though a source of identical food  
565 is freely available (Osborne, 1977). For example, rats will continue to press a lever  
566 that delivers a food pellet even when a bowl of “free” food pellets is placed in their  
567 enclosure (Jensen, 1963). In natural conditions, this strategy is believed to be an  
568 adaptive means of allowing animals to gather information about their environment,  
569 with this expenditure in energy being offset by the benefit of identifying novel food  
570 sources (Inglis et al., 1997). Although this adaptive behaviour remains present in  
571 domesticated animals, there appears to be a negative relationship between  
572 contrafreeloading and selection for high production traits. When red junglefowl are  
573 offered either an easy box of chicken feed, or a box of feed mixed with  
574 woodshavings, they will consume approximately 33% from the easy box and 67%  
575 from the feed mixed with woodshavings (Schütz and Jensen, 2001); a choice which  
576 requires them to search, scratch and separate the food rather than simply eating.  
577 However, in the same situation, laying hens will choose to obtain 15% of their food  
578 from the mixed box, while broilers will eat only 5% of their food from the mixed  
579 box (Lindqvist et al., 2006).

580 Broilers clearly will spend little effort exploring for food, an activity that occupies  
581 the majority of their ancestors’ time budget. In one study of broiler behaviour,  
582 foraging was only observed in 3% of observations, compared to 90% in red



583 junglefowl (Dawkins, 1989; Weeks et al., 2000). This departure from normal  
584 behaviour patterns can be, in part, explained by broilers morphology and  
585 susceptibility to painful skeletal conditions (Bessei, 2006). However, the resource  
586 allocation theory offers a further motivational explanation (Beilharz et al., 1993;  
587 Schütz and Jensen, 2001). This theory suggests that animals have a limited amount  
588 of resources that are allocated to different energy consuming life processes, for  
589 example reproduction, immune defence, food gathering etc. In selectively bred  
590 animals, energy is artificially reassigned to production traits. For broilers, energy that  
591 would have been allocated to extended periods of exploration has been redistributed  
592 to growth and muscle development. This absence of energy available for  
593 contrafreeloading may be responsible for broilers lack of motivation to move and  
594 contribute to their prolonged periods of sitting inactive. Evidence for this theory has  
595 been provided by studies that showed birds selected for poor feed conversion  
596 efficiency are more active than those selected for high feed conversion efficiency  
597 (Braastad and Katle, 1989; Schütz and Jensen, 2001). However, it is generally  
598 accepted that you cannot eliminate a behaviour through breeding, but rather you can  
599 increase the threshold before that behaviour will be performed (Hale, 1962 and Price,  
600 1998; cited by Schütz and Jensen, 2001). Methods of bringing the threshold for  
601 exploration within reach may include reducing the energy required for broilers to  
602 move, reducing pathologies that cause moving to be an aversive painful experience,  
603 and providing a complex environment that stimulates birds to explore.

#### 604 1.4.4 Fear

605 Fear is an adaptive behaviour system that has evolved as a means for animals to  
606 survive in dangerous environments (Misslin, 2003). As a response to potential or  
607 actual threats, particularly predation, animals display innate survival strategies.  
608 These include fight or flight, avoidance behaviours, tonic immobility, and  
609 submissive postures. However, while fear plays a vital role in animal survival, its  
610 persistence in domesticated animals that are largely protected from actual threats can  
611 be harmful. Domestic fowl are less fearful than their ancestors (Campler et al.,  
612 2009), as an intentional or unintentional consequence of domestication, and broilers  
613 show less vigorous fear responses than laying hens (Keer-Keer et al., 1996).  
614 However, broilers can still display extreme escape reactions, which can cause birds

615 to pile on top of one another or run into obstacles, risking suffocation or serious  
616 injury (Mills and Faure, 1990; Jones, 1996). While serious injuries can cause chronic  
617 pain, milder injuries such as scratches and bruising can also increase the risk of  
618 infection, and increase the incidence of carcass downgrading at slaughter. Increased  
619 fearfulness in broilers has also been associated with a reduction in feed conversion  
620 efficiency, productivity, growth rate, and immune response, and an increase in  
621 mortality in young broilers (Hemsworth et al., 1994; Jones, 1996; Zulkifli et al.,  
622 2002; Wang et al., 2013). In addition to a risk to health and productivity, fear is also  
623 considered a state of suffering in animals. Rather than simply responding to a  
624 stimulus with a reflex, birds appear to experience fear as a negative emotional state.  
625 Duncan and Filshie (1980) exposed chickens to a rapidly expanding balloon which  
626 startled them and caused escape reactions, with birds running away into another  
627 nearby chamber. The balloon expanding was then paired with a warning light and  
628 birds quickly learned to expect the balloon to expand and would move to the  
629 alternate chamber once the warning light was shown. This suggests that chickens had  
630 an unpleasant mental experience and would try to avoid being frightened (Duncan  
631 and Petherick, 1991).

#### 632 1.4.4.1 *Measuring fearfulness*

633 Measuring avoidance behaviours or “flightiness” in poultry is a practical method of  
634 assessing fearfulness in commercial conditions. An observer approaches birds and  
635 measures how many remain within a set distance (Jones, 1993), or at what distance  
636 the birds withdraw from the observer (flight distance; Graml et al., 2008). Generally,  
637 birds that move further away from the observer or have longer flight distances are  
638 considered to be more fearful than those that show less avoidance of a human.  
639 However, the vast majority of studies validating these avoidance tests have used  
640 laying hens. Poor leg health in broiler chickens could hinder their ability to avoid an  
641 observer and influence the validity of these measures. A recent study found that  
642 broilers with high gait scores showed less withdrawal behaviour (Vasdal et al.,  
643 2018), suggesting that fear tests involving broilers walking ability may not be  
644 appropriate. Comparing the duration of tonic immobility in birds placed on their  
645 back or side can also be used to test fearfulness. Tonic immobility is an innate  
646 behaviour that can be stimulated with brief manual restraint. Birds that are held

647 down by an observer will remain immobile for a period of time, showing a reduced  
648 responsiveness to external stimuli and a temporary suppression of the righting  
649 response (Jones, 1986). Longer periods of tonic immobility are associated with  
650 increased fearfulness (Jones, 1986; Jones et al., 1988), however there also appears to  
651 be a relationship between leg disorders and tonic immobility (Vestergaard and  
652 Sanotra, 1999). Latency to approach a novel object or to explore a novel area may  
653 also indicate the level of fear that birds are experiencing (Jones, 1996). Inhibited,  
654 inactive, quiet birds are considered to be more fearful than those that investigate any  
655 novel aspects, explore, vocalise and eat (Jones, 1989; Jones, 1996). The  
656 interpretation of these tests can be difficult and they are more practical in laboratory  
657 conditions (Forkman et al., 2007).

#### 658 1.4.4.2 *Modifying fearfulness*

659 Modifying fearfulness in broilers is important both to relieve the underlying negative  
660 emotional state, and to avoid injury from overreaction to sudden stimuli. Rough  
661 handling can increase the duration of tonic immobility in young broilers, which  
662 indicates the importance of positive human-animal relationships in reducing  
663 fearfulness (Jones, 1992). Regular gentle handling has been shown to reduce fear  
664 responses in several studies (Jones and Faure, 1981; Jones, 1992; Jones, 1994).  
665 Traveling is also considered to be a major stressor for broilers, with the length of the  
666 journey positively associated with duration of tonic immobility (Cashman et al.,  
667 1989). Within commercial housing, the lack of protective cover available may be  
668 frightening. The ancestors of domestic fowl would have relied heavily on vegetative  
669 cover for shelter and protection from predation. Chickens appear to be attracted to  
670 protective cover and will perform more vulnerable behaviours, such as preening and  
671 resting, in the presence of cover panels (Newberry and Shackleton, 1997; Cornetto  
672 and Estevez, 2001b). The provision of environmental enrichment in the home pens  
673 of domestic fowl has been successful in improving a variety of fear measures,  
674 including increased vocalisations in novel object tests, shorter latency to approach a  
675 novel object, and reduced avoidance of humans (Jones and Waddington, 1992).  
676 However, it is often difficult to extrapolate laboratory results to commercial settings,  
677 and recent research using enrichments in commercial broiler housing found no

678 positive effect on novel object exploration or avoidance of an observer (Bailie and  
679 O'Connell, 2015).

## 680 1.5 Dustbathing

681 Dustbathing is a conspicuous activity in birds, comprised of seated kicking and  
682 shuffling motions that transfer dust into their raised feathers. The purpose of  
683 dustbathing is likely to be to remove ectoparasites and maintain feather condition,  
684 which gives it significant adaptive value (van Liere and Bokma, 1987; Martin and  
685 Mullens, 2012). Dustbathing is seen in many species and has been extensively  
686 studied in domestic fowl (Olsson and Keeling, 2005). Patterns of dustbathing can  
687 differ between and within individuals, however the basic structure of a dustbathing  
688 bout is as follows (van Liere, 1991):

- 689     ▪ A bout will typically begin with a standing bird scratching and bill raking at  
690       the dustbathing substrate, before fluffing its feathers erect and squatting  
691       down.  
692
- 693     ▪ While sitting, the bird will shift dust in amongst its feathers using vertical  
694       wingshakes, head rubbing and prone kicking motions with one leg. For  
695       vertical wingshaking, the bird will scratch dust backwards and upwards with  
696       both legs, and then shuffle its wings to throw dust in between its feathers.  
697       The bird may also rub its head over the dust and lie prone on one side,  
698       kicking dust over itself. Bill raking the substrate closer to its body usually  
699       precedes and ends this pattern of behaviours.  
700
- 701     ▪ After several of the above sequence, the feathers are flattened and the bird  
702       will lie on its side, stretching out its leg and rubbing itself against the  
703       substrate. This side-lying and side-rubbing can be interrupted by vertical  
704       wingshakes, head rubbing and prone kicking. This phase can be mistakenly  
705       interpreted as resting.  
706

707       ▪ At the end of the dustbathing bout, which lasts approximately 20 minutes in  
708       laying hens after the initial vertical wingshake, the bird will stand and  
709       perform a bodyshake which removes excess dust from the feathers.

710

711 In junglefowl, bill-raking is first seen at around 2 days of age, and the remaining  
712 elements of dustbathing continue to appear until it is presented as a complete activity  
713 at day 10-12 (Kruijt, 1964). Low levels of dustbathing are observed during the first  
714 week, increasing to 2-3 times a day in weeks 2-3, before the behaviour stabilises to  
715 once every 2 days after week 4 (Kruijt, 1964; Hogan et al., 1991; Hogan and Van  
716 Boxel, 1993). Dustbathing follows a diurnal pattern, with a peak in dustbathing in  
717 the middle of the day clearly seen in adult birds (Hogan and Van Boxel, 1993).  
718 Domestication does not seem to have had a significant impact on dustbathing  
719 behaviour, with similar overall frequencies and patterns of dustbathing seen in both  
720 junglefowl and modern laying hens (Vestergaard et al., 1990; Schütz and Jensen,  
721 2001).

722 Research largely focusing on laying hens has shown that a complex interaction of  
723 internal and external factors control the performance of dustbathing. When birds are  
724 prevented from dustbathing, they compensate by performing additional dustbathing  
725 at their next opportunity, suggesting a build-up of internal motivation (Hughes and  
726 Duncan, 1988; Vestergaard, 1982; Vestergaard et al., 1999). The complete  
727 components of dustbathing and the diurnal pattern will develop in the absence of any  
728 dust, and birds will perform the elements of dustbathing on wire if no suitable  
729 substrate is offered (Vestergaard et al., 1990; Petherick et al., 1995; Vestergaard et  
730 al., 1997). This dustbathing behaviour in the absence of a substrate is considered a  
731 “vacuum” behaviour by those following Lorenzian thinking (Vestergaard et al.,  
732 1999) and “sham” dustbathing by those arguing that birds are trying to use feed as a  
733 dustbathing substrate (Lindberg and Nicol, 1997; Olsson and Keeling, 2002a;  
734 Moroki and Tanaka, 2016). Feather condition does not appear to be a significant  
735 control factor of dustbathing; chickens without feathers, without oil glands, and with  
736 only visual but not physical access to dust will all still dustbathe (Nørgaard-Nielsen  
737 and Vestergaard, 1981; Vestergaard et al., 1999). Dustbathing can also be stimulated  
738 by environmental changes. Increased dustbathing is seen when birds are in sight of a

dustbathing substrate, housed under brighter light intensities and higher environmental temperature, and in the presence of other dustbathing birds (Petherick et al., 1995; Duncan et al., 1998).

When a behaviour is controlled by internal factors, and reducing motivation is achieved through performance, continually preventing this behaviour is likely to cause a build-up of unsatisfied motivation leading to frustration and stress (Mason and Burn, 2011). The stress that accompanies thwarted dustbathing was demonstrated by Vestergaard et al. (1997), who found elevated plasma corticosterone levels in hens raised on sand and then transferred to wire. This physiological indicator for stress was not seen in birds raised on wire and then transferred to sand, instead these birds showed a reduction in stereotypical pecking and a substantial increase in dustbathing. A vocalisation linked to frustration, the gakeI-call, is also recorded when birds are trained to expect access to a dust bath and are then obstructed (Zimmerman et al., 2000). Birds will push through a weighted door to get access to peat which they then dustbathe in (de Jong et al., 2007), although there is mixed evidence that birds are willing to “pay a price” for access to a dustbathing substrate (Widowski and Duncan, 2000). A recent study found that the anticipatory behaviour displayed by laying hens was greater when they were expecting a dustbathing substrate compared to a food reward (McGrath et al., 2016). Birds had been deprived of a dustbathing substrate continually but only feed restricted for two hours prior to the test, which may explain why they ranked access to a dusty substrate above access to food. This is supported by Dawkins (1983), who found that chickens would choose access to food over litter when they were feed deprived, but overwhelmingly chose litter if they were not hungry.

#### 1.5.1 Dustbathing in broilers

Despite dustbathing appearing to be an important behaviour for domestic fowl, there is a general consensus that it makes up very little of broilers time budget. In a 1988 study on the time budgeting of commercial broilers, no dustbathing was observed at all, leading the authors to conclude that dustbathing may not be an important behaviour to broilers (Murphy and Preston, 1988). However, they only collected data on 19 broilers for 1 hour on each observation day, between days 27 to 50. Later

770 studies of broilers in laboratory conditions have reported the proportion of birds  
771 observed dustbathing on woodshavings to be 0.34% (Weeks et al., 2000), 0.20%  
772 (Kristensen et al., 2007), < 1% (Alvino et al., 2009) and 0.48% (Schwean-Lardner et  
773 al., 2012a). Although information on dustbathing at a commercial level is limited,  
774 recent on-farm studies reported observations of dustbathing to be 0.3-0.46%  
775 (Bergmann et al., 2017) and 0.18% (Bailie et al., 2013). However, when broilers  
776 were kept in cages and given access to sand for one hour a day, 20 out of 47 birds  
777 dustbathed every single day between days 19 and 40, and the rest dustbathed an  
778 average of every 2.5 days (Stub and Vestergaard, 2001). Similarly, Vestergaard and  
779 Sanotra (1999) found that the majority of caged broilers without leg issues would  
780 dustbathe almost every day when given the opportunity, and displayed a rebound  
781 effect when deprived of dust. Even broilers with dyschondroplasia dustbathed after a  
782 three-day period of deprivation (Vestergaard and Sanotra, 1999). The authors  
783 conclude that low levels of dustbathing seen in many broiler studies are likely to be  
784 due to poor leg health and wet litter, rather than a reduced motivation to perform  
785 dustbathing. This suggests that, given the opportunity, broilers are still highly  
786 motivated to dustbathe and could therefore experience stress if thwarted. It is also  
787 worth noting that our perspectives of time budgets in broiler chickens are likely to be  
788 skewed; although the instance of 0-1% dustbathing could allow it to be interpreted as  
789 a largely irrelevant behaviour to broilers, the amount of time broilers spend feeding  
790 is only 5-13% (Weeks et al., 2000; Schwean-Lardner et al., 2012a; Deep et al., 2012;  
791 Bergmann et al., 2017), which does not reflect a low importance of feeding to  
792 broilers.

793 There are several reasons why abnormally low dustbathing may be being observed in  
794 broilers, including sampling techniques, physical limitations and environmental  
795 conditions. Scan sampling allows numerous animals to be observed simultaneously,  
796 which is useful in assessing group behaviour, and is frequently employed for  
797 behavioural studies. However, as dustbathing contains several elements that are  
798 similar to rest and pecking behaviours, it is likely that this technique underestimates  
799 the amount of dustbathing if observers are not specifically looking for dustbathing.  
800 This may be especially difficult in trials using woodshavings, as the litter will not be  
801 as visible in their feathers as other substrates, such as peat. Experiment practicalities  
802 may also reduce the number of dustbathing bouts observed if, for example,

803 observations are consistently taken outside of peak dustbathing periods (Vestergaard  
804 et al., 1990).

805 It is well understood that broilers show a significant reduction in activity, and spend  
806 the majority of their time performing sitting or resting behaviours (e.g. Weeks et al.,  
807 2000). As dustbathing is an active behaviour that requires energy, a reduction in  
808 dustbathing is likely in modern broilers, especially as birds become heavier and it  
809 requires more energy to move. Despite this, a reduction in dustbathing with age is  
810 rarely reported (e.g. Weeks et al., 2000; Shields et al., 2004; Shields et al., 2005). In  
811 fact, several studies demonstrate an increase in dustbathing over the production cycle  
812 (Weeks et al., 1994; Bokkers and Koene, 2003; Bergmann et al., 2017). This  
813 suggests that broilers motivation to dustbathe remains high. As discussed, energy  
814 expensive behaviours that are not ‘adaptive’ for broilers, such as contrafreeloading,  
815 are much reduced. However, dustbathing does not seem to be as affected by  
816 domestication parameters as foraging; junglefowl and laying hens for example  
817 showed similar levels and patterns of dustbathing (Vestergaard et al., 1990; Schütz  
818 and Jensen, 2001). The high incidences of painful leg disorders seen in broilers are  
819 also likely to reduce levels of dustbathing, although the literature is sparse and  
820 inconsistent. Weeks et al. (2000) found no difference in dustbathing in broilers with  
821 gait scores of 0, 1, 2 or 3. However broilers with dyschondroplasia have been shown  
822 to dustbathe significantly less than their sound counterparts (Vestergaard and  
823 Sanotra, 1999).

824 Commercial conditions are also likely to inhibit dustbathing. Laying hens show a  
825 difference in dustbathing between natural and commercial conditions, with  
826 dustbathing bouts frequently being shorter and more likely to be interrupted in both  
827 caged systems and aviaries (Louton et al., 2016). Domestic fowl show reduced  
828 dustbathing when raised with a short dark period (23L:1D; Schwean-Lardner et al.,  
829 2012a), wet litter (Moesta et al., 2008), and low light intensities (Duncan et al.,  
830 1998; Kristensen et al., 2007), all of which can be standard conditions in commercial  
831 broiler housing. Broiler litter is likely to become unsuitable for dustbathing once it  
832 becomes wet and compacted, effectively causing a period of deprivation. When  
833 laying hens are raised on litter and then transferred to wire, or offered sand and then  
834 returned to woodshavings, they will stop dustbathing for an extended period of time



835 (van Liere et al., 1990; van Liere and Wiepkema, 1992; Vestergaard et al., 1997).  
836 Even in good condition, woodshavings may be a suboptimal dustbathing substrate.  
837 Laying hens, for example, will push through a weighted door to dustbathe in peat but  
838 not in woodshavings (de Jong et al., 2007). It is therefore possible that any reduction  
839 in dustbathing in broilers is a symptom of deprivation rather than reduced  
840 motivation.

#### 841 1.5.2 Substrate preferences

842 Generally, chickens appear to be more attracted to dustbathe in materials with fine  
843 particles, such as sand and peat, rather than materials with larger particles such as  
844 straw and woodshavings. Laying hens raised on woodshavings and then given access  
845 to sand, woodshavings, peat and sawdust performed significantly more dustbathing  
846 in peat than any other substrate, and dustbathing bouts were longer in peat (Petherick  
847 and Duncan, 1989). Broiler chickens will also explore and dustbathe in peripheral  
848 areas of their pen containing peat (Newberry, 1999). Hens show a willingness to  
849 push a weighted door to dustbathe in peat, suggesting a strength of demand that was  
850 not seen for sand, woodshavings and wire (de Jong et al., 2007). When comparing  
851 peat and sand, very little difference was found in the frequency of dustbathing bouts,  
852 suggesting they are similarly suitable (Duncan et al., 1998). A preference for sand  
853 over alternative substrates has also been reported. When broilers were offered either  
854 sand or straw, the number of days that no dustbathing was observed was doubled in  
855 broilers provided with straw (Vestergaard and Sanotra, 1999). When offered either  
856 sand, rice hulls, paper or woodshavings, broilers spent the most time and performed  
857 the highest number of vertical wingshakes in sand (Shields et al., 2004). Later  
858 studies similarly found a preference for dustbathing in sand over woodshavings, rice  
859 hulls, straw and recycled paper roll (Toghyani et al., 2010; Villagr  et al., 2014). Red  
860 junglefowl chicks further demonstrate a preference for dark sand over white sand  
861 (Vestergaard and Hogan, 1992).

862 The quality of dustbathing may also be affected by the available substrate. Hens  
863 housed in cages without any litter show fragmented sham dustbathing bouts  
864 (Appleby et al., 1993; Lindberg and Nicol, 1997). Dustbathing bouts performed in  
865 woodshavings are longer than those performed on sand (van Liere, 1991), which is

866 suggested to reflect a lack of functional feedback from the woodshavings. The  
867 smaller particles in sand are more effective at penetrating the feathers, which birds  
868 learn can maintain short term feather condition (van Liere, 1991). Junglefowl will  
869 similarly dustbathe for longer on wire cage flooring compared to sand, and are more  
870 likely to end bouts performed in sand with a bodyshake to remove excess substrate,  
871 suggesting a similar lack of feedback available on wire flooring (Vestergaard et al.,  
872 1990). Pecking and ground scratching behaviours may also be influenced by  
873 substrate. Higher amounts of foraging were observed in peat and woodshavings  
874 compared to sand and sawdust (Petherick and Duncan, 1989), and sand was found to  
875 attract a consistently high level of foraging while levels of foraging declined in  
876 woodshavings over time (Shields et al., 2004). However, no clear preferences have  
877 been found between peat, sand and woodshavings in relation to the “cost” they will  
878 pay to access these substrates for foraging (de Jong et al., 2007).

879 Early experience of litter appears to influence later substrate choices. Chicks that  
880 learn to dustbathe on feathers still performed 52% of their dustbathing on feathers  
881 even when given access to sand (Vestergaard and Lisborg, 1993). When trained to  
882 dustbathe on feathers, straw and woodshavings, laying hens will continue to perform  
883 dustbathing on the familiar substrate initially, however a preference for sand will  
884 quickly develop following exposure, despite no previous experience of it (Sanotra et  
885 al., 1995). Hens placed in wire cages will show most sham dustbathing on the wire if  
886 they were raised in cages compared to those raised on peat, however when peat is  
887 placed below the cages of both wire-reared and peat-reared birds, they show  
888 identical amounts of dustbathing. This suggests that although birds’ perception of a  
889 dustbathing material can be affected by early experiences, chickens show an innate  
890 ability to recognise ‘dust’ and adult behaviour is largely influenced by the present  
891 substrate available (Nicol et al., 2001). For example, hens reared without any litter  
892 will use peat to dustbathe in their first experience of it, and wire-reared birds will  
893 thereafter push the same weight of door to get access to peat as peat-reared birds  
894 (Wichman and Keeling, 2008).

## 895 1.6 Play behaviour

896 For many years, play behaviour was considered too speculative and  
897 anthropomorphic to be suitable for scientific study, even Tinbergen claimed that play  
898 might never be able to be “satisfactorily defined objectively” (Tinbergen, 1963;  
899 Burghardt, 2005). Progress in play research suffered from a general suspicion of  
900 attributing emotion or awareness to animals, particularly “lower” animals such as  
901 rodents and birds (Burghardt, 2005). However, play research over the last century  
902 has attracted multidisciplinary interest and has proved important in furthering our  
903 understanding of animal behaviour (Bekoff, 1984). Several definitions of play have  
904 been proposed, the simplest of these is that play is any purposeless motor activity  
905 (Bekoff and Byers, 1981; Bekoff, 1984), although whether a behaviour is  
906 purposeless may depend on the “inventiveness of the observer” (Bekoff, 1984).  
907 Burghardt (2005) considers play to be a heterogeneous category with similar  
908 characteristics but separate origins and functions, and has developed several criteria  
909 that may be used to identify play. Indeed, defining play has become more difficult in  
910 recent years due to the diverse animals studied and the species-specific nature of  
911 play. Špinka et al. (2001) has proposed that the basic underlying function of play is  
912 to train animals for the unexpected, by allowing them to rehearse unpredictable  
913 situations. Play has long been associated with a positive emotional state (Špinka,  
914 2011) and, due to the shift in focus towards giving an animal a “life worth living”,  
915 play research is becoming more relevant to the farming industry.

916 Play can generally be grouped into three categories: locomotor play, social play and  
917 object play. While these versions of play are readily recognised in many mammals,  
918 there is also increasing evidence of play in birds (reviewed in Ficken, 1977 and  
919 Diamond and Bond, 2003). Play behaviours in poultry have not been defined or  
920 clearly investigated, and there is a reluctance to consider several behaviours that may  
921 fit within the discussed definitions as “play”. Duncan (1998) included frolicking and  
922 sparring as examples of play behaviour in domestic fowl, but highlighted the lack of  
923 information available on these behaviours. Mench (1988) also tentatively suggested  
924 that “sparring appears to possess a characteristic of mammalian play”. Included  
925 within a Welfare Quality report, Keeling and Zimmerman (2009) test the ability of  
926 “play-fighting, play-running, and play-running+wing-flapping” to act as welfare

927 indicators for broilers. Nicol (2015) included a short paragraph summarising the  
928 collective knowledge available on play in poultry, in which frolicking and sparring  
929 were considered to be possible examples of play. However, due to their short  
930 duration, lack of innovation and apparent loss from the ethogram in older birds, it  
931 was concluded that it “would be difficult to argue that they provide an example of  
932 social play” (Nicol, 2015). As mentioned, the public’s perception of intensive  
933 farming remains poor, and the long-held Five Freedoms used to assess animal  
934 welfare have been criticised for their lack of focus on positive welfare (FAWC,  
935 2009; McCulloch, 2013). In 2007, play behaviour was included as one of the top  
936 three most promising indicators for positive experiences in domestic animals (Boissy  
937 et al., 2007). It seems of value then to give the existence of play in poultry more  
938 thought.

#### 939 1.6.1 Sparring

940 There is a broad consensus that mock-fighting exhibited in many species is an  
941 example of play (Aldis, 2013). Play-fighting is commonly described as a behaviour  
942 that “involves the use of the species-typical behaviour patterns of agonism, which  
943 are used in a non-serious manner. That is, their use does not lead to the functional  
944 consequences that are derived from their serious use” (Pellisa and Pellisa, 1998).  
945 Kruijt (1964; cited by Ficken, 1977) points out that the pattern of sparring seen in  
946 junglefowl, being an incomplete version of adult fighting and sometimes directed at  
947 inanimate objects (including feathers and their own tail), is similar to other  
948 behaviours described as play. However, he considered using the term “play” to be  
949 superfluous and irrelevant in determining the organisation of the behaviour. A  
950 similar reluctance to consider sparring in domestic fowl as play remains, with many  
951 authors opting to either refer to it as some variation of non-aggressive fighting (e.g.  
952 threat) or simply as a type of aggression. The differences between sparring and  
953 aggression are quite distinct in early descriptions of the behaviour. Guhl (1958)  
954 described sparring in a laying strain as two chicks “jumping up and down, as adults  
955 do when fighting, but the chicks failed to deliver any blows with their beaks. The  
956 behaviour waned readily and the partners pursued other activities”. This sparring  
957 behaviour was initially observed in week 2, well before avoidance behaviours  
958 developed in week 5 and fighting in week 6 (Guhl, 1958). Similarly, sparring was

959 observed by Dawson and Siegel (1967) in very young chicks, before the behaviour  
 960 peaked between weeks 4 and 5 and was eventually surpassed by aggressive  
 961 behaviours, with sparring not observed after the ninth week.

962 Play is considered to be an “opportunity behaviour” that is quickly lost from the  
 963 ethogram under challenging conditions (Fraser and Duncan, 1998; Špinka et al.,  
 964 2001). One of these conditions is a reduction in food availability. The sensitivity of  
 965 play to a reduction in feed intake has been demonstrated in calves (Krachun et al.,  
 966 2010), rhesus monkeys (Loy, 1970), deer (Müller-Schwarze et al., 1982) and rats  
 967 (Siviy and Panksepp, 1985). The same may hold true for sparring. Mench (1988)  
 968 found that broilers on a skip-a-day feeding regime were more aggressive but  
 969 performed less sparring behaviours than those fed ad libitum. Feed-restriction  
 970 leading to aggression has been shown in layers (Duncan and Wood-Gush, 1971) and  
 971 broiler breeders (Shea et al., 1990; Mench, 2002). In a recent study using broiler  
 972 breeders aged 10-21 weeks old, the amount of aggressive pecking observed was  
 973 significantly different between two types of restricted feeding, but the amount of  
 974 sparring (called “threats” in this paper) was unaffected (Girard et al., 2017). Mench  
 975 (1988) also reported that the frequency of sparring was not related to the frequency  
 976 of later aggressive interactions, either in feed restricted or ad libitum broilers. This  
 977 could suggest a basic difference between the two behaviours. It has been suggested  
 978 that threats may function as a way to avoid injury and maintain the established social  
 979 hierarchy (Rushen, 1982; Queiroz and Cromberg, 2006). As broilers are slaughtered  
 980 as juveniles, there is no clear social hierarchy to maintain. Indeed, broilers may not  
 981 even create a pecking order in large groups (Estevez et al., 1997). Rushen (1982)  
 982 found that dominant and subordinate hens were equally likely to initiate a sparring  
 983 bout, however dominant individuals were more likely to reciprocate. Additionally, a  
 984 positive correlation between threats and aggression was found in broiler breeders,  
 985 which suggests threats were not being used as an alternative to aggression once  
 986 social order was formed (Girard et al., 2017).

987 There have been several recent examples in which identifying juvenile sparring as  
 988 aggression may affect the interpretation of trials. In a study designed to test the effect  
 989 of crowding and perch availability on aggression in broilers, broiler chicks between  
 990 2 – 6 weeks old were housed at three different stocking densities and with four

991 different perch designs (Pettit-Riley et al., 2002). Aggression was measured as either  
992 “threats” which was defined as “an encounter in which a bird stands with neck erect,  
993 and feathers raised in front of a second bird, which usually has its head at a lower  
994 level”, or “other” which included all other forms of aggression including chase,  
995 fight, fight with peck, leap, peck and stand-off. While the latter behaviours were very  
996 infrequent and grouped together, there were significantly higher numbers of threats.  
997 The frequency of threats peaked at 3-4 weeks, which is in agreement with Dawson  
998 and Siegel’s (1967) description of sparring. Contrary to the authors expectations; 1)  
999 the highest number of threats were observed in the least crowded treatment, 2) there  
1000 were significantly more threats in open areas of the pen compared with at the  
1001 feeders, and 3) there was a tendency for more threats in the mixed angled perch  
1002 treatment (which took up the least floor space) and control with no perches. In short,  
1003 broilers appeared to be sparring more in areas where there was space available and  
1004 not near the feeders where more aggressive interactions were predicted. The authors  
1005 conclude that perches do not necessarily reduce aggression but that it depends on the  
1006 type of perch, area of the pen, and type of aggression that is observed. Interpreted  
1007 with “threats” as a type of play behaviour, this study may in fact provide evidence  
1008 that space is a limiting factor to play in broilers.

1009 Evidence for this can be drawn from several other studies on juvenile fowl. Ventura  
1010 et al. (2012) tested a similar prediction that perches, either simple bar perches or  
1011 complex perches with multiple arms, would reduce the amount of aggression  
1012 compared to barren controls. They used broiler chicks aged 2-6 weeks, and housed  
1013 them in pens with varying stocking densities. Using an identical ethogram as Pettit-  
1014 Riley et al. (2002), based on Estevez et al. (1997), “aggression” consisted of chase,  
1015 fight, leap, peck, stand-off and threat behaviours. In this trial, they found no effect of  
1016 stocking density on aggression, however aggression was reduced in the simple bar  
1017 perch treatment and almost eradicated in the complex perch treatment which left less  
1018 open space. This reduction in aggression occurred despite an increase use of central  
1019 areas of pens when perches were present. Provision of perches has also resulted in a  
1020 physiological stress response in broilers (Heckert et al., 2002), which the authors  
1021 attribute to the infrequently used perches causing a reduction in available floor  
1022 space. This increase in stress response may also theoretically reduce play behaviours.  
1023 In addition to finding more chasing and display (assumed to be sparring/threats) but

1024 less aggressive head pecking in broilers stocked at a lower density, Andrews et al.  
1025 (1997) also found a cumulative effect. When broilers had been stocked at a lower  
1026 density in week 2, more chasing and display was evident in broilers housed at a  
1027 lower stocking density in week 4, compared to birds that had initially been stocked at  
1028 a higher density. This suggests there may be an additional effect of facilitating  
1029 sparring behaviours in younger birds on their later behaviour.  
1030 There is an inherently difficult and long process involved in proving that any  
1031 behaviour is play. Play-fighting can be difficult to separate from aggression, even in  
1032 children (Smith et al., 2004; Graham and Burghardt, 2010). However, the hesitation  
1033 to discuss pre-aggressive sparring within a context of play may have limited our  
1034 understanding of its motivation, function and welfare associations.

### 1035 1.6.2 Frolicking

1036 While sparring resembles aggression, and could therefore be a more disputed  
1037 example of play, there does not appear to be any clear explanation of the function of  
1038 frolicking. Kruijt (1964) did suggest that frolicking may be triggered by an escape  
1039 reaction to the bird's own tail, although this remains untested. Frolicking appears to  
1040 have been originally described as "emotion dissociated fleeing movements" by  
1041 Lorenz (in Nice, 1943; cited by Ficken, 1977). This term referred to a behaviour,  
1042 noticed particularly in young birds, that resembled a bird fleeing a predator but  
1043 without any apparent stimulus. Frolicking does indeed resemble an exaggerated  
1044 escape reaction; birds engage in an apparently spontaneous and purposeless burst of  
1045 running, with excess flapping and rapid direction changes. The behaviour tends to be  
1046 short, with birds resuming other activities directly following a bout. Frolicking  
1047 appears to be "contagious", in that once one bird frolics several others will also  
1048 begin to frolic, although not necessarily in the same direction (Guhl, 1958; Dawson  
1049 and Siegel, 1967).

1050 Given the lack of information available on frolicking, it may be useful to employ  
1051 Tinbergen's four ethological aims (Tinbergen, 1963), with an additional fifth aim  
1052 added by Burghardt in the context of play (Burghardt, 2005), to discuss the existing  
1053 and absent literature. These five ethological aims needed to describe a behaviour are:  
1054 control (the internal or external factors that control a behaviour), adaptive function

1055 (the purpose of this behaviour in terms of improving group or individual fitness),  
1056 development (the pattern of change in an individual's lifetime), evolution (the  
1057 history of this behaviour across different generations and taxa), and private  
1058 experience (the subjective and personal experience of the behaviour).

#### 1059 1.6.2.1 Control

1060 The causal factors behind frolicking are poorly understood, and limited by the very  
1061 few studies that focus on this behaviour. Guhl (1958) anecdotally reported that a  
1062 disturbance, such as turning the lights on or filling the feed troughs, led to an  
1063 increase in frolicking and sparring. Similarly, Dawson (1962) agree that chicks  
1064 disturbed by a loud noise also increased their frolicking. This would fit within a  
1065 prediction of play outlined by Špinka et al. (2001; Prediction 16), that play  
1066 “increases in frequency after animals move between habitats, experience substantial  
1067 changes in habitat that affect locomotion, or encounter mildly frightening or novel  
1068 stimuli”. A number of species show an increase in play following some disturbance  
1069 to their environment (reviewed in Špinka et al., 2001). Novel objects may also  
1070 stimulate certain play-like behaviours. In an unpublished trial, Keeling and  
1071 Zimmerman (2009) housed small groups of broilers in either enriched  
1072 (woodshavings + scattered wheat + perches), normal (woodshavings) or barren (no  
1073 woodshavings or additional enrichment) pens. They found that more play behaviour  
1074 (play-fighting, play-running, and play-running+wing-flapping) was observed in the  
1075 barren condition compared to the enriched condition when broilers were given novel  
1076 objects. The authors relate this to the increase in novel object interaction seen in pigs  
1077 housed in barren conditions (Bolhuis et al., 2005), however the lack of play in the  
1078 treatment with perches may have been due to space limitations, as discussed with  
1079 sparring. The broilers were then provided with toys (small toothpicks, a ball, a  
1080 cardboard box) for 30 minutes for each observation. Again, they found less play-  
1081 running (frolicking) when birds had toys in the enriched condition compared to the  
1082 barren condition. However, broilers did exhibit more play when given the toys,  
1083 which suggests that play can be stimulated with novelty.



#### 1084 1.6.2.2 Development

1085 The most detailed descriptions of frolicking development are found in Guhl (1958)  
1086 and Dawson and Siegel (1967). Guhl (1958) reported that social behaviour in laying  
1087 hen strains of domestic chick develop in the following order: escape (fear) reactions,  
1088 frolicking, sparring, aggressive pecking, avoidance and fighting. He found that  
1089 escape reactions were common from 3 days of age and could be stimulated easily by  
1090 moving anything above the chicks. There was variation in the speed with which  
1091 chicks immediately responded to the fear stimuli, but all chicks in the group quickly  
1092 began to run around the pen. During week 1, frolicking behaviour was observed and  
1093 it was exhibited contagiously in a flock. During week 2, frolicking bouts would lead  
1094 to sparring bouts, in which chicks would mimic adult fights but without delivering  
1095 any blows. Avoidance behaviours and aggressive fighting appeared to develop in  
1096 week 5 and 6 (Guhl, 1958). Dawson and Siegel (1967) were in agreement that  
1097 frolicking appeared prior to sparring in laying chicks, however their timings differed.  
1098 They found that frolicking appeared in the first week and then increased until week 3  
1099 when it began to decline. Sparring began to appear later than frolicking and  
1100 surpassed frolicking by about 25 days of age. It peaked during week 4 and then  
1101 began to decline (Dawson and Siegel; 1967; extended information in Dawson, 1962).  
1102 This would incidentally follow the pattern of play development in Špinka et al.  
1103 (2001; Prediction 21), in which a peak in locomotor play precedes a peak in social  
1104 play. The considerable difference between older and modern poultry strains, in terms  
1105 of their genetics and behaviour, mean that these references are likely to be outdated.  
1106 A slightly more recent paper found that frolicking was more frequently displayed in  
1107 a laying hen strain compared to broilers (Mench, 1988), which is likely to be due to  
1108 their overall lower levels of activity.

#### 1109 1.6.2.3 Adaptive function

1110 Frolicking is a spontaneous behaviour and appears to serve no immediate function.  
1111 The flapping and frenetic movements involved mean it would be an inefficient and  
1112 ineffective method of fleeing a predator. Dawson (1962) agrees with Guhl (1958) in  
1113 that frolicking can be stimulated by a sudden stimulus, for example turning on lights  
1114 or a loud noise, but adds that there is an initial suppression of activity followed by

1115 contagious frolicking. This suggests that frolicking only occurs once it becomes  
1116 apparent that there is no immediate danger, and is not a functioning escape reaction.  
1117 Within Špinka et al.'s (2001) framework of play, the main function of locomotor  
1118 play is to rehearse patterns of behaviour that could be disrupted by external factors  
1119 and to train the animal to regain their faculties quickly. For example, when fleeing  
1120 from a predator the animal will try to use the most efficient pattern of escape,  
1121 however rapid changes to the environment, visual input, conspecific reactions and  
1122 predator behaviours can cause unpredictable interruption and disorientation. By  
1123 practising atypical movements through play behaviour, the animal is more likely to  
1124 recover quickly and avoid attack. In addition to developing motor skills, Špinka et al.  
1125 (2001) propose that this type of play allows animals to cope better emotionally with  
1126 sudden shocks, including being faced with an unexpected predator.

1127 Domestic fowl can still show strong fear responses in commercial conditions (Jones,  
1128 1996) and in agreement with Špinka et al.'s (2001) theory, it is possible that  
1129 frolicking developed as a means to rehearse and develop escape skills. In this case, it  
1130 may be interesting to explore the link between frolicking and fear responses or  
1131 physical skills, for example length of tonic immobility or "righting" abilities. This  
1132 link has already been investigated with food-running in laying hens (Dossey, 2009).  
1133 It was predicted that hens stimulated to perform food-running would show improved  
1134 body condition and lower fear responses. Hens that had received "worms" to play  
1135 with were significantly heavier than those without worm experience at 10 weeks old.  
1136 In a combination of fear tests, including handling, tonic immobility, novel object and  
1137 open field tests, the effects of providing a "worm" were mixed. As frolicking is  
1138 considered by Guhl (1958) to be an incipient agonistic encounter that precedes  
1139 sparring, it is also possible that frolicking developed as a way to develop leaping and  
1140 avoidance skills needed for adult fighting. As a result, frolicking in young birds  
1141 could also be related to increased skills in these behaviours in adult birds.

#### 1142 1.6.2.4 Evolution

1143 Frolicking has been vaguely described in other Galliformes, including partridges  
1144 (Goodwin, 1953), junglefowl (Kruijt, 1964), turkeys (Sherwin and Kelland, 1998),  
1145 ducks (Lee et al., 1992), geese (Lorenz; in Nice, 1943) and game birds (Wiley,

1146 1963). Goodwin (1953) observed red-legged partridges performing escape reactions  
1147 without any stimulus; running at full speed, making short flights and sudden turns  
1148 (cited by Ficken, 1977). Geese and ducks were also observed spontaneously  
1149 performing behaviours normally used to escape from a bird of prey when in the open  
1150 (Lorenz, in Nice, 1943; cited by Ficken, 1977). More recently, in a study on time  
1151 budgets in turkeys, an abnormal “running” behaviour is described where turkeys will  
1152 spontaneously run in circles with their wings raised (Sherwin and Kelland, 1998).  
1153 This behaviour is likened to frolicking and cautiously suggested to be a play.  
1154 Frolicking in turkeys reduced between 4 – 12 weeks of age and was rarely seen after  
1155 12 weeks. In female ducks caged at 14 weeks old and observed until 55 weeks,  
1156 frolicking was considered to be a comfort behaviour (Lee et al., 1992). Occurrence  
1157 of frolicking in these ducks actually increased over the course of the study, possibly  
1158 because the ducks became more accustomed to the cage. It is likely that frolicking is  
1159 labelled differently in other studies, for example a similar behaviour is referred to as  
1160 “movement flapping” by Black and Hughes (1974) and “play-running+wing-  
1161 flapping” in a recent Welfare Quality Report for broilers (Keeling and Zimmerman,  
1162 2009). As such, there is a lack of detailed comparative research between species,  
1163 however it is possible that frolicking is a shared trait within this order.

#### 1164 1.6.2.5 Private experience

1165 As with all animals, evidence on the way poultry experience their conditions is  
1166 elusive, especially concerning positive emotions. Gakel calls have been used in  
1167 poultry to demonstrate frustration (Zimmerman and Koene, 1998), however no  
1168 specific vocalisation has been identified in anticipation of a positive experience  
1169 (Zimmerman et al., 2011). Significantly more comfort behaviours, including  
1170 preening, wing-flapping, feather ruffling, body scratching and yawning were  
1171 observed in birds expecting a positive reinforcement of mealworms rather than a  
1172 negative reinforcement of water spray (Zimmerman et al., 2011). The guidelines for  
1173 assessing welfare in broilers outlined in the recent Welfare Quality protocols include  
1174 the use of qualitative behavioural assessment (QBA). QBA is an intuitive measure of  
1175 an animal’s state using qualitative descriptors (e.g. calm, positively occupied,  
1176 comfortable) that are scored on a scale depending on how the human observer  
1177 perceives their behavioural expression (Welfare Quality, 2009). This method of

1178 assessing behaviour may be useful in future examinations of frolicking behaviour  
1179 and its possible positive associations.

### 1180 1.6.3 Food-running

1181 Food-running, or “worm-running” is a conspicuous behaviour seen in chicks as early  
1182 as 2 days old. A chick will pick up an object and run with it, making loud and  
1183 repeated peeping noises. This object is typically rod-shaped or ‘worm shaped’ and  
1184 can be nutritive or non-nutritive. Other chicks usually chase the bird and the object  
1185 can be snatched and move through the group, with different birds in possession of  
1186 the item then performing food-running themselves. Some chicks are more successful  
1187 at holding onto the “worm” than others. A bout of food-running usually ends when  
1188 the object is lost, eaten, or the birds lose interest and engage in other behaviours  
1189 (Kruijt, 1964; Rogers and Astiningsih, 1991; Cloutier et al., 2004; Dossey, 2009).  
1190 Earlier observations of food-running focused on very young chicks, however food-  
1191 running can be stimulated equally in week 2 and week 10 (Cloutier et al., 2004).

1192 Although observations of food-running have been sparse, several explanations for  
1193 this behaviour have been debated. Kruijt (1964) argued that the obvious functional  
1194 explanation that food-running is related to food competition is incomplete, because it  
1195 occurs in birds raised in isolation (Spalding, 1873; Brückner, 1933) and before any  
1196 pursuing response develops. Indeed, the behaviour is extremely conspicuous and  
1197 birds quickly learn to run towards the focal bird, making it unlikely that food-  
1198 running is a way to prevent conspecifics from stealing food. Instead, Kruijt (1964)  
1199 proposed that the primary function in young chicks may be to attract other birds to  
1200 immobilise any prey too large for immediate consumption. However, food-running  
1201 can easily be stimulated by any rod-shaped material, such as pipe cleaners, and  
1202 chicks in possession of the ‘worm’ will immediately run to avoid conspecifics  
1203 (Rogers and Astiningsih, 1991; Cloutier et al., 2004; Dossey et al., 2009). In  
1204 addition, even individually tested chicks given a mealworm will perform food-  
1205 running, and hunger does not appear to be a main motivating factor; birds with  
1206 access to ad libitum food will still perform food-running and the mealworm that  
1207 elicited the behaviour is not always eaten (Rogers and Astiningsih, 1991; Cloutier et  
1208 al., 2004). Preventing conspecifics from stealing food in older chicks capable of

1209 immobilising prey themselves was suggested as a secondary function (Kruijtit, 1964).  
1210 If this behaviour in young chicks is related to their future ability to preserve food in  
1211 relation to the unpredictable actions of other flock mates, then this behaviour could  
1212 also be considered with Špinka et al.'s (2001) play framework. Food-running has  
1213 been used to test social rank with varying success (Rogers and Astiningsih, 1991;  
1214 Cloutier et al., 2004), however Cloutier et al. (2004) concluded that food-running  
1215 more closely resembles play than serious competitive behaviour, and suggested its  
1216 possible use as a welfare indicator. Indeed, food-running closely resembles forms of  
1217 social object play reported in other bird species, usually taking the form of “tug-of-  
1218 war” games (reviewed in Diamond and Bond; 2003). Diamond and Bond (2003)  
1219 describe how “the best evidence of social object play is provided by contests over  
1220 items that cannot be otherwise turned to useful purposes. Role reversals are common  
1221 in social object play, and the interaction often ends with the contested item simply  
1222 being discarded”

#### 1223 1.6.4 Play conclusions

1224 By treating play research as a side-step into anthropomorphism or an explanation  
1225 only applicable as a last resort, it is likely that our knowledge of positive behaviours  
1226 in poultry has suffered. For example, many studies may have classified frolicking as  
1227 “running”, or excluded the strange behaviour all together. Although information on  
1228 sparring, frolicking and food-running is sparse, we can compare our knowledge of  
1229 these behaviours with current well-accepted definitions of play. Burghardt (2005) set  
1230 out five criteria that, if met, should indicate the presence of play in all species: these  
1231 criteria state that play is (1) incompletely functional in the context in which it  
1232 appears; (2) spontaneous, pleasurable, rewarding, or voluntary; (3) differing from  
1233 other more serious behaviours in form (e.g., exaggerated) or timing (e.g., occurring  
1234 early in life before the more serious version is needed); (4) is repeated, but not in  
1235 abnormal and unvarying stereotypic way (e.g., rocking); and (5) is initiated in the  
1236 absence of severe stress. Current evidence available suggests sparring, frolicking and  
1237 food-running can satisfy several of these criteria.

1238 The function of sparring could be debated (for example, if threatening was  
1239 considered a method of avoiding aggression), however the absence of injurious

1240 contact and clear submissive avoidance suggests it lacks an immediate function.  
 1241 Sparring appears to occur spontaneously, and often at the end of a frolicking bout.  
 1242 Sparring differs from adult aggression in that pecks tend to be brief and gentle, and  
 1243 any contact does not cause injury or avoidance behaviours in the recipient. Sparring  
 1244 is apparent throughout broilers short lives and is repeated but contains no  
 1245 stereotypical motions. For the fifth criteria, additional research is needed, however  
 1246 there is some indirect evidence that a reduction in sparring occurs in suboptimal  
 1247 conditions (e.g. feed restriction and a lack of space).

1248 Frolicking appears to lack function and is a spontaneous and contagious behaviour. It  
 1249 differs from true escape reactions in its exaggerated flapping and chaotic  
 1250 movements, and occurs in the absence of any true or apparently perceived threats.  
 1251 Frolicking is a repeated but not stereotypical behaviour, although more research is  
 1252 needed to confirm its frequency in individual birds. As with sparring, whether  
 1253 frolicking satisfies the fifth criteria is difficult to verify and further work may shed  
 1254 light on whether frolicking is reduced in stressful situations.

1255 Current functional explanations given for food-running are not readily supported by  
 1256 the (sparse) evidence. The behaviour is voluntary and appears to be either self-  
 1257 rewarding or an incomplete form of an adult behaviour used to avoid conspecifics  
 1258 during food-competition. The behaviour is repeated and appears to be easy to  
 1259 stimulate in older chicks up to 10 weeks of age. Further research is needed on the  
 1260 ability of this behaviour to act as a welfare indicator.

1261 1.7 Environmental Enrichment

1262 Environmental enrichment refers to a physical, sensory or social change made to a  
 1263 captive animal's environment with the goal of improving health, behavioural  
 1264 repertoire and/or mental well-being (King, 2003). The concept of environmental  
 1265 enrichment is broad, ranging from social contact with conspecifics (Hubrecht, 1993)  
 1266 to provision of rubber toys (Belz et al., 2003). Providing animals with a more  
 1267 complex environment results in, among other things, better problem solving (de Jong  
 1268 et al., 2000), a reduction in stereotypical behaviours (Nørgaard-Nielsen et al., 1993),  
 1269 improved cognition (Bredy et al., 2003), and increased activity levels (Beattie et al.,  
 1270 1995). For farm animals, additional goals of environmental enrichment are to

1271 improve the public image of farming, discriminate “higher welfare” products and  
1272 avoid any decline in production parameters (Newberry, 1995). Application of the  
1273 term “environmental enrichment” to situations where there appears to be no obvious  
1274 benefit to the animal has been criticised (Newberry, 1995), however rather than  
1275 create a new phrase, this expression has been adopted as a practical way to describe  
1276 an increase in environmental complexity (Jones, 1996). For this thesis,  
1277 environmental enrichment refers to the latter basic definition.

#### 1278 1.7.1 Environmental enrichment for broilers

1279 Newberry (1995) states that environmental enrichment should “improve the  
1280 biological functioning of animals”, and as such, the ultimate aims of environmental  
1281 enrichment differ depending on the species. For broilers, improvements in activity  
1282 levels, group distribution, leg health, fear responses, and behavioural repertoire tend  
1283 to be favourable outcomes of environmental enrichment research. Where  
1284 enrichments have not yet been tested on meat chickens, results from studies of laying  
1285 hens and other domestic fowl are tentatively extrapolated to broilers, until disproved.  
1286 EU legislation obliges broilers to be provided with litter and outlines requirements  
1287 for their photoperiod, however there is no EU or UK legislation requiring additional  
1288 environmental enrichment. Producers rearing broilers under welfare assurance  
1289 schemes or for particular retailers comply with additional standards; in the UK these  
1290 tend to require inclusion of some combination of natural light, reduced stocking  
1291 densities, straw bales and perches. For example, broilers raised on farms that follow  
1292 the RSCPA Assured scheme (RSPCA, 2017b) must be housed with natural light and  
1293 at no more than 30 kg/m<sup>2</sup>. For each 1 000 birds under this scheme, there must be at  
1294 least 2 m of perch space, 1.5 long-cut straw bales at all times and 1 hanging object  
1295 (e.g. wooden blocks, cabbages). Birds supplied for M&S Oakham™ range must be  
1296 provided with natural light and reared at 30 kg/m<sup>2</sup>, if thinning is permitted (partial  
1297 depopulation before full slaughter age) and 34 kg/m<sup>2</sup> if there is no thinning (M&S,  
1298 2015). Birds must also be provided with straw bales, although the type and quantity  
1299 are not specified. In Northern Ireland, enriched housing of this type typically  
1300 includes 2 short-cut plastic wrapped bales per 1 000 birds per cycle.

1301    1.7.1.1       *Straw bales*

1302    Despite straw bales becoming a fairly typical installation in “higher welfare” broiler  
1303    housing, there is a surprising lack of scientific study on their attractiveness,  
1304    effectiveness and the optimal levels of provision. The inclusion of 1.5 long-cut straw  
1305    bales per 1 000 birds in the RSPCA Assured scheme (then Freedom Food) in the  
1306    1990s was based on suggestions and educated guesswork (RSPCA, personal  
1307    communication, 2016). A succeeding study found positive behavioural effects of  
1308    providing long-cut straw bales in a commercial broiler house (Kells et al., 2001). The  
1309    straw bales were included at a density of 1 per 17 m<sup>2</sup>, which equated to 118 bales in  
1310    one house and 81 in another. The authors found that when provided with straw bales,  
1311    broilers spent more time standing and walking, and less time sitting and resting in  
1312    areas away from the bales. However, this density of enrichment differs from the  
1313    (unchanged) RSPCA protocol and from current commercial practices. As stated, the  
1314    RSCPA Assured scheme requires 1.5 bales per 1 000 birds, which is equivalent to  
1315    around 33 bales per house, or 1 bale per 41 m<sup>2</sup> in an average house of 22 000 broilers  
1316    (RSPCA, 2017b). A recent study in Germany looked at both conventional systems  
1317    and enriched housing that incorporated 1.7 long-cut straw bales per 1 000 birds,  
1318    which was 54 straw bales in total per house and 1 bale per 37 m<sup>2</sup>, in addition to  
1319    perches and pecking stones. Although no direct comparisons were made, numerically  
1320    less resting and lying was observed in the enriched housing than the conventional  
1321    housing (Bergmann et al., 2017). In a smaller scale Japanese study, broilers housed  
1322    with hay bales and perches showed more standing and locomotion behaviours than  
1323    those in barren conditions (Ohara et al., 2015).

1324  
1325    An alternative to long-cut straw bales are short-cut plastic wrapped straw bales.  
1326    These bales are the type typically used as enrichment bales in Northern Ireland and  
1327    are considered to have biosecurity advantages. Both plastic sides of the bale are cut  
1328    open to give birds access to the straw, which the birds scratch and peck at. Unlike  
1329    long-cut straw bales, these short-cut bales are fully dismantled by the birds,  
1330    suggesting a more interactive but time-limited enrichment. Short-cut bales are  
1331    limited in their additional value as a perching enrichment because the bales degrade  
1332    rapidly and are more unstable. However, a main advantage of short-cut bales is that  
1333    the birds are able to actively forage and spread out the straw, which improves



1334 foraging opportunities and enables the “self-spreading” of dry bedding. Provision of  
1335 these bales varies between farms, however, as these bales are destroyed by the  
1336 broilers over time, they tend to be cut open in a staggered manner which means less  
1337 usable bales are available at any one time. The use of these bales has only recently  
1338 been scientifically investigated. When 30 short-cut bales were included in a  
1339 commercial house, which is approximately one per 44 m<sup>2</sup>, and cut open in a  
1340 staggered manner throughout the experiment, there were no effects on broiler resting  
1341 behaviour or levels of activity (Bailie et al., 2013). However there was an  
1342 improvement in latency to lie when broilers had straw bales, which suggests a  
1343 positive effect on leg health. A further investigation on the possible effect of density  
1344 of bales found no difference in activity levels between birds given 30 or 45 bales per  
1345 house, which equates to 1 per 44 m<sup>2</sup> and 1 per 29 m<sup>2</sup> respectively (Bailie and  
1346 O’Connell, 2014). In addition, better latency to lie scores were recorded when birds  
1347 had 30 bales rather than 45. It may be that the difference between bale densities was  
1348 too small to create a change in overall time budgets. This trial also differs from other  
1349 bale research in that natural light was provided throughout, which is likely to have  
1350 had more of a significant effect on behaviour (Bailie et al., 2013). However, the lack  
1351 of difference in any production parameters between the two treatments also suggests  
1352 no negative impact of increasing bale density on commercial output. More research  
1353 is needed on how bale density and type are able to influence broiler activity levels  
1354 and leg health.

1355 Straw bales also appear to have significant protective value to broilers in commercial  
1356 housing. Authors consistently report that birds cluster around the base of straw bales  
1357 (Kells et al., 2001; Bailie et al., 2013; Bergmann et al., 2017). When bales are  
1358 present, this behaviour is observed early in the rearing period, with chicks grouping  
1359 around bales and sleeping huddled together (Bergmann et al., 2017). Seeking cover  
1360 is consistent with the natural behaviour of fowl, who prefer to perform behaviours  
1361 that make them more vulnerable to predation, such as resting, in the presence of  
1362 shelter (Wood-Gush et al., 1978)

#### 1363 1.7.1.2 *Perches and barriers*

1364 Perching is an innate predator avoidance behaviour in junglefowl (Collias and  
1365 Collias, 1967). In natural conditions, chicks are brooded on the ground and then  
1366 follow the mother onto perches by 6 weeks of age (McBride et al., 1969). This  
1367 behaviour has persisted in domesticated fowl, with chicks raised without a mother  
1368 beginning to use perches within the first few weeks (LeVan et al., 2000; Heikkilä et  
1369 al., 2006). Studies of laying hen behaviour found that birds will consistently choose  
1370 the highest perch (Olsson and Keeling, 2000) and display signs of frustration if  
1371 prevented from roosting (Olsson and Keeling, 2000; Olsson and Keeling, 2002b).  
1372 For broilers, low levels of perch use are frequently reported (LeVan et al., 2000;  
1373 Rodriguez-Aurrekoetxea et al., 2015; Norring et al., 2016; Bergmann et al., 2017).  
1374 However, significantly more broilers will use a raised platform compared to a simple  
1375 bar perch, which suggests that it is their ability to balance on a bar, rather than  
1376 motivation to perch, that is a limiting factor in broiler roosting (Norrington et al., 2016,  
1377 Bailie et al., 2018; Kaukonen et al., 2017a). Broilers body weight and anterior centre  
1378 of gravity are likely to make it difficult to climb onto bar perches and remain  
1379 balanced. Broilers are also more likely to use flat perches rather than those at a 10°  
1380 or 20° angle, which may be because of the extra stability that a flat perch provides  
1381 and the additional effort required to reach the top of an angled perch (LeVan et al.,  
1382 2000).

1383 It has been suggested that, in addition to satisfying a natural behaviour, jumping on  
1384 and off perches may be a form of exercise for broilers. The use of barriers and ramps  
1385 in front of feeders and drinkers may also necessitate more walking and effort from  
1386 broilers to reach feeders and drinkers. An increase in exercise in broilers has been  
1387 linked with improved leg condition (Reiter and Bessei, 1995), and as such it has  
1388 been proposed that perches and barriers could improve broiler leg health. However,  
1389 the literature is fairly inconsistent. Broilers that had to negotiate barriers to reach  
1390 feeders and drinkers had wider and improved asymmetry of the tibia, suggesting an  
1391 improvement in bone strength and stability (Bizeray et al., 2002a; Ventura et al.,  
1392 2010). Provision of barriers has also resulted in more activity and less lying  
1393 behaviour (Bizeray et al., 2002b). A reduction in footpad dermatitis has been found  
1394 in broilers housed with perches, presumably because they spend less time in contact

1395 with the damp litter (Ventura et al., 2010; Kiyma et al., 2016). However, there have  
1396 also been reports of perches and barriers having no effect on tibia length (Ventura et  
1397 al., 2010), footpad dermatitis (Bench et al., 2016), fluctuating asymmetry (Bizeray et  
1398 al., 2002a), activity levels (Rodriguez-Aurrekoetxea et al., 2015) and walking ability  
1399 (Su et al., 2000; Bailie and O’Connell, 2015). Indeed, ramps and barriers have been  
1400 employed to experimentally induce lameness and bacterial chondronecrosis in  
1401 broilers by creating excessive mechanical stress on their joints (Gilley et al., 2014;  
1402 Wideman et al., 2015). Inclusion of perches that are infrequently used may also  
1403 increase stress by reducing the available floor space (Heckert et al., 2002). New  
1404 research is currently pointing to the value of platform perches over traditional  
1405 perches for broilers. Broilers with access to platforms, compared to traditional  
1406 perches, showed improved gait scores and tibial dyschondroplasia measures  
1407 (Kaukonen et al., 2017a).

#### 1408 1.7.1.3 *Artificial cover*

1409 In line with their wild ancestors, broilers show a reluctance to enter large open  
1410 spaces and when free ranging will demonstrate a preference for areas with vertical  
1411 tree and shrub cover (Dawkins et al., 2003). There is very little vertical cover  
1412 available in a commercial house and broilers show a tendency to group near pen  
1413 walls (Newberry and Hall, 1990). When artificial cover was provided to laying hens  
1414 in the form of vertical plexiglass panels, more birds were observed in covered areas  
1415 and there was an increase in resting and preening in the presence of cover panels  
1416 (Newberry and Shackleton, 1997). This is consistent with birds seeking out cover to  
1417 perform vulnerable activities that may obscure their vision. In addition, birds were  
1418 more attracted to areas with partially opaque cover panels instead of opaque panels,  
1419 appearing to prefer partial concealment which could still allow for identification of a  
1420 nearby predator (Newberry and Shackleton, 1997).

1421 In broiler housing, providing similar mesh panels resulted in a more even  
1422 distribution of birds (Cornetto and Estevez, 2001a) and an increase in resting in  
1423 central areas (Cornetto and Estevez, 2001b). There was a reduction in foraging in  
1424 pens with vertical panels and an increase in dustbathing as the group size increased  
1425 (Cornetto and Estevez, 2001b). Birds grouping near vertical cover and pen walls

were also less likely to be disturbed while resting compared to those in open areas (Cornetto et al., 2002). However, a recent study conducted at a commercial level on free range broilers found no effect of indoor panels on resting or comfort behaviours, with only a slight increase in locomotion in central areas of houses with panels (Rodriguez-Aurrekoetxea et al., 2015). It may be that the extra space available in free range housing or the low density of enrichments limited their impact, however more research is needed at a commercial scale to clarify the benefits of artificial cover.

#### 1.7.1.4 *Pecking enrichments*

Although no legislation sets criteria for pecking enrichments, other than bedding, the RSPCA Assured scheme requires broilers to have one pecking object (for example a Peck-a-Block, brassica or wooden block) per 1 000 birds (RSCPA, 2017b). Pecking enrichments have been studied extensively in laying hens because of the link between thwarted pecking behaviours and injurious feather pecking (Huber-Eicher and Wechsler, 1998; Johnsen et al., 1998; Dixon et al., 2008). Laying hens show a preference for bunches of string over chains, beads, baubles, feathers and string with beads (Jones et al., 1997; Jones et al., 2000). Presenting these enrichments together also elicits more pecking behaviour than when they are presented singly (Jones et al., 2000). White and yellow string are more attractive than blue and orange string, and string continues to be attractive after repeated exposure (Jones and Carmichael, 1998). Broilers showed little interest in bunches of string when presented in bedded pens alongside dustbathing trays (Arnould et al., 2004), however the birds may not have been motivated to find alternative pecking stimuli in the presence of woodshavings and sand. Broiler breeders show an initial interest in bunches of string but quickly become habituated to their presence (Hocking and Jones, 2006). Recently, a greater attraction to string has been found in commercially housed broilers, with one bout of pecking occurring every 78 seconds at each piece of white string (Bailie and O'Connell, 2015). In addition, broilers housed with a cereal based pecking enrichment called a Pecka-Block<sup>TM</sup> show improved feather condition, less ground pecking and increased dustbathing (Guy and Wright, 2003). Broilers interest in a pecking enrichment may be limited by their general reduction in pecking and foraging behaviours compared to layer hens, however additional research is needed in commercial houses to confirm their effectiveness.

#### 1458    1.7.1.5        *Dust baths*

1459    Dust baths have not been introduced into commercial broiler systems in the UK and  
1460    there appear to be no commercial scale studies looking at the use of dust baths in  
1461    broiler housing. As mentioned, sand and peat are preferred substrates for dustbathing  
1462    (Petherick and Duncan, 1989; Shields et al., 2004). These materials are unlikely to  
1463    be appropriate for inclusion in UK broiler housing because peat is considered to be  
1464    environmentally unsustainable and expensive, and sand interferes with the process of  
1465    litter disposal. However, both of these materials have historically been used in the  
1466    UK, and continue to be used as commercial broiler bedding in other countries. For  
1467    example, peat is the most commonly used broiler bedding in Finland and appears to  
1468    improve the incidences of footpad dermatitis and hock lesions (Kaukonen et al.,  
1469    2017b). Sand bedding is also used as an alternative to woodshavings in some areas  
1470    of America (Grimes et al., 2002) and has been linked with increased body weight  
1471    and improved dermatitis (Bilgili et al., 1999; Bilgili et al., 2009). However there is  
1472    little information available on the behavioural effects of raising broilers entirely on  
1473    sand or peat at a commercial scale. A more even distribution of birds and increase in  
1474    foraging was achieved by adding sand trays to pens of broilers (Arnould et al.,  
1475    2004). Including a sand section in a broiler pen also resulted in improved meat  
1476    quality and reduced contact dermatitis (Simsek et al., 2009). However, when broilers  
1477    were housed in pens of sand compared to woodshavings there was no difference in  
1478    the frequency of any behaviours, which could suggest that broilers have a fairly  
1479    inflexible time budget (Shields et al., 2004).

#### 1480    1.7.1.6        *Light*

1481    Poultry have a highly specialised visual system and several components of  
1482    commercial lighting have been shown to influence broiler health and behaviour. The  
1483    continuous or near continuous lighting programmes that were traditionally used to  
1484    rear broilers have been associated with several welfare issues, including the  
1485    development of eye abnormalities (Oishi and Murakami, 1985), higher mortality  
1486    levels (Classen et al., 1991) and increased tibial dyschondroplasia (Sorensen et al.,  
1487    1999). Daylength also influences broiler behaviour, with the amount of standing,  
1488    walking, foraging and dustbathing reducing as daylength increases (Schwean-

1489 Lardner et al., 2012a). In addition to several welfare benefits, providing broilers with  
1490 an extended period of darkness also improves productivity (Schwean-Lardner et al.,  
1491 2012b). As a response to these issues, EU regulations now require broilers to be  
1492 raised under a 24 hour lighting rhythm from 7 days of age until 3 days before  
1493 slaughter, with a minimum of 4 hours of uninterrupted darkness and a total of 6  
1494 hours of darkness every 24 hours (Council Directive 2007/43/EC).

1495 Light intensity is also regulated, with a minimum light intensity of 20-lux required  
1496 over 80% of the usable space (Council Directive 2007/43/EC). Young broilers show  
1497 a preference for brighter areas, while older broilers will choose dim areas, which is  
1498 likely to be due to the large amount of time older broilers spend resting (Davis et al.,  
1499 1999). When raised in varying light intensities, there is no difference in body weight  
1500 or immune response, however broilers are less active in lower light intensities and  
1501 showed a less pronounced difference between day and night activities (Blatchford et  
1502 al., 2009). Intensive broiler houses are typically lit by either incandescent bulbs or  
1503 fluorescent strip lighting, however windowed houses that provide natural light are  
1504 becoming more common in the UK. Natural light is a requirement for birds reared  
1505 under the RSPCA Assured scheme (e.g. RSPCA, 2017b) and for particular retailers  
1506 (Oakham™ range; M&S, 2015). There have been few studies on the effects of  
1507 rearing broilers inside with access to natural light. Broilers housed in pens with  
1508 natural light were found to be less active and perform less dustbathing, play and  
1509 foraging behaviours (Ruis et al., 2004). However, in commercial housing natural  
1510 light reduced time spent resting, and improved gait scores, latency to lie and litter  
1511 condition (Bailie et al., 2013).

#### 1512 1.7.1.7 *Stocking density*

1513 Broiler stocking density refers to the number of birds housed in a unit of floor area,  
1514 usually expressed as the total weight of birds per m<sup>2</sup>. In the EU, broilers can be  
1515 stocked at a maximum of 33 kg/m<sup>2</sup> unless additional criteria are met (Council  
1516 Directive 2007/43/EC). These include limits on ammonia, temperature and humidity  
1517 levels, in addition to enhanced communication and record keeping. An application to  
1518 increase the stocking density further to 42 kg/m<sup>2</sup> can then be made if these criteria  
1519 are met, there has been no failure to comply with any EU regulations, and there have

1520 been consistently low mortality rates. Welfare assurance schemes also usually limit  
1521 stocking density, for example there is a 30 kg/m<sup>2</sup> limit for the RSPCA Assured  
1522 scheme and a 38 kg/m<sup>2</sup> limit for the Red Tractor Assurance scheme (Red Tractor  
1523 Assurance, 2017; RSPCA, 2017b). Own brand retailers may have additional  
1524 requirements, for example M&S do not allow stocking density of above 34 kg/m<sup>2</sup>  
1525 (Oakham™ range; M&S, 2015).

1526 High stocking densities have been associated with a number of broiler welfare  
1527 issues. When stocked at 40 kg/m<sup>2</sup> compared to 34 kg/m<sup>2</sup>, broilers had higher  
1528 mortality, an increase in dermatitis and leg problems, and more disturbed resting  
1529 periods (Hall, 2001). Lower body weights (Proudfoot et al., 1979; Dozier et al.,  
1530 2006) and a reduction in locomotion, distance moved and foraging behaviours are  
1531 also seen in higher stocking densities (Blockhuis and Van der Haar, 1990; Lewis and  
1532 Hurnik, 1990). The use of platform perches and woodshavings bales declined when  
1533 broilers were stocked at 30 kg/m<sup>2</sup> compared to 25 kg/m<sup>2</sup> (de Jong and Goërtz, 2017),  
1534 suggesting enrichment use could be affected even at relatively low stocking  
1535 densities. Estevez (2007) suggests that broiler productivity and welfare can be  
1536 maintained if broilers are stocked somewhere between 34 kg/m<sup>2</sup> and 38 kg/m<sup>2</sup>,  
1537 however Dawkins et al. (2004) argue that other factors have significantly more  
1538 impact on broiler welfare. Although very high stocking densities appear to be  
1539 unequivocally detrimental, Dawkins et al. (2004) found that good stockmanship,  
1540 especially in maintaining litter and low ammonia levels, was a more important  
1541 indicator of many welfare indices.

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## 1550 1.8 Rationale for research

1551 In response to the growing demand for high welfare animal products and the poor  
1552 image of intensive farming (Mayfield et al., 2007; EU Commission, 2015; Cornish et  
1553 al., 2016), broiler producers have increased the complexity of some of their intensive  
1554 housing systems (e.g. RSPCA, 2017b; M&S, 2015). There is limited commercial  
1555 scale research available to support these modifications, however introduction of  
1556 straw bales and perches have been found to improve broiler activity levels and leg  
1557 disorders (Kells et al., 2001; Bizeray et al., 2002a; Ventura et al., 2010). There is a  
1558 financial incentive for producers to make further improvements to intensive broiler  
1559 housing, and scientific investigations into broiler enrichment will be needed to  
1560 provide evidence based recommendations for future housing designs.

1561 Although dustbathing has been identified as an important behaviour for domestic  
1562 fowl (Vestergaard et al., 1997; Zimmerman et al., 2000; McGrath et al., 2016), little  
1563 is known about the levels of dustbathing performed by commercially housed  
1564 broilers. Providing a dustbathing material has the potential to improve welfare by  
1565 satisfying a natural motivation and giving birds an opportunity to exercise.  
1566 Increasing the complexity of intensive housing has also been associated with positive  
1567 emotion in farm animals (Douglas et al., 2012; Carreras et al., 2016). Studying  
1568 enriched broiler housing therefore gives us an opportunity to investigate positive  
1569 welfare indicators in poultry. Improvements to intensive broiler housing are likely to  
1570 have a significant impact on animal welfare, with 62 billion broilers produced in  
1571 similar systems worldwide (FAOstat, 2014). In addition, understanding the effect of  
1572 farm environments on animal emotion would have important implications for both  
1573 science and society.

1574

1575

1576



1577 1.8.1 Research aims

1578 The overarching aim of this thesis is to determine whether provision of a dustbathing  
1579 material would improve the welfare of intensively farmed broiler chickens. To that  
1580 end, the individual aims are to:

1581 1) Determine the extent to which modern broilers would use a dustbathing material  
1582 in commercial housing, and identify an attractive dustbathing substrate that  
1583 would be suitable for commercial conditions.

1584

1585 2) Explore the benefits of including dust baths as an alternative or supplementary  
1586 enrichment for commercial broiler chickens, and further determine whether  
1587 broilers would be more attracted to enrichments if they were grouped into  
1588 “enrichment areas” rather than provided individually.

1589

1590 3) Compare the levels of play behaviour and fearfulness in broilers housed with or  
1591 without perches and dust baths, in order to better understand the effect of these  
1592 enrichments on broiler mental well-being.

1593

1594 All methods described in this thesis were approved by the School of Biological  
1595 Sciences (Queen’s University Belfast) Research Ethics Committee (reference number  
1596 QUB-BE-AREC-17-001).

1597

1598

1599

1600

## **Chapter Two**

### **Study 1**

#### **An evaluation of potential dustbathing substrates for commercial broiler chickens**

## Abstract

Provision of an appropriate dustbathing substrate may allow broiler chickens to satisfy a natural motivation and give them an opportunity to exercise. The main aim of this study was to evaluate the extent to which different substrates promote dustbathing behaviour in broilers. The trial was replicated over three production cycles in one commercial broiler house, with approximately 22 000 Ross broilers (Aviagen Ltd, UK) housed per cycle. The birds were provided with access to five experimental substrates from day 10 of the 6 week production cycle. The substrates included the following: 1) peat (P), 2) oat hulls (OH), 3) straw pellets (SP), 4) clean woodshavings (WS), and 5) litter control (C). The substrates were provided in fifteen steel rings (1.1m in diameter, three rings per substrate) dispersed throughout the house. The level of occupancy of the rings, behaviours performed in each substrate, and the effect of ring position (central or edge of house) were assessed in weeks 3, 4, 5 and 6 using scan sampling from video footage. Where substrates successfully promoted dustbathing, the length and components of the bouts (including number of vertical wingshakes and ground pecks) were also assessed. Results showed that birds used P significantly more than the remaining substrates for dustbathing ( $P < 0.001$ ). Oat hulls were the second most preferred substrate for dustbathing, with significantly more birds dustbathing in the OH compared to SP, WS and C ( $P < 0.001$ ). The least sitting inactive was also seen in the P and OH rings compared to the SP, WS and C ( $P < 0.001$ ). The highest levels of foraging were recorded in the P, OH and WS compared to SP and the C. Position of the rings did not affect the types of behaviours performed in any substrate, although overall more birds were counted in the central compared to edge rings ( $P = 0.001$ ). More detailed information on dustbathing behaviour was only recorded in the P and OH treatments, and there were no differences in the length of dustbathing bout, or components of the bout between them ( $P > 0.05$ ). The use of OH is likely to be more environmentally sustainable than that of P, and our results suggest that this substrate is relatively successful in promoting dustbathing. However a preference was still observed for P and further work should investigate whether other suitable substrates could better reflect its qualities.

## 1633     **2.1     Introduction**

1634     Dustbathing is a distinctive behaviour observed in many bird species and has been  
1635     well documented in both Red Jungle Fowl and modern chickens (Kruijt, 1964; van  
1636     Liere et al., 1991). With access to litter, birds will perform dustbathing  
1637     approximately every second day (Vestergaard, 1982), with the individual elements of  
1638     the behaviour developing in younger birds until the sequence becomes fixed around  
1639     10-12 days old (Kruijt, 1964). A dustbathing bout usually begins with a bird  
1640     scratching at the ground and raking dust closer to their body, before squatting with  
1641     their feathers erect. The bird then kicks dust into their feathers by scratching their  
1642     legs and performing vertical wing shakes, before rubbing their head along the ground  
1643     and stretching their legs. A dustbathing bout usually ends with the bird standing and  
1644     shaking excess substrate off their body (van Liere et al., 1991).

1645     Thought to function to maintain feather condition and remove ectoparasites (van  
1646     Liere and Bokma, 1987; Martin and Mullens, 2012), dustbathing has proved to be  
1647     highly motivated and birds demonstrate observable frustration when prevented from  
1648     performing the behaviour (Vestergaard et al., 1997). Despite this, the level of  
1649     dustbathing reported in commercial broilers is usually very low, matching a  
1650     generally low level of foraging and locomotion in these birds (e.g. Bailie et al.,  
1651     2013). This may reflect a reduced physical capacity, and probably motivation, to  
1652     perform active behaviours without stimulation in birds genetically selected for high  
1653     productivity (Lindqvist, 2008). Low levels of dustbathing may also reflect a lack of a  
1654     suitable substrate in the house. While bedding is provided in commercial systems,  
1655     the typical consistency of the litter and the fact that it tends to become wetter and  
1656     more compact across the production cycle, may limit its attractiveness for  
1657     dustbathing. Broiler chickens may therefore be experiencing frustration from a lack  
1658     of suitable substrate, and providing birds with a preferred dustbathing material that is  
1659     compatible with commercial systems may be an effective environmental enrichment.

1660     Domestic fowl display preferences for dustbathing materials and consistently choose  
1661     loose, friable substrates, which may reflect their effectiveness at removing lipids.  
1662     Although previous experience may influence a bird's perception to an extent,  
1663     identifying suitable dustbathing substrates appears to be innate and adult birds will  
1664     still show a preference for substrates they have no previous experience of (Sanotra et

1665 al., 1995; Wichman and Keeling, 2008). Peat has been identified as a highly  
1666 preferred substrate to laying hens (Petherick and Duncan, 1989; de Jong et al., 2005;  
1667 de Jong et al., 2007) and is thus a frequently used stimulant in trials investigating  
1668 dustbathing (e.g. Wichman and Keeling, 2008). Sand also appears to be beneficial  
1669 and highly attractive to broilers (Shields et al., 2004; 2005). Other substrates that  
1670 have been tested in dustbathing trials with less success include rice hulls,  
1671 woodshavings, shell sand and paper (Shields et al., 2004; Toghyani et al., 2010,  
1672 Guinebretière et al., 2014; Villagrà et al., 2014). The quality of the dustbathing  
1673 performed may also be influenced by substrate type. More vertical wing shakes and  
1674 ground pecking were performed on sand compared to woodshavings (Shields et al.,  
1675 2004), and dustbathing bouts were longer in peat compared to sand, sawdust and  
1676 woodshavings (Petherick and Duncan, 1989).

1677 Biosecurity restrictions prevent the use of untreated earth, and, although sand and  
1678 peat are frequently used in dustbathing trials and consistently reported as optimal,  
1679 sand may interfere with the processing of used litter and peat is environmentally  
1680 unsustainable and expensive. This trial was designed to test the attractiveness and  
1681 level of use of various substrates that would be appropriate for inclusion in  
1682 commercial broiler houses. Although the primary focus was on dustbathing, other  
1683 activities performed in each substrate were also recorded to determine whether they  
1684 would promote additional active behaviours, such as foraging. The substrates that  
1685 were evaluated included peat, ground oat hulls, straw pellets, clean woodshavings  
1686 and litter (standard woodshaving bedding which degraded across the cycle and  
1687 served as a control treatment). It would also be valuable to know, in a commercial  
1688 house, whether level of use of a substrate varies depending on its position around the  
1689 house and therefore this study also investigated the effect of location on enrichment  
1690 use.

#### 1691 2.1.1 Pilot study

1692 An initial pilot trial was performed in one windowed commercial broiler house in  
1693 Northern Ireland over two production cycles. Approximately 23 000 mixed sex Ross  
1694 308 broilers were placed “as hatched” at the start of each cycle. On day 10, five  
1695 substrates were placed in fifteen steel rings and distributed evenly around the house,  
1696 in both central and outer “edge” areas of the house. All substrates were topped up to

1697 maintain their original condition throughout the trial. During weeks 2 and 3 of each  
1698 production cycle, Camileo X-Sports cameras were attached to tripods and used to  
1699 film each substrate for 2 hours in one randomly chosen central and edge ring. Video  
1700 footage was then analysed using scan sampling, with five scans per hour of footage  
1701 (at 5, 15, 25, 35 and 45 minutes). During scans, the number of birds inside each ring  
1702 was counted, and bird behaviour was categorised as either dustbathing, foraging,  
1703 standing, sitting, walking, stretching, sitting pecking, sitting preening, standing,  
1704 preening, resting, lying, or other. These methods were applied in the main trial and  
1705 are fully described in Section 2.2.

1706 The substrates included were: 1) peat, 2) oat hulls, 3) Well-Dry, 4) straw pellets and  
1707 5) woodshavings. Substrates were selected based on previous research and advice  
1708 from the producers. Peat is known to be an attractive substrate for dustbathing in  
1709 laying hens, and was chosen as a useful comparison with other suggested materials.  
1710 Oat hulls are a by-product of oat milling and would be a cheap and easily sourced  
1711 substrate for commercial housing. Straw pellets have been used as broiler bedding  
1712 and break down into a dusty material that could potentially promote dustbathing.  
1713 Woodshavings were chosen to investigate whether the broilers current bedding is  
1714 successful in eliciting any dustbathing behaviour. The producers had also expressed  
1715 an interest in evaluating a material known as “Well-Dry”. This material is a light  
1716 grey powder with a consistency similar to flour, and is typically used as a feed-  
1717 additive (Sol 4 u Europe, 2014, 2016). It has additionally been suggested for use as a  
1718 caking agent to reduce litter moisture and ammonia content, and as a dustbathing  
1719 substrate for broilers (Sol 4 u Europe, 2014).

1720 During the pilot trial, there was some concern that Well-Dry was increasing the  
1721 levels of dust in the house, based on the dust visible in the air around the Well-Dry  
1722 rings. Results from the preliminary trial indicated that Well-Dry was the least  
1723 attractive substrate in terms of average birds in the rings, although the birds using the  
1724 Well-Dry did appear to identify it as a dustbathing and foraging material (Table 1).  
1725 The decision was made to discontinue use of Well-Dry for the main trial. It was also  
1726 noted that clean woodshavings (consistently topped-up throughout the trial) did not  
1727 reflect the broilers litter, especially towards the end of the trial, and did not represent  
1728 a suitable control. Therefore, the substrates included in the main trial were 1) peat, 2)

1729 oat hulls, 3) straw pellets, 4) woodshavings, and 5) litter control (a ring placed on the  
1730 existing litter that followed normal degradation).

**Table 1.** Mean birds counted in each substrate and the distribution of behaviours observed during the pilot trial

Substrate	Behaviour (%)						
	Mean birds	DB	F	Si	Lo	Pr	O
Peat	25	9	16	58	7	9	1
Oat hulls	19	12	18	53	6	10	1
Well-Dry	9	13	39	27	16	3	2
Straw pellets	24	4	5	73	8	9	1
Woodshavings	29	0	6	78	9	6	1

The behaviours recorded were: dustbathing (DB), foraging (F), sitting (Si; this included sitting inactive, sitting preening, resting and lying), locomotion (Lo; this included standing and walking), preening (Pr; this included standing preening and sitting preening), and other (O; this included stretching and other).

## 1731 2.2 Material and methods

### 1732 2.2.1 Subjects and housing

1733 The main experiment was carried out between August and December 2015, in one  
1734 commercial broiler house over three replicate 6 week cycles, with approximately 22  
1735 000 Ross broiler chickens (Aviagen Ltd, UK) housed per cycle. Day old chicks were  
1736 placed ‘as hatched’ at the start of each cycle, and therefore there was an approximate  
1737 50:50 mix of males and females. The windowed commercial house used was a  
1738 standard 19 m x 74 m metal framed shed, with a total floor area of approximately 1  
1739 398m<sup>2</sup>, giving an initial stocking density of 16 birds/m<sup>2</sup>. At day 30, a proportion of  
1740 the birds were removed for “thinning” which is the common commercial practice of

1741 partial depopulation of the flock for slaughter, and the remaining birds were cleared  
1742 between days 37 and 42.

1743 Birds were raised under commercial management practices. Water was provided by  
1744 nipple drinkers and feed was supplied ad libitum throughout rearing. Temperature  
1745 and humidity were controlled automatically to maintain levels within the commercial  
1746 standard. Natural light was provided through 43 windows along the long sides of the  
1747 house (measuring 220 cm wide × 60 cm high, at a height of 1.5m), and artificial strip  
1748 lighting was also provided. The lighting regime used followed EU regulations: time  
1749 in darkness increased by 1 hour per day, from 1 hour at a day old to 6 hours on day 7,  
1750 and then decreased on day 29 by 1 hour per day to 1 hour of darkness, which was  
1751 maintained from day 33 to slaughter. Woodshavings were provided as bedding  
1752 before the birds were placed, with additional shavings then distributed at the farmer's  
1753 discretion across the cycle to maintain litter quality.

#### 1754 2.2.2 Treatments and experimental design

1755 Fifteen steel rings were positioned evenly (approximately 1 per 93m<sup>2</sup>; Figure 1)  
1756 throughout the house on day 10 of the cycle. Although it appears to be preferable to  
1757 include bedding materials as early as possible, for birds to properly associate them  
1758 with foraging and dustbathing (Vestergaard and Baranyiova, 1996; Huber-Eicher and  
1759 Wechsler, 1997), there are practical limitations under commercial conditions. Chick  
1760 feeder sheets are rolled onto the ground for the first week of the cycle which  
1761 prevented ring placement, and there were also some concerns that chicks could get  
1762 trapped in the rings. As already outlined, chickens show an innate ability to identify  
1763 'dust' (Nicol et al., 2001) and day 10 is just within the "sensitive period" for learning  
1764 in young chicks (Vestergaard and Baranyiova, 1996; Huber-Eicher and Wechsler,  
1765 1997). As this was a study designed to test the value of these enrichments in a  
1766 commercial environment, it was also deemed important to present enrichments in a  
1767 realistic rather than experimental manner. However, further research into any  
1768 potential benefits of including a dustbathing enrichment on day 0 would be valuable.

1769 The rings had a diameter of 1.1m and were 7.62cm deep; birds were able to climb  
1770 into the rings from day 10 and were unable to perch on the ring edges. With the



exception of the litter control, three rings of each substrate were cleared of litter and filled with either Irish moss-peat (P), oat hulls (OH), straw pellets (SP), or woodshavings (WS) (Photo 1). The moss-peat provided was commercially available Sphagnum peat (Better Growing Ltd, UK). Oat hulls are the ground outer hull of oats, produced as a by-product of oat milling and locally sourced (Whites Speedicook Ltd, Craigavon, UK), with a consistency and colour similar to sawdust. Straw pellets are compressed, pelleted wheat straw which can be used as an alternative bedding for broilers. The pellets degrade into a dark brown, moisture absorbent material that is also similar in consistency to sawdust. The woodshavings supplied were the same material that the birds were initially bedded on. All materials have previously been included in trials with poultry (e.g. Petherick and Duncan, 1989; Hetland and Svihus, 2001) or are used within the poultry industry. The three rings for the litter control treatment were simply placed on top of the existing woodshavings bedding and allowed to degrade into “litter” (which can involve a mixture of woodshavings, faeces and feed). The substrate locations were pre-determined to ensure the presence of each substrate in both central and edge locations of the house. Rings in edge locations were equidistant from feeders and drinkers and birds were able to reach both from the rings (Figure 1). Rings placed in central lines were further from feeders and drinkers and neither could be reached by birds inside the central rings. For each replicate, rings remained in the same location but the substrates they contained were rotated.

In order to keep the P, OH, SP and WS dry, friable and in a condition suitable for dustbathing and foraging they were replenished throughout the study. These substrates degraded at a different rate and were maintained based on their individual condition. Fresh substrate was added to the rings either when they contained  $\leq$  half the original level of substrate, or when the substrate was no longer considered friable enough for dustbathing (e.g. was compacted or damp). However, regardless of condition, all P, OH, SP and WS rings were always refilled to their original level on the morning of observations to avoid novelty bias. Control rings were not refilled with woodshavings, and therefore degraded similarly to the house litter.

1803

1804

1805

1806



1807

Moss-Peat



Oat hulls

1808

1809

1810

1811



Straw pellets



Woodshavings

1812

1813

1814

1815

1816

1817

1818

1819



Control



Well-Dry

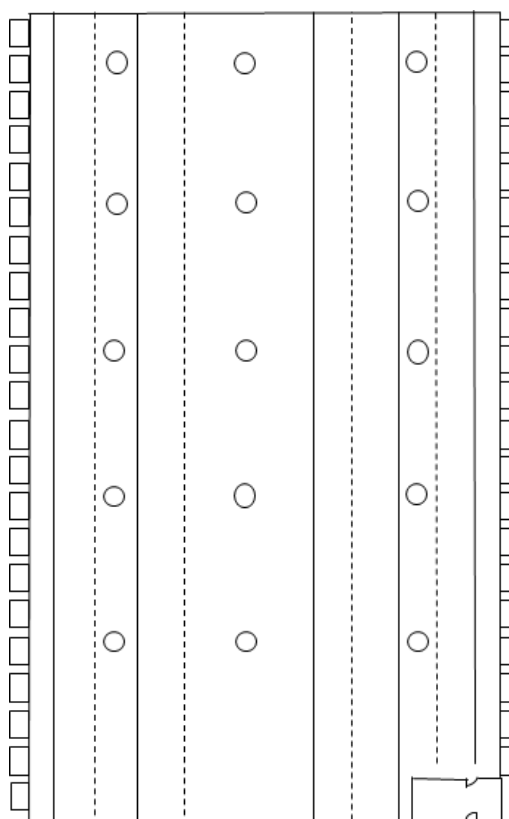
1820

1821

1822

1823

**Photo 1.** Potential dustbathing substrates evaluated within this trial. Peat, oat hulls, straw pellets, woodshavings and control rings were tested in the main experiment. Well-Dry was trialled during the pilot study only.



1824

1825 **Figure 1.** Representation of ring placement (circles) within the commercial broiler house.  
 1826 Rectangular boxes along the walls of the house represent windows. Within the house,  
 1827 vertical solid lines are drinker lines and broken vertical lines are feeders.

1828

### 1829 2.2.3 Data collection

1830 The farm was visited four times per production cycle in weeks 3, 4, 5 (before  
 1831 thinning) and 6 (after thinning). Between 12:00 h and 16:00 h, ten rings (two of each  
 1832 substrate) were filmed for one hour each using five Toshiba Camileo X-Sports  
 1833 cameras mounted on wooden tripods. The rings filmed were chosen randomly each  
 1834 week, with the condition that one ring containing each substrate was located in an  
 1835 edge location and one in a central location. The order of filming, either edge or  
 1836 central ring first, was randomised each week. All data collection was performed by  
 1837 the same observer. Scan sampling of video recordings was used to observe birds

1838 inside the rings (Weeks et al., 2000; Shields et al., 2005). For each hour of footage,  
1839 instantaneous scans were performed at 5,15,25,35 and 45 minutes. The total number  
1840 of birds in the ring were counted and the behaviour of each bird was categorised  
1841 according to Table 2.

1842 Although comparison of dustbathing components was planned for all substrates,  
1843 sufficient dustbathing for analysis was only recorded in peat and oat hulls rings.  
1844 Comparison of the elements of dustbathing performed in peat and oat hulls was made  
1845 using focal observations of 24 birds per substrate (n total = 48). These observations  
1846 were performed during week 5 when the highest mean number of dustbathing bouts  
1847 were performed. For each of three cycles, two videos (one central and one edge; two  
1848 hours of footage) were analysed per substrate. In each video, the first four birds to  
1849 perform a vertical wingshake (VWS; classic dustbathing action that shuffles the  
1850 wings up and down) were identified. The video was rewound to their first VWS in  
1851 each case and the rest of their dustbathing bout was analysed. The duration of the  
1852 dustbathing bout was determined as the time between the first VWS and when the  
1853 bird either performed a bodyshake, left the ring or performed no dustbathing  
1854 behaviour for 10 minutes after the last VWS. During the bout, the number of VWS's,  
1855 ground pecks, leg scratches and siderubs (rubbing the head and neck along the  
1856 ground) were counted. The method that ended the bout was also recorded: either with  
1857 or without a bodyshake.

1858

1859 **Table 2.** Ethogram of broiler chicken behaviours used in the present trial, based on Cornetto  
1860 and Estevez (2001b) and Shields et al. (2005)  
1861

<i>Behaviour</i>	<i>Definition</i>
Dustbathing	Classic lying and rolling head in the substrate, accompanied with vertical wing shakes, preening, scratching and ground pecking.
Foraging	Scratching and pecking at the substrate (from a standing or walking position)
Standing	Standing with no other activity
Sitting	Sitting with no other activity
Walking	Walking, with no other pecking or scratching activity
Stretching	Stretching out a wing and/or leg and then retracting it in one motion
Sitting pecking	Sitting and ground pecking
Sitting preening	Preening, running beak through feathers, while sitting
Standing preening	Preening, running beak through feathers, while standing
Resting	Sitting with head under wing, or resting on the ground
Lying	Bird lying on one side with a leg and/or wing stretched out
Other	Any other behaviours, e.g. eating or drinking

#### 1862 2.2.4 Statistical analysis

1863 For the instantaneous scan observations, counts from the five scans were pooled to  
1864 give an average number of birds present in the ring (ring occupancy) and average  
1865 number counted in each behavioural category, per hour. Behaviours were then  
1866 grouped to facilitate analysis. “Standing” and “walking” scores were grouped into  
1867 “locomotion” as both behaviours were performed from an upright position but were  
1868 separate from foraging behaviour. “Sitting inactive”, “resting” and “lying” were  
1869 grouped into “sitting inactive” because the motivation for these behaviours is linked  
1870 and the outcome on leg health is similar. “Standing preening” and “sitting preening”  
1871 were grouped in order to see the effect on overall preening behaviour. “Stretching”  
1872 and “other” were excluded from analysis because they were infrequently recorded.  
1873 The behaviour “other” was almost exclusively scored when birds sat inside the ring

1874 but interacted with feeders and drinkers. This was deemed irrelevant to the aims of  
1875 this study and was excluded from analysis. Normality of the data was assessed  
1876 through inspection of histograms, Q-Q plots and Shapiro-Wilk tests on data  
1877 residuals. Where necessary, data were transformed to improve normality prior to  
1878 parametric analysis, or where transformations were not appropriate non-parametric  
1879 tests were applied. A significance level of  $P < 0.05$  was used for all tests.

1880 Total counts of birds using the rings were used to demonstrate the general  
1881 attractiveness of substrates. This was analysed using overall counts (all weeks) and  
1882 counts within weeks. The latter analysis was performed to determine if preference for  
1883 substrate was affected by age. Residuals for ring occupancy counts were positively  
1884 skewed and were improved with square root transformation prior to analysis with a  
1885 one-way ANOVA of transformed means by “substrate type”. “Cycle” was initially  
1886 included within the model and was disregarded as it had no significant effect on  
1887 variation between substrates. Due to one case of missing data for the oat hulls rings,  
1888 a Gabriel test was chosen for post-hoc analysis to account for the unequal sample  
1889 size.

1890 To compare the behaviours performed in each substrate, analysis was carried out on  
1891 both the average number of birds performing each behaviour, and the percentage of  
1892 birds that they represented (in relation to the total number in that substrate ring). The  
1893 average number of birds performing each behaviour showed how many birds were  
1894 attracted to use the substrate, while values for the percentage use were limited to  
1895 showing how much of a behaviour was performed in relation to the other birds in the  
1896 ring. Results for both methods were similar and only analysis of the average number  
1897 of birds is presented; percentage values are presented for interpretation. Residuals  
1898 were positively skewed and improved with a square root transformation prior to  
1899 analysis. For each behaviour, the overall number of birds was compared by substrate  
1900 using a one-way ANOVA on transformed means. Analysis was also performed to  
1901 investigate possible changes in substrate use over time. Only the percentage of birds  
1902 in the ring performing different behaviours was used for analysis; this was because  
1903 the average number of birds using each ring reduced over time as fewer broilers  
1904 could fit in the ring. Residuals for the percentage of birds performing each behaviour  
1905 by week were non-normally distributed and could not be improved by

transformation. Therefore, to investigate substrate use over time, a Mann Whitney U test was used to assess whether differences were observed between weeks 3 and 6 in the percentage of birds engaged in different behaviours within each substrate type.

To investigate the effect of ring location, the average number of birds present in the rings and the percentage birds performing each behaviour were grouped by ring location; either central ( $n = 59$ ) or edge ( $n = 60$ ). A two-way ANOVA with “location” and “substrate” as treatment factors was used to compare location main and interaction effects on ring occupancy and proportional use. For focal dustbathing observations of peat and oat hulls, independent t-tests were used to compare bout length and components in focal observations, and the method of bout termination was analysed using a chi squared test.

## 2.3 Results

### 2.3.1 Ring occupancy

A total of 8457 broilers were observed in the rings over the course of the trial. Substrate had an effect on the mean number of birds recorded in the rings ( $F_{4,114} = 6.740$ ,  $P < 0.001$ ). Overall, significantly more birds were counted in the peat and woodshavings rings compared to the oat hull and straw pellets, however there was no significant difference between the litter control and any other substrate (Table 3). Between each week, there was some variation in occupancy between substrates although the occupancy patterns tended to reflect the overall pattern of higher numbers of birds counted in the peat and woodshavings rings compared to the oat hulls and straw pellets. The higher occupancy in peat developed over time, with a clear preference for peat developing from week 5 over oat hulls and straw pellets (Table 3).

### 2.3.2 Behaviour in each substrate

Of all birds observed in the rings in total, 10% were observed dustbathing, 16% foraging, 18% sitting pecking, 39% sitting inactive, 6% preening and 10% were in locomotion. Substrate type had a significant effect on several behavioural categories, including the number of birds observed dustbathing ( $F_{4,114} = 63.86$ ,  $P < 0.001$ ) and

1935 foraging ( $F_{4,114} = 20.27$ ,  $P < 0.001$ ); post hoc tests are presented in Table 4. The  
 1936 highest levels of dustbathing were seen in peat rings. Oat hulls were the next most  
 1937 preferred substrate for dustbathing, with significantly more dustbathing observed in  
 1938 oat hulls compared to straw pellet, woodshavings and control rings. Significantly  
 1939 higher levels of foraging were recorded in peat, oat hulls and woodshaving rings  
 1940 compared to straw pellets and the control. The number of birds recorded sitting  
 1941 pecking ( $F_{4,114} = 17.27$ ,  $P < 0.001$ ) and sitting inactive ( $F_{4,114} = 15.85$ ,  $P < 0.001$ )  
 1942 was also affected by substrate. The highest level of sitting pecking was recorded in  
 1943 the woodshavings rings, and significantly more birds were observed sitting inactive  
 1944 in the woodshavings, straw pellet and control rings compared to the oat hull and peat  
 1945 rings. Although generally low levels were observed, substrate also had an effect on  
 1946 levels of preening ( $F_{4,114} = 8.84$ ,  $P < 0.001$ ), with lower levels of preening observed  
 1947 in oat hulls compared to all other substrates.

1948 With the exception of woodshavings and straw pellets, the use of the remaining  
 1949 substrates changed between weeks 3 and 6 of the cycle (key behaviours affected are  
 1950 illustrated in Figure 2). In the peat rings, there was an increase in the percentage of  
 1951 birds using the peat for dustbathing ( $U = 36$ ,  $r = 0.83$ ,  $P = 0.002$ ), and a reduction in  
 1952 foraging ( $U = 21$ ,  $r = -0.83$ ,  $P = 0.002$ ) and locomotion ( $U = 1$ ,  $r = -0.79$ ,  $P = 0.004$ )  
 1953 which was parallel to an increase in inactivity ( $U = 36$ ,  $r = 0.83$ ,  $P = 0.002$ ).  
 1954 Similarly, in oat hull rings, an increasing percentage of birds used the rings for  
 1955 dustbathing between weeks 3 and 6 ( $U = 32$ ,  $r = 0.65$ ,  $P = 0.026$ ), and there was a  
 1956 reduction in foraging behaviour recorded ( $U = 4$ ,  $r = -0.65$ ,  $P = 0.026$ ). For the  
 1957 control rings, levels of dustbathing remained consistently low, and levels of sitting  
 1958 inactive remained consistently high. However, the use of the control rings for  
 1959 foraging ( $U = 0$ ,  $r = -0.86$ ,  $P = 0.002$ ), sitting pecking ( $U = 5$ ,  $r = -0.60$ ,  $P = 0.041$ )  
 1960 and locomotion ( $U = 0$ ,  $r = -0.83$ ,  $P = 0.002$ ) decreased between weeks 3 and 6.



**Table 3.** The mean number of broilers counted in each substrate each week throughout the production cycle and overall

	Substrate					
	Peat (CI)	Oat hulls (CI)	Straw pellets (CI)	Woodshavings (CI)	Control (CI)	P-value
Week 3	17.67 (7.53,26.19)	14.41 (10.10,19.53)	18.18 (10.37,28.16)	29.06 (17.65,43.30)	16.65 (15.78,22.30)	0.05 <sup>1963</sup>
Week 4	18.88 <sup>a</sup> (14.21,24.22)	9.66 <sup>b</sup> (5.78,15.54)	12.99 <sup>ab</sup> (9.75,16.70)	16.00 <sup>ab</sup> (14.42,17.60)	11.21 <sup>b</sup> (6.91,15.60)	0.004*
Week 5	20.53 <sup>a</sup> (16.76,24.68)	11.15 <sup>b</sup> (8.71,13.90)	11.51 <sup>b</sup> (9.29,13.97)	18.78 <sup>ac</sup> (15.91,21.88)	13.86 <sup>bc</sup> (13.20,16.77)	<0.001**
Week 6	12.56 <sup>a</sup> (11.47,13.71)	5.58 <sup>b</sup> (2.92,9.09)	6.11 <sup>b</sup> (2.16, 12.06)	8.42 <sup>ab</sup> (6.05,11.18)	7.59 <sup>ab</sup> (6.51,9.38)	0.013*
Overall	17.27 <sup>a</sup> (15.08,19.61)	9.94 <sup>b</sup> (8.02,12.07)	11.78 <sup>b</sup> (9.18,14.72)	17.27 <sup>a</sup> (13.68,21.28)	12.09 <sup>ab</sup> (9.93,14.81)	<0.001*

\* $P < 0.05$ ; \*\* $P < 0.001$ .;

<sup>a,b,c</sup>Values within a row with different superscripts differ at  $P < 0.05$ . Means and confidence intervals (CI) have been backtransformed to their original scale.

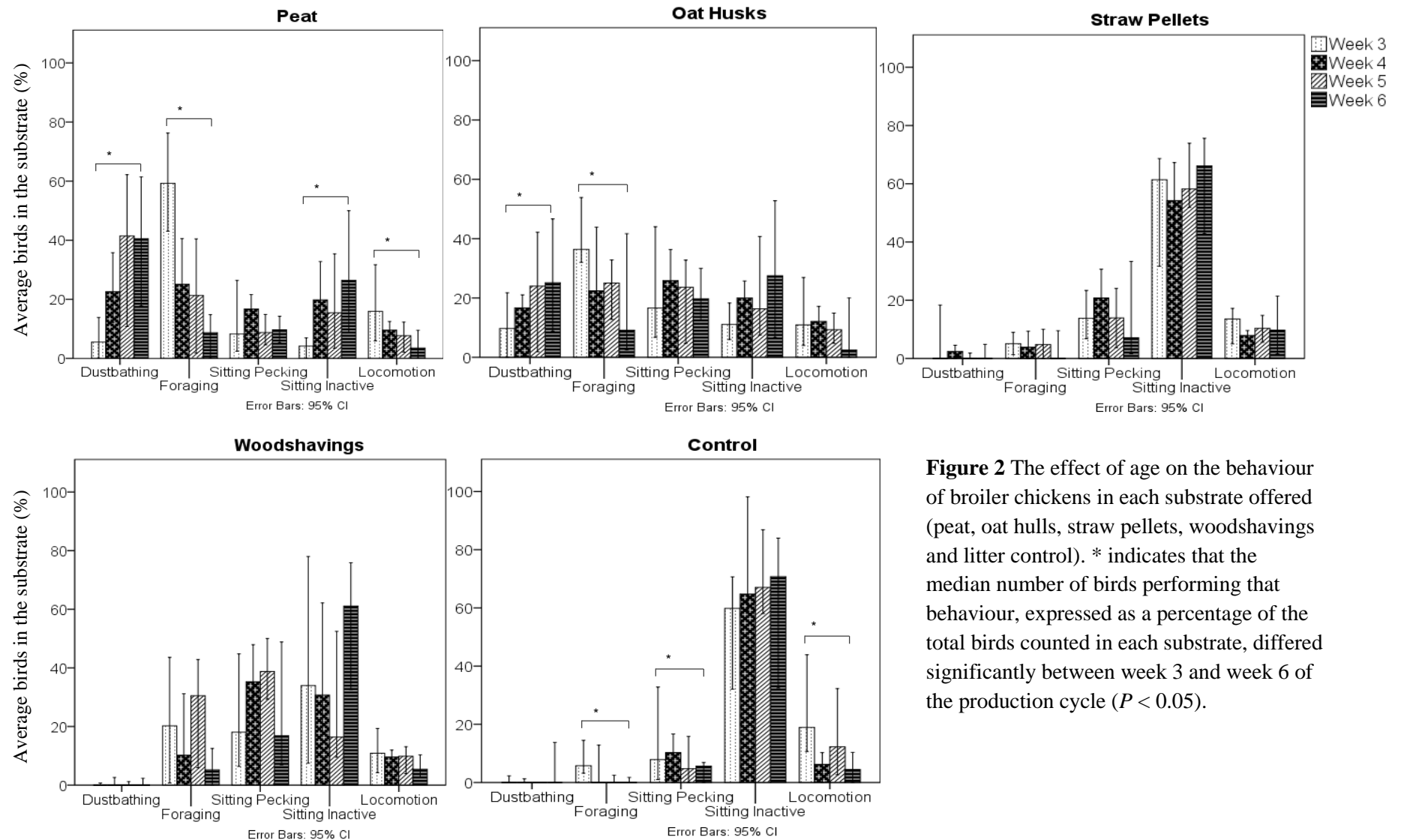
**Table 4** The average number and percentage of broiler chickens observed in each behaviour category in different substrates

Behaviours	Substrate					<i>P</i> -value
	Peat (CI)	Oat Hulls (CI)	Straw Pellets (CI)	Woodshavings (CI)	Control (CI)	
Dustbathing						
Mean number of birds <sup>1</sup>	4.01 <sup>a</sup> (2.67, 5.65)	1.40 <sup>b</sup> (0.94, 1.95)	0.07 <sup>c</sup> (0.01, 0.19)	0.02 <sup>c</sup> (0.0019, 0.054)	0.10 <sup>c</sup> (0.00064, 0.054)	<0.001
% of total birds <sup>2</sup>	27.83	18.69	1.79	0.49	0.72	
Foraging						
Mean number of birds	4.23 <sup>a</sup> (2.60, 6.26)	2.70 <sup>a</sup> (1.74, 3.88)	0.36 <sup>b</sup> (0.17, 0.62)	2.60 <sup>a</sup> (1.48, 4.06)	0.16 <sup>b</sup> (0.030, 0.40)	<0.001
% of total birds	28.38	27.16	4.15	17.21	2.56	
Sitting pecking						
Mean number of birds	1.92 <sup>b</sup> (1.44, 2.48)	1.90 <sup>b</sup> (1.43, 2.45)	1.67 <sup>bc</sup> (1.21, 2.21)	4.64 <sup>a</sup> (3.49, 5.95)	0.81 <sup>c</sup> (0.41, 1.35)	<0.001
% of total birds	11.73	21.10	18.84	29.35	7.99	
Sitting inactive						
Mean number of birds	2.47 <sup>b</sup> (1.59, 3.55)	1.72 <sup>b</sup> (1.26, 2.25)	6.71 <sup>a</sup> (4.91, 8.78)	5.74 <sup>a</sup> (3.75, 8.14)	7.72 <sup>a</sup> (6.27, 9.32)	<0.001
% of total birds	17.30	19.37	55.72	37.55	65.40	
Preening						
Mean number of birds	0.44 <sup>ab</sup> (0.22, 0.74)	0.20 <sup>b</sup> (0.095, 0.34)	0.91 <sup>a</sup> (0.61, 1.16)	0.86 <sup>a</sup> (0.61, 1.16)	0.92 <sup>a</sup> (0.70, 1.17)	<0.001
% of total birds	3.95	2.38	8.35	6.05	8.51	

<sup>1</sup>Means and confidence intervals (CI) have been back-transformed to their original scale

<sup>2</sup>For interpretation: values are the percentage of birds performing each behaviour in relation to the average number of birds recorded in the substrate

<sup>a,b,c</sup>Values within a row with different superscripts differ at  $P < 0.05$



**Figure 2** The effect of age on the behaviour of broiler chickens in each substrate offered (peat, oat hulls, straw pellets, woodshavings and litter control). \* indicates that the median number of birds performing that behaviour, expressed as a percentage of the total birds counted in each substrate, differed significantly between week 3 and week 6 of the production cycle ( $P < 0.05$ ).

### 1965 2.3.3 Ring location

1966 There were no significant interactions between location and substrate for ring  
 1967 occupancy ( $F_{4,109} = 0.24$ ,  $P = 0.92$ ), however significantly more birds overall were  
 1968 counted in the central ( $M = 16.48$ ) compared to the edge rings ( $M = 12.36$ ;  $F_{1,109} =$   
 1969  $11.59$ ,  $P = 0.001$ ). There were no location by substrate interactions for behaviours  
 1970 performed ( $P > 0.05$ ), and no main effect of location on any behaviours ( $P > 0.2$ ).

### 1971 2.3.4 Dustbathing complexity

1972 There were no significant differences in length of bout or any of the components of a  
 1973 bout between the peat and oat hulls rings (Table 5). There was also no significant  
 1974 effect of substrate on method of bout termination,  $\chi^2(1) = 0.105$ ,  $P = 0.75$ .

1977 **Table 5.** Comparison of dustbathing bouts performed by commercial broiler chickens in peat  
 1978 and oat hulls

	Substrate					
	Peat		Oat hulls		SEM	P-value
	n	Mean	n	Mean		
Bout length (mins)	24	16.40	24	13.85	0.85	0.13
Number of vertical wingshakes	24	26.38	24	23.00	1.14	0.14
Number of ground pecks	24	179.13	24	205.08	15.49	0.41
Number of leg scratches	24	35.67	24	37.83	2.49	0.67
Number of side rubs	24	27.79	24	24.58	1.98	0.43

## 1986    **2.4    Discussion**

1987    All substrates were used by the broilers throughout the cycle, and there were clear  
1988    distinctions in the types of behaviours performed in each. Although there was no  
1989    difference in the overall number of broilers counted in each substrate compared to  
1990    the control, more birds were recorded in the peat and woodshavings rings compared  
1991    to the oat hulls and straw pellets. Peat was predicted to attract a high number of  
1992    broilers based on previous work involving laying hens, however this appears to be  
1993    the first study to demonstrate that broilers show a preference for peat as a  
1994    dustbathing material. The preference for woodshavings over the more friable and  
1995    “sand-like” oat hulls was less expected. It may be that some quality of woodshavings  
1996    makes it an attractive substrate, but the preference may also be influenced by  
1997    previous experience (Sanotra et al., 1995; Nicol et al., 2001). Although the head  
1998    count in each substrate gave a general indication of attractiveness, the suitability of  
1999    substrates as enrichments depends on the types of behaviours they promote.  
2000    Consistent with previous trials (Petherick and Duncan, 1989), the highest level of  
2001    dustbathing was seen in peat. Birds also appeared to identify oat hulls as a  
2002    dustbathing substrate, with significantly more dustbathing performed in oat hulls  
2003    compared to the remaining substrates. Despite the broilers early experience of  
2004    woodshavings bedding, the low level of dustbathing observed in the woodshavings  
2005    rings is consistent with research that showed that birds have an innate ability to  
2006    identify ‘dust’ rather than developing a preference based on initial exposure to  
2007    substrates (Wichman and Keeling, 2008). However, woodshavings did prove to be  
2008    an attractive foraging substrate, with similarly high levels of foraging performed in  
2009    peat, oat hulls and woodshavings rings compared to straw pellets and the control.  
2010    This is consistent with previous trials that have found woodshavings to be attractive  
2011    for ground scratching and pecking (Petherick and Duncan, 1989; Toghyani et al.,  
2012    2010). Foraging is a much-reduced behaviour in broiler chickens compared to their  
2013    ancestors and laying hen counterparts. Modern broilers have been selected for rapid  
2014    growth rate and increased muscle mass which has resulted in an inefficient, tiring  
2015    gait pattern (Corr et al., 2003) and a susceptibility to skeletal disorders and  
2016    deformities that are assumed to be painful (Vestergaard and Sanotra, 1999; Danbury  
2017    et al., 2000). However, broilers are capable of moving more than they choose to  
2018    (Reiter and Bessei, 1995; Bessei, 2006), and providing a substrate that promotes  
2019    foraging would be central in increasing overall activity levels. It is worth noting that

2020 although levels of foraging by birds did not differ significantly between  
2021 woodshavings, peat and oat hulls in the current experiment, levels of sitting inactive  
2022 were significantly higher in woodshavings. High levels of resting could indicate  
2023 comfort, however a key aim of providing enrichments for broiler chickens is to  
2024 reduce the amount of time spent sitting down and encouraging exercise in young  
2025 broilers, which allows for proper bone and muscle development and improves leg  
2026 condition (Thorp and Duff, 1988; Reiter and Bessei, 1995).

2027 Broilers physiology and behaviour patterns change significantly over the 6 week  
2028 cycle, with inactivity increasing to around 80% by slaughter weight (Weeks et al.,  
2029 2000). Effective enrichments should therefore continue to promote activity as birds  
2030 age. In this trial, there was an expected decrease in foraging behaviour in older birds,  
2031 however there was an increase in the percentage of broilers using preferred  
2032 substrates for dustbathing. Current literature is inconsistent on the effect of age on  
2033 dustbathing behaviour in domestic fowl, with reports of no effect of age (Weeks et  
2034 al., 2000; Cornetto and Estevez, 2001b; Shields et al., 2004; Bailie et al., 2013;  
2035 Villagr  et al., 2014), and some trends of increased dustbathing to peaks at around  
2036 week 6-7 (Weeks et al., 1994; Bokkers and Koene, 2003). These increases in  
2037 dustbathing may be consistent with the normal development of the behaviour. In red  
2038 junglefowl, dustbathing frequency and vertical wingshakes increase in young birds  
2039 until it stabilises at around 3-4 weeks (Hogan et al., 1991). They may also, however,  
2040 reflect an increased redirection of the behaviour towards more suitable substrates as  
2041 house litter quality declines. There was no apparent increase in dustbathing in the  
2042 straw pellet, wood shaving and control rings which may suggest that the lack of age  
2043 effect noted in some previous studies was due to a lack of suitable substrate. The  
2044 percentage of birds foraging declined with age in peat, oat hull and control rings, and  
2045 remained low throughout in straw pellets. Once birds get larger and their gaits  
2046 become more inefficient (Corr et al., 2003), energy resources are likely to be  
2047 reallocated and the reduction in foraging can be explained as an adaptive reduction  
2048 in contrafreeloading (Lindqvist et al., 2006). Dustbathing behaviour is likely to be  
2049 less affected by this phenomenon and the motivation for dustbathing may remain  
2050 higher.

2051 More precise measures of the components of dustbathing performed in the peat and  
2052 oat hulls rings were used to investigate whether one substrate was more capable of

2053 satisfying the motivation than the other. No significant difference was found in bout  
2054 length, method of termination, number of vertical wingshakes or any other elements.  
2055 Given the overall higher attractiveness of peat, a difference in dustbathing structure  
2056 may have been expected. Vestergaard et al. (1990) recorded very little difference in  
2057 the frequency and components of dustbathing in jungle fowl birds housed on either  
2058 wire or sand. However, they did find that dustbathing bouts tended to be longer on  
2059 wire and that in longer bouts birds were more likely to end the dustbathing with a  
2060 bodyshake in sand compared to wire. They propose that although dust may not be  
2061 required to begin a dustbathing bout, hence sham dustbathing, it may be important in  
2062 giving the feedback that ends the bout. This would suggest that although the lack of  
2063 difference in components cannot necessarily mean that peat and oat hulls were an  
2064 equally satisfying “dust”, the lack of difference in how the bout was terminated  
2065 could show that they were both providing the necessary feedback of a proper  
2066 dustbathing substrate. However, Petherick and Duncan (1989) found that hens  
2067 dustbathe in peat for significantly longer than in sand, sawdust and woodshavings,  
2068 which they interpret as meaning that peat is more satisfying and preferred. This  
2069 infers that oat hulls and peat may be considered equally satisfactory as a dustbathing  
2070 substrate.

2071 The location of the rings (either edge or central) did not have an effect on the types  
2072 of behaviours performed. However, overall there were more birds counted in the  
2073 rings in central areas of the house which was unexpected as broilers have a tendency  
2074 to stay near pen walls (Cornetto and Estevez, 2001a). The edge rings in this trial  
2075 were not located against the house walls, which means birds crowding directly  
2076 against the walls were unlikely to come into contact with the rings, reducing the edge  
2077 effect expected. Litter moisture is considered to have multidimensional causal  
2078 factors and varies between farms, house design and cycle, however in this house it  
2079 was noted that litter tended to be wetter towards the edges, which could also account  
2080 for increased occupancy in the central areas.

2081 Dustbathing is considered to be a highly-motivated behaviour, however there is  
2082 limited information on the overall level of dustbathing performed in commercial  
2083 settings, with dustbathing sometimes excluded from broilers ethogram or not  
2084 observed at all throughout the trial (e.g. Murphy and Preston, 1988). However, the  
2085 consensus is that dustbathing makes up a very small portion of broilers time budget,

2086 with reports of the % of birds dustbathing over the cycle averaging at 0.38%  
2087 (Thomas et al., 2011), 0.57% (Weeks et al., 1994) and 0.18% (Bailie et al., 2013) in  
2088 birds housed on woodshavings, and 1% (Shields et al., 2004) with constant access to  
2089 sand. The average proportion of birds using the rings for dustbathing in this trial was  
2090 substantially higher in some cases; the average % of birds dustbathing in rings over  
2091 the whole cycle was 28% in peat, 19% in oat hulls, 2% in straw pellets, 0.5% in  
2092 wood shavings and 0.7% in the control treatment. The control rings were  
2093 undisturbed throughout the cycle and represented the litter quality around the rest of  
2094 the house. In later weeks, litter becomes increasingly mixed with faeces and feed  
2095 which creates a more compacted and wet material, making it unsuitable for  
2096 dustbathing. The low levels of dustbathing seen in the control treatment are therefore  
2097 likely to represent levels of dustbathing seen in broilers sheds with similar bedding.  
2098 However, overall 10% of broilers using the novel substrates offered were observed  
2099 dustbathing. This suggests that an appropriate dustbathing substrate may stimulate a  
2100 higher level of dustbathing than would normally be observed in a commercial house.

## 2101 **2.5 Conclusions**

2102 In conclusion, our findings are consistent with previous laying hen research that  
2103 indicates peat is an attractive substrate to domestic fowl and promotes high levels of  
2104 dustbathing. Further work would be useful to determine the nature of the qualities  
2105 that make peat attractive. As peat is considered an impractical addition to UK  
2106 farming systems, oat hulls may be suitable as an alternative commercial enrichment.  
2107 In the present experiment, oat hulls stimulated significantly more dustbathing than  
2108 straw pellets, woodshavings or litter, and promoted similarly high levels of foraging  
2109 and low levels of inactivity compared to peat. There was no difference in the  
2110 duration or components of dustbathing bouts performed in peat and oat hulls,  
2111 suggesting they both satisfy the broilers motivation to dustbathe. One limitation to  
2112 the use of oat hulls, which was not measured in the current study but which should  
2113 be considered in subsequent research, is its effect on dust levels within the house.  
2114 The clear change in proportional use of the peat and oat hulls, with an increase in  
2115 dustbathing and reduction in foraging over time, suggests that dustbathing will  
2116 continue to be performed as broiler chickens age, and therefore that provision of a  
2117 suitable dustbathing substrate will provide effective environmental enrichment for  
2118 commercial broiler chickens throughout the cycle.



## **Chapter Three**

### **Study 2**

#### **Evaluation of a dustbathing substrate and straw bales as environmental enrichments in commercial broiler housing**

## 2119 Preface

2120 Based on the results of the pilot trial described in Chapter 2, oat hulls appeared to be  
2121 an attractive material and readily identified as a dustbathing substrate by broilers.  
2122 Therefore, in order to determine whether oat hulls would be an appropriate  
2123 dustbathing enrichment, a largescale study was designed to run parallel to the main  
2124 preference experiment in Chapter 2. Several points need to be considered before  
2125 recommendations can be made for the inclusion of oat hulls as a commercial  
2126 dustbathing enrichment:

- 2127     ▪ Are oat hulls successful at stimulating dustbathing behaviour in commercial  
2128         broiler chickens, and maintaining interest throughout the production cycle?
- 2129     ▪ Do oat hulls provide broilers with a different stimulation compared to the  
2130         straw bales already currently provided?
- 2131     ▪ Are there any benefits of including a dustbathing material on broiler leg  
2132         health or activity levels?
- 2133     ▪ Would oat hulls be a replacement for straw bales or a supplementary  
2134         enrichment?
- 2135     ▪ Do oat hulls have any effect on production or environmental parameters?
- 2136     ▪ What are the practicalities involved in using oat hulls on farms? (e.g. method  
2137         of containing and distributing oat hulls).

2138 Previous research has found that including a relatively high quantity of long-cut  
2139 straw bales led to an increase in overall broiler activity levels (Kells et al., 2001).  
2140 However, the protocols for straw bale inclusion for this producer differed  
2141 significantly from that research. There was also therefore an interest in knowing  
2142 whether plastic wrapped straw bales, supplied at the density typically outlined by  
2143 this producer, was producing a similar effect on activity levels and broiler behaviour.

## 2144 Abstract

2145 The use of straw bales as an environmental enrichment is common for broiler  
2146 chickens in enriched housing systems, however relatively little information exists  
2147 about their effectiveness in improving welfare. There has also been no widespread  
2148 introduction of a dustbathing material for broilers. The main aim of this trial was to

2149 evaluate the use of a dustbathing substrate (in the form of oat hulls), both as an  
2150 alternative to straw bales and as a supplementary enrichment. Over four replicates,  
2151 four commercial houses, each containing approximately 22 000 broilers, were  
2152 assigned to one of four treatments over the 6 week production cycle: (1) straw bales  
2153 (B; one per 155 m<sup>2</sup>), (2) oat hulls as a dustbathing substrate (OH; provided in 1 m  
2154 diameter steel rings, one per 155 m<sup>2</sup>), (3) both oat hulls and straw bales (OH+B), and  
2155 (4) a control treatment with no environmental enrichment (C). Observations of  
2156 broiler behaviour and leg health were taken weekly, and performance data was  
2157 collected for each cycle. Broilers housed in the OH and OH+B treatments had better  
2158 gait scores in week 6 than those housed in the C treatment ( $P < 0.05$ ), which  
2159 suggests that the provision of oat hulls improved bird leg health. However, there was  
2160 no associated increase in activity levels in unenriched areas of the houses.  
2161 Conversely, more locomotion ( $P < 0.001$ ), less sitting inactive ( $P < 0.001$ ) and less  
2162 sitting pecking ( $P < 0.001$ ) were observed in the C treatment than in unenriched  
2163 areas of B, OH and OH+B treatments. More birds were recorded around the bales  
2164 compared to the oat hulls ( $P < 0.001$ ), however birds performed significantly more  
2165 foraging ( $P = 0.019$ ) and dustbathing ( $P = 0.045$ ) in oat hulls than around straw  
2166 bales. Although oat hulls appear to be more suitable for stimulating active  
2167 behaviours than straw bales, the high level of resting recorded around the bales  
2168 suggests they may have a positive function as protective cover. The presence of an  
2169 additional type of enrichment in the house did not affect the number of birds, or the  
2170 type of behaviours performed in close proximity to either straw bales or oat hulls ( $P$   
2171  $> 0.05$ ). Treatment did not have a significant effect on pododermatitis levels or  
2172 slaughter weight, on mortality rates, or on litter quality or atmospheric ammonia  
2173 levels ( $P > 0.05$ ). Overall, our results suggest that the oat hulls substrate was a  
2174 successful enrichment in terms of promoting dustbathing and foraging, and  
2175 improving bird leg health. The straw bales also appeared attractive to the birds,  
2176 however, which suggests that a dustbathing substrate should be a supplementary  
2177 enrichment.

2178

### 2179    **3.1    Introduction**

2180    Broiler chickens are typically housed in indoor systems, in groups of several  
2181    thousand, and bedded on deep litter. With the exception of feeder and drinker lines,  
2182    the houses do not usually contain additional furniture or stimulation. Providing  
2183    domestic fowl with more complex environments has improved stereotypical pecking  
2184    behaviours (Nørgaard-Nielsen et al., 1993), fear reactions (Jones and Waddington,  
2185    1992; Reed et al., 1993), learning (Krause et al., 2006), activity levels (Kells et al.,  
2186    2001) and leg condition (Mench et al., 2001; Bizeray et al., 2002a). Chickens will  
2187    readily enter areas containing novel items (Newberry, 1999) and will spend more  
2188    time in preferred foraging and dustbathing substrates when provided (Shields et al.,  
2189    2004). Crucially, introducing barriers (Bizeray et al., 2002a) and straw bales (Kells  
2190    et al., 2001) has been shown to increase activity levels in broilers. Modern broilers  
2191    will spend up to 86% of their time sitting down (Weeks et al., 2000), with this  
2192    inactivity linked to a high prevalence of skeletal conditions and leg disorders that get  
2193    worse with age (Vestergaard and Sanotra, 1999; Danbury et al., 2000; Knowles et  
2194    al., 2008). Providing broilers with a more complex environment is therefore likely to  
2195    improve bird welfare, both by improving leg health and by providing a stimulating  
2196    environment to promote natural behaviours (Newberry, 1995).

2197    Although there is no current legal requirement for broilers to be provided with  
2198    environmental enrichment, those housed under conditions dictated by welfare  
2199    assurance schemes are often supplied with some variation of natural light, perches  
2200    and/or straw bales (e.g. CIWF, 2017). Foraging and dustbathing are highly motivated  
2201    behaviours and preventing birds from performing them leads to observable  
2202    frustration (Lindberg and Nicol, 1997; Vestergaard et al., 1997; Fraser and Duncan,  
2203    1998). Providing a foraging substrate, in the form of straw bales, should therefore  
2204    have a positive effect on welfare. However, there is limited research on the use of  
2205    bales provided at a commercial level. Kells et al. (2001) showed that providing  
2206    broilers with straw bales increased their overall activity levels, however their trial  
2207    used a higher number of bales than are supplied commercially. More recent research  
2208    that involved lower straw bale densities, chosen to more closely reflect current  
2209    industry practice, did not yield similar findings (Bailie et al., 2013; Bailie and  
2210    O’Connell, 2014). Similarly, although smaller scale research has been conducted on  
2211    the preference of broilers for different dustbathing substrates (e.g. Shields et al.,

2212 2004), there has been no research on the provision of dustbathing enrichments in  
2213 commercial housing. Dustbathing consists of birds kicking a loose friable substrate  
2214 through their feathers and is a highly-motivated behaviour (van Lier et al., 1991;  
2215 Vestergaard et al., 1997; Vestergaard and Sanotra, 1999). Broilers with tibial  
2216 dyschondroplasia will dustbathe significantly less than their healthy counterparts,  
2217 which may be due to dustbathing requiring rotation and movement of the legs  
2218 (Vestergaard and Sanotra, 1999). Domestic fowl have shown a preference for peat  
2219 and sand as dustbathing materials (Shields et al., 2004; de Jong et al., 2007),  
2220 however these substrates are expensive, unsustainable and may interfere with the  
2221 litter removal process. A practical alternative has been suggested in the form of  
2222 ground oat hulls, which are a by-product of oat milling, however their effectiveness  
2223 as a form of environmental enrichment has not yet been evaluated under commercial  
2224 conditions.

2225 This experiment was designed to evaluate different environmental enrichment  
2226 conditions for commercial broiler chickens. This included assessing the effectiveness  
2227 of straw bales (when provided at a level that reflects practice on some commercial  
2228 farms), a comparable quantity of oat hulls, both straw bales and oat hulls, and a  
2229 control treatment with no straw bales or oat hulls. There was a particular interest in  
2230 understanding whether oat hull dust baths should be used as an alternative or  
2231 supplementary form of environmental enrichment to straw bales. The effects of  
2232 different enrichment treatments on general behaviour of the birds (both in close  
2233 proximity to, and away from the enrichments), on measures of health and  
2234 performance, and on environmental measures within the house were determined.

## 2235 **3.2 Materials and methods**

### 2236 **3.2.1 Subjects and housing**

2237 A total of 355 400 Ross 308 broiler chickens (Aviagen Ltd, UK) were used in this  
2238 study and were reared from a day old on a commercial farm in Northern Ireland. The  
2239 trial was repeated for four production cycles between July and December 2015. Four  
2240 metal framed, windowed broiler houses were used on this farm. Two houses had a  
2241 floor space of 1 398 m<sup>2</sup> and two had a floor space of 1 395 m<sup>2</sup> due to different  
2242 positioning of outbuildings. Approximately 22 000 birds were placed in each house

2243 'as hatched', which gave an approximate 50:50 mix of males and females. This gave  
2244 an initial stocking density of 16 birds /m<sup>2</sup>. A proportion of the birds were removed  
2245 for thinning at approximately day 30, and the remaining birds were cleared between  
2246 days 37 and 42. House temperature, humidity and light levels were controlled in the  
2247 same manner as described in Chapter 2 (2.2.1).

### 2248 3.2.2 Treatments and experimental design

2249 In order to investigate the effectiveness of different enriched conditions, the four  
2250 commercial houses were assigned to one of four treatments: 1) Bales (B), 2) Oat  
2251 Hulls (OH), 3) Oat Hulls and Bales (OH+B), 4) Control (C). This trial was repeated  
2252 over four cycles, with each house assigned to each treatment once. No environmental  
2253 enrichment was provided in the control treatment. In treatments containing straw  
2254 bales, bales were piled on top of one another around the edges of the commercial  
2255 house, as was standard practice on this farm. On day 10, nine plastic-wrapped bales  
2256 of chopped straw (approximately 0.8 m long x 0.4 m wide x 0.4 m high) were placed  
2257 evenly around the house, which again matched normal practice on this farm. As  
2258 discussed in the methodology of Chapter 2, the introduction of substrates on day 10  
2259 was chosen for practical reasons. Five bales were placed down the central line of the  
2260 house and four around the edge of the house. The sides of the plastic bales were cut  
2261 open to allow access to the straw (Photo 2), and once the top of the bale had  
2262 collapsed through use, it was replaced in the same location. Existing bales were  
2263 dismantled (and plastic removed) just prior to thinning, and were replaced with nine  
2264 new bales after thinning. In total, two bales per 1 000 birds (46 bales; 1 per 155 m<sup>2</sup>)  
2265 were used across a 6 week cycle in a particular house.

2266

2267



**Photo 2.** Photograph of the enrichments used throughout the trial: plastic-wrapped, short cut straw bales (left) and steel rings of ground oat hulls (right) An example of the tripod and camera position can be seen next to the oat hulls (right).

Oat hulls are the ground outer hull of oats, produced as a by-product of oat milling (Whites Speedicook Ltd, Craigavon, UK), with a colour and consistency similar to fine sawdust. Oat hulls have previously been used in nutritional trials with broilers chickens (e.g. Hetland and Svihus, 2001) and were considered a safe substrate to introduce to broilers. The oat hulls were sourced locally and delivered in 1 tonne bags, which were placed in a central area of the OH and OH+B houses before the birds were placed. This reduced the floor space available by approximately 4 m<sup>2</sup>. Small groups of broilers were observed grouping around the bags but their presence was not expected to account for any significant variation in the results. Oat hulls were distributed to rings using buckets filled from these central bags. There has been some discussion about potential alternative storing methods for oat hulls, for example baling (Moy Park Ltd, personal communication). It would be important to develop a simple way of storing and distributing oat hulls that minimised labour.

The oat hulls were provided in a manner which attempted to emulate the level of provision of straw bales. Nine stainless steel rings (1 per 155 m<sup>2</sup>; 1.1 m diameter, 7.6 cm deep) were placed in corresponding sections of the house to the B treatment. The area of the rings (~0.95 m<sup>2</sup>) was chosen such that it was equal to the area of two sides and two ends of a straw bale. The rings were placed in the house on day 10 and

2297 filled with approximately 9 kg of oat hulls. Oat hull rings were then topped up to the  
2298 original level throughout the cycle when more than half of the substrate in them had  
2299 gone. Oat hulls were always topped up to their original condition on the morning of  
2300 observations to ensure they were in a standardised condition. In the OH+B treatment  
2301 both types of enrichment were placed in the corresponding sections of the house that  
2302 contained enrichments in the other treatments; there was always a feeder or drinker  
2303 line separating the two enrichments which were placed approximately 1.5 m apart.

2304 The steel rings used in this trial were deemed the most practical way of creating a  
2305 contained dustbathing area while preventing broilers from perching and obstructing  
2306 access to the dust bath. Initial designs for dust baths were wooden squares, however  
2307 these were more easily perched upon, broke down in the damp and humid  
2308 conditions, and could not be cleaned between cycles. Steel rings were pressure  
2309 washed by the farmer hosting this trial between production cycles to maintain  
2310 biosecurity. This may be impractical and time-consuming to implement  
2311 commercially. It would be useful to investigate alternative methods of containment,  
2312 or indeed whether oat hulls placed in cleared areas of the floor would create a  
2313 suitable dustbathing area.

### 2314 3.2.3 Data Collection

2315 The farm was visited twice a week in weeks 3, 4, 5 and 6 of each cycle and all  
2316 measurements were taken by the same observer.

2317 Video recordings of broiler behaviour were performed on the first day of data  
2318 collection each week using five Toshiba Camileo X-Sports cameras placed on 1.5 m  
2319 high wooden tripods (Photo 2). Using feeder and drinker lines, the house was  
2320 virtually sectioned into 66 approximately equal sections. These sections were  
2321 classified as “enriched” (sections that contained an enrichment), “unenriched”,  
2322 “edge” (sections that had a side made up of the house wall) and “central”. In each  
2323 house, a total of four hours of video footage was taken between 9:00 h and 15:00 h  
2324 per week. This consisted of half-hour recordings taken in eight different locations. In  
2325 the B and OH treatments, four half-hour recordings were taken of four randomly  
2326 chosen enrichments, two central and two edge. The remaining four half-hour videos  
2327 were taken of unenriched areas of the house, two in edge locations and two in central  
2328 locations. In the C treatment, sections chosen corresponded to “enriched” and



2329 “unenriched” sections of the other treatments. In the B+OH treatment, the same  
2330 approach was adopted as above except that a second camera was used to allow for  
2331 both types of enrichment to be recorded in the enriched sections of the house. The  
2332 cameras were set up in all four houses and were switched on one after another by the  
2333 same observer; the order that the cameras were switched on was therefore  
2334 randomised to control for the slight difference in video starting times.

2335 To analyse footage, for each half hour video (n total = 512) scan sampling was used  
2336 to count the number of birds and to categorise the behaviour of each bird according  
2337 to a simplified version of the ethogram used in Chapter 2 (Table 6). The % of birds  
2338 engaged in different behaviours was then calculated. Two scans were performed per  
2339 recording, one at 10 minutes and one at 20 minutes. The “scan areas” were balanced  
2340 as far as possible considering the different enrichments filmed. In footage containing  
2341 a ring, all birds inside the rings were counted and categorised. In bale videos, a side  
2342 and end of the bale were outlined and transposed onto the floor area around the bale,  
2343 which gave an area equivalent to half the area of a ring and equated to approximately  
2344 0.4 m in front of and to the side of the bale. As only one side of the bale could be  
2345 filmed, this count was doubled for analysis, as in Kells et al. (2001). In footage of  
2346 empty (unenriched) floor area, an outline of a ring was used in the centre of frame  
2347 and birds with more than half their body across this line were counted and  
2348 categorised.

2349

2350 **Table 6.** Ethogram of broiler chicken behaviours used in the present trial, based on Cornetto  
2351 and Estevez (2001b) and Shields et al. (2005)  
2352

<i>Behaviour</i>	<i>Definition</i>
Dustbathing	Birds were performing classic vertical wing shakes, and/or clearly covered in substrate and performing side-rubs or prone leg scratches
Foraging	Scratching and pecking at the substrate (from a standing or walking position)
Sitting inactive	Sitting with no other activity
Sitting pecking	Sitting and ground pecking
Locomotion	Standing or walking, with no other pecking or scratching activity
Sitting preening	Preening, running beak through feathers, while sitting
Standing preening	Preening, running beak through feathers, while standing
Resting	Sitting with head under wing, eyes obviously closed, or lying on one side with a leg and/or wing stretched out
Other	Any other behaviours

2353

2354 On the second day of data collection each week, environmental measures and gait  
2355 scores were recorded. Litter samples were taken from eight random locations around  
2356 the house, four from central sections and four from edge sections. Samples were  
2357 collected in plastic bags, thoroughly mixed and stored in a cool box for transport.  
2358 Following drying for 24 hours at 70°C, the dry matter percentage of the litter was  
2359 calculated (McLean et al., 2002; Bailie et al., 2013). To give an indication of ammonia  
2360 within each house, pHydrion<sup>TM</sup> (Dewey et al., 2000) paper tests were used in four  
2361 locations (two front and two back) in each house. Each test strip was moistened with  
2362 distilled water and held at bird head height for 15 seconds, after which the colour of  
2363 the paper gave an indication that ammonia was either 0, 5, 10, 20, 50 or 100 ppm.  
2364 Broilers houses are legally required to not exceed ammonia concentrations of 20 ppm.  
2365 These four scores were averaged to give an average ammonia score per house, per  
2366 week. Gait scoring was performed using the Modified Gait Scoring Method (Garner  
2367 et al., 2002). Each week, two birds were gait scored from 20 random sections of each  
2368 house. Within the sections, the two birds were randomly chosen using a numbered grid

2369 on a perspex sheet (Kells et al., 2001; Bailie et al., 2013). The sheet was held at arms  
2370 length and the birds closest to the randomly generated co-ordinates on the grid were  
2371 given a gait score of 0-5 (Garner et al., 2002).

2372 Mortality (which is the number of birds removed dead from the house and does not  
2373 include culled birds), downgrades (which consists of birds deemed imperfect at the  
2374 slaughterhouse, for example due to contamination, damage at defeathering or being  
2375 undersized), the number of culls performed and slaughter weight of the birds were  
2376 taken from company records. Levels of pododermatitis were recorded at slaughter in  
2377 one hundred birds per house at thinning and one hundred birds per house at final  
2378 clearing. Pododermatitis was recorded by slaughterhouse staff on a scale of 0-2, where  
2379 '0' represents either no pododermatitis or very superficial lesions, '1' represents mild  
2380 pododermatitis on either foot with discolouration of the footpad and superficial  
2381 lesions, and '2' is recorded when there is severe pododermatitis on either foot with  
2382 ulcers, signs of haemorrhage and/or swollen footpads.

#### 2383 3.2.4 *Statistical analysis*

2384 All data were analysed using IBM SPSS Statistics (Version 23). Data normality was  
2385 assessed through inspection of residual histograms, Q-Q plots and Shapiro-Wilk tests.  
2386 Where equal variance could not be assumed, adjusted degrees of freedom are  
2387 presented. Post-hoc tests, where applied, were chosen based on whether the  
2388 assumptions of equal variance and equal sample size were met.

2389 Scan data representing the number of birds close to each type of enrichment (i.e. in  
2390 oat hull rings or close to straw bales), and the percentage of birds engaged in different  
2391 behaviours while close to each type of enrichment and while in unenriched areas, were  
2392 averaged within-treatment each week. Data on the % of birds engaged in different  
2393 behaviours in unenriched areas could not be sufficiently transformed for parametric  
2394 analysis. Therefore, the main effects of "treatment" (OH, B, OH+B, C) and of "age"  
2395 were analysed using Kruskal-Wallis tests. The main effect of "cycle" was also tested  
2396 and no significant effects were found for any behaviour ( $P > 0.05$ ). Dustbathing and  
2397 Other were infrequently recorded and were excluded from analysis.

2398 To determine whether the presence of nearby straw bales or oat hulls had an effect on  
2399 the way individual enrichments were used, oat hulls and bales in the single treatments

2400 (OH, B) were compared with their counterparts in the OH+B treatment. The total  
2401 number of birds and occurrence of each behaviour (%) in the rings of oat hulls in the  
2402 OH compared to the OH+B, and around the bales in the B compared to OH+B  
2403 treatment were analysed. Independent samples t-tests were used to compare total  
2404 numbers of birds. GLMM was used to compare the percentage of birds observed in  
2405 each behaviour category between the single and combined treatment, with “treatment”  
2406 and “age” as fixed factors and “cycle” as a random factor; a log10 transformation and  
2407 +1 constant was applied to improve normality where necessary.

2408 To compare the use of oat hulls and straw bales in general, data from enrichments in  
2409 single treatments (OH or B) were combined with their counterpart in the combined  
2410 treatment (OH+B) to give combined data for oat hulls (from OH and OH+B) and for  
2411 bales (from B and OH+B). An independent samples t-test was used to compare the  
2412 combined total number of birds interacting with the straw bales and oat hulls. The  
2413 difference in behaviours (%) performed in oat hulls and bales was compared using  
2414 GLMM. Each behaviour was modelled separately, with “enrichment type (OH or  
2415 B)” and “age” as fixed factors and “cycle” as a random factor. Significant  
2416 interactions were further investigated using simple effects analysis. Where there was  
2417 a significant main effect, post-hoc tests were performed using a Tukey test where  
2418 equal variance could be assumed and a Games-Howell test when this assumption  
2419 was violated. Preening was infrequently recorded, and therefore “standing preening”  
2420 and “sitting preening” were grouped to facilitate analysis.

2421 Performance data and levels of pododermatitis were recorded once at the end of each  
2422 cycle, and, as such, the GLMM for analysis consisted of “treatment” as a fixed factor  
2423 and “cycle” as a random factor. Gait score data were ordinal and the effect of  
2424 treatment was analysed using Kruskal-Wallis tests within weeks, with follow-up  
2425 stepwise stepdown multiple comparison (based on Campbell and Skillings, 1985).  
2426 Ammonia measures were analysed using a one-way ANOVA to compare average  
2427 ammonia between treatments (OH, B, OH+B, C). Litter moisture data were analysed  
2428 using GLMM with “treatment” and “age” as fixed factors and “cycle” as a random  
2429 factor.

### 2430 3.3 Results

2431 Over the four production cycles, a total of 8876 broilers were observed and  
2432 categorised according to Table 6 in unenriched areas of the houses, 3779 broilers  
2433 were observed around one side of the bales, and 3729 broilers inside the oat hulls.

#### 2434 3.3.1 Behaviour in unenriched areas of all treatments

2435 Treatment had a significant effect on the majority of the behaviours observed  
2436 (median values presented in Table 7). Birds in the control treatment performed less  
2437 sitting inactive ( $H(3) = 36.8$ ,  $n = 64$ ,  $P < 0.001$ ) and sitting pecking ( $H(3) = 35.5$ ,  $n =$   
2438  $64$ ,  $P < 0.001$ ), and more locomotor behaviour ( $H(3) = 36.6$ ,  $n = 64$ ,  $P < 0.001$ )  
2439 compared to birds in the three enriched treatments. Higher levels of preening while  
2440 birds were sitting down was observed in the OH+B compared to the control  
2441 treatment ( $H(3) = 7.9$ ,  $n = 64$ ,  $P = 0.048$ ), and significantly more preening while  
2442 standing was performed in the control compared to the enriched treatments ( $H(3) =$   
2443  $24.3$ ,  $n = 64$ ,  $P < 0.001$ ). There were no differences in the levels of foraging or  
2444 resting observed between treatments ( $P > 0.05$ ). Foraging was the only behaviour to  
2445 be significantly affected by age ( $H(3) = 22.78$ ,  $n = 64$ ,  $P < 0.001$ ); the median  
2446 percentage of birds foraging was 2.5 in week 3, 1.0 in week 4, and 0 in weeks 5 and  
2447 6. Pairwise comparisons showed that foraging was significantly higher in week 3  
2448 compared to week 5 ( $P < 0.001$ ) and week 6 ( $P = 0.001$ ).

#### 2449 3.3.2 Effect of the presence of an alternative enrichment

2450 There was no significant difference in the mean number of birds recorded in the  
2451 rings in the OH ( $M = 14.49$ ,  $SE = 1.44$ ) compared to the OH+B ( $M = 15.05$ ,  $SE =$   
2452  $1.68$ ) treatment ( $t(30) = -0.25$ ,  $P = 0.80$ ), or the mean number of birds in close  
2453 proximity to the bales in the B ( $M = 28.69$ ,  $SE = 2.91$ ) compared to the OH+B ( $M =$   
2454  $30.91$ ,  $SE = 3.15$ ) treatment ( $t(30) = -0.52$ ,  $P = 0.61$ ). There were also no differences  
2455 in the level of any behaviours in the single compared to the combined treatments  
2456 (Table 8) and no significant interactions.

2457

2458

**Table 7.** The effect of enrichment type on the behaviour of broilers in unenriched areas of the house, on health and productivity measures, and on environmental measures

	Treatment				
	Oat Hulls	Bales	Oat Hulls + Bales	Control	<i>P</i> value
Behaviour in unenriched areas <sup>1</sup> (%):					
Foraging	0.99 (0.00, 2.12)	1.03 (0.00, 2.42)	0.00 (0.00, 1.84)	0.77 (0.00, 2.24)	ns
Sitting Inactive	54.82 (52.81, 63.37) <sup>a</sup>	54.46 (49.05, 59.27) <sup>a</sup>	54.52 (45.44, 59.04) <sup>a</sup>	7.22 (4.17, 11.29) <sup>b</sup>	<0.001
Sitting Pecking	7.57 (3.96, 10.64) <sup>a</sup>	8.66 (6.82, 10.60) <sup>a</sup>	6.00 (5.01, 9.68) <sup>a</sup>	0.00 (0.00, 0.00) <sup>b</sup>	<0.001
Locomotion	9.04 (7.14, 10.89) <sup>b</sup>	12.44 (6.19, 14.01) <sup>b</sup>	9.30 (3.36, 14.10) <sup>b</sup>	61.48 (56.77, 67.16) <sup>a</sup>	<0.001
Sitting Preening	7.09 (4.51, 9.52) <sup>ab</sup>	6.56 (4.78, 8.42) <sup>ab</sup>	9.52 (7.13, 11.06) <sup>a</sup>	5.64 (4.80, 6.31) <sup>b</sup>	0.048
Standing Preening	0.00 (0.00, 1.20) <sup>b</sup>	0.84 (0.00, 1.71) <sup>b</sup>	0.87 (0.52, 2.04) <sup>b</sup>	7.71 (4.20, 9.57) <sup>a</sup>	<0.001
Resting	6.68 (5.23, 13.44)	12.60 (4.55, 16.22)	15.47 (8.05, 23.48)	10.98 (5.11, 16.16)	ns
Health and performance <sup>2</sup> :					
Pododermatitis (%)	33.64 ± 10.45	35.18 ± 10.70	29.19 ± 11.84	33.06 ± 8.43	ns
Average slaughter weight (g)	2.10 ± 0.047	2.12 ± 0.05	2.17 ± 0.05	2.19 ± 0.39	ns
Mortality (%)	1.55 ± 0.09	1.08 ± 0.12	1.04 ± 0.16	1.32 ± 0.15	0.003
Culls (%)	0.63 ± 0.17	0.41 ± 0.09	0.53 ± 0.14	0.34 ± 0.043	ns
Downgrades (%)	0.71 ± 0.17	0.70 ± 0.07	0.70 ± 0.13	0.61 ± 0.075	ns
Environmental measures <sup>2</sup> :					
Litter moisture (%)	26.08 ± 2.92	28.73 ± 2.22	26.46 ± 2.10	28.06 ± 1.75	ns
Ammonia (ppm)	6.46 ± 2.35	7.50 ± 3.24	6.35 ± 3.05	7.60 ± 3.15	ns

<sup>1</sup>Median values (95% confidence intervals); <sup>2</sup>Mean values ± standard error.

Different letters along horizontal rows indicate significance in pairwise comparisons from Kruskal-Wallis rank test.

### 2459 3.3.3 Differences in the use of oat hulls and straw bales

2460 There was a significant interaction between enrichment type and age for dustbathing  
2461 ( $F_{3,9} = 8.004$ ,  $P = 0.007$ ) and foraging ( $F_{3,9} = 12.08$ ,  $P = 0.002$ ) (Table 8), which  
2462 indicates that birds used the two enrichment types differently as they aged (Figure 3).  
2463 Specifically, the amount of birds foraging and dustbathing changed over time in the  
2464 oat hulls but not around the bales. The mean percentage of birds in the oat hulls that  
2465 were dustbathing increased as birds aged (week 3,  $M = 5.04\%$ ,  $SE = 1.31$ ; week 4,  $M$   
2466  $= 12.27\%$ ,  $SE = 3.08$ ; week 5,  $M = 17.61\%$ ,  $SE = 5.29$ ; week 6,  $M = 21.22\%$ ,  $SE =$   
2467  $2.98$ ), but very few incidences of dustbathing were recorded around the bales  
2468 throughout the production cycle (week 3,  $M = 0\%$ ; week 4,  $M = 1.21\%$ ,  $SE = 1.20$ ;  
2469 week 5,  $M = 0.12\%$ ,  $SE = 1.16$ ; week 6,  $M = 0\%$ ). More foraging was consistently  
2470 seen in the oat hulls compared to around the bales, however levels of foraging  
2471 decreased in the oat hulls over time (week 3,  $M = 48.42\%$ ,  $SE = 5.99$ ; week 4,  $M =$   
2472  $24.62\%$ ,  $SE = 3.44$ ; week 5,  $M = 23.02\%$ ,  $SE = 2.77$ ; week 6,  $M = 19.01\%$ ,  $SE =$   
2473  $3.38$ ) and remained similar around the bales (week 3,  $M = 7.00\%$ ,  $SE = 1.26$ ; week  
2474 4,  $M = 6.18\%$ ,  $SE = 2.10$ ; week 5,  $M = 6.37\%$ ,  $SE = 1.44$ ; week 6,  $M = 5.84\%$ ,  $SE =$   
2475  $2.08$ ).

2476 In addition to the interactions described above, significant main treatment effects  
2477 were also found (Table 9). For example, a higher level of sitting pecking was  
2478 observed in the oat hulls, while birds around the straw bales showed more inactivity,  
2479 preening and “other” behaviours. There was also a main effect of age on preening,  
2480 however no enrichment by age interaction was seen and levels generally varied  
2481 between weeks (week 3,  $M = 5.22\%$ ,  $SE = 0.78$ ; week 4,  $M = 6.54\%$ ,  $SE = 0.80$ ;  
2482 week 5,  $M = 3.48\%$ ,  $SE = 0.62$ ; week 6,  $M = 5.78\%$ ,  $SE = 1.72$ ).

2483 Overall, significantly more birds were recorded around the bales ( $M = 29.80$ ,  $SE =$   
2484  $2.12$ ) than inside the oat hulls ( $M = 14.77$ ,  $SE = 1.09$ ;  $t(46.3) = -6.31$ ,  $P < 0.001$ ).

2485

#### 2486 3.3.4 Health and performance

2487 Treatment did not have a significant effect on average bird slaughter weight, culls,  
2488 downgrades or levels of pododermatitis ( $P > 0.05$ ) (Table 7). However, there was a  
2489 significant effect of treatment on % mortality ( $P = 0.003$ ), with post-hoc tests  
2490 showing a trend for higher levels of mortality in the oat hulls compared to the oat  
2491 hulls and bales ( $P = 0.070$ ), however there was no significant difference between  
2492 any of the enriched treatments and the control (OH 1.55%, SE = 0.09; B 1.08%, SE  
2493 = 0.12; OH+B 1.05%, SE = 0.15; C 1.32%, SE = 0.14;  $P > 0.40$ ). The lack of clear  
2494 differences between individual treatments for mortality are likely to be due to the  
2495 impact of cycle within the model as there was an unexplained high level of mortality  
2496 in one cycle.

#### 2497 3.3.5 Leg health

2498 A total of 2560 birds were gait scored over the four production cycles. The  
2499 distribution of gait scores between treatments for each week are presented in Table  
2500 10. More birds were classified with worse gait scores over time, in all treatments.  
2501 Treatment had no effect on gait score in weeks 3, 4 and 5 ( $P > 0.05$ ), however there  
2502 was a significant effect of treatment in the final week ( $H(3) = 8.19$ ,  $P = 0.042$ ). In  
2503 week 6, birds provided with oat hulls (mean rank 305.60) or oat hulls and bales  
2504 (mean rank 304.44) had lower gait scores than birds in the control treatment (mean  
2505 rank 350.72;  $P < 0.05$ ). Birds in the bales treatment (mean rank 321.24) had similar  
2506 gait scores to the oat hulls, oat hulls + bales ( $P = 0.57$ ) and control treatments ( $P =$   
2507 0.79).

#### 2508 3.3.6 Environmental Measures

2509 There was no significant effect of treatment on litter moisture or ammonia levels ( $P$   
2510  $> 0.05$ ) (Table 7). Age did have an effect on litter moisture ( $F_{3,64} = 5.20$ ,  $P = 0.03$ ),  
2511 with a temporary increase in overall litter moisture in week 4 of the cycle. However,  
2512 no overall increase was seen over time, with no significant difference between weeks  
2513 3, 5 and 6.

2514

2515



**Table 8.** The behaviour of broilers using enrichments in the single treatment (either OH or B), compared to their counterpart in the combined treatment (OH+B)

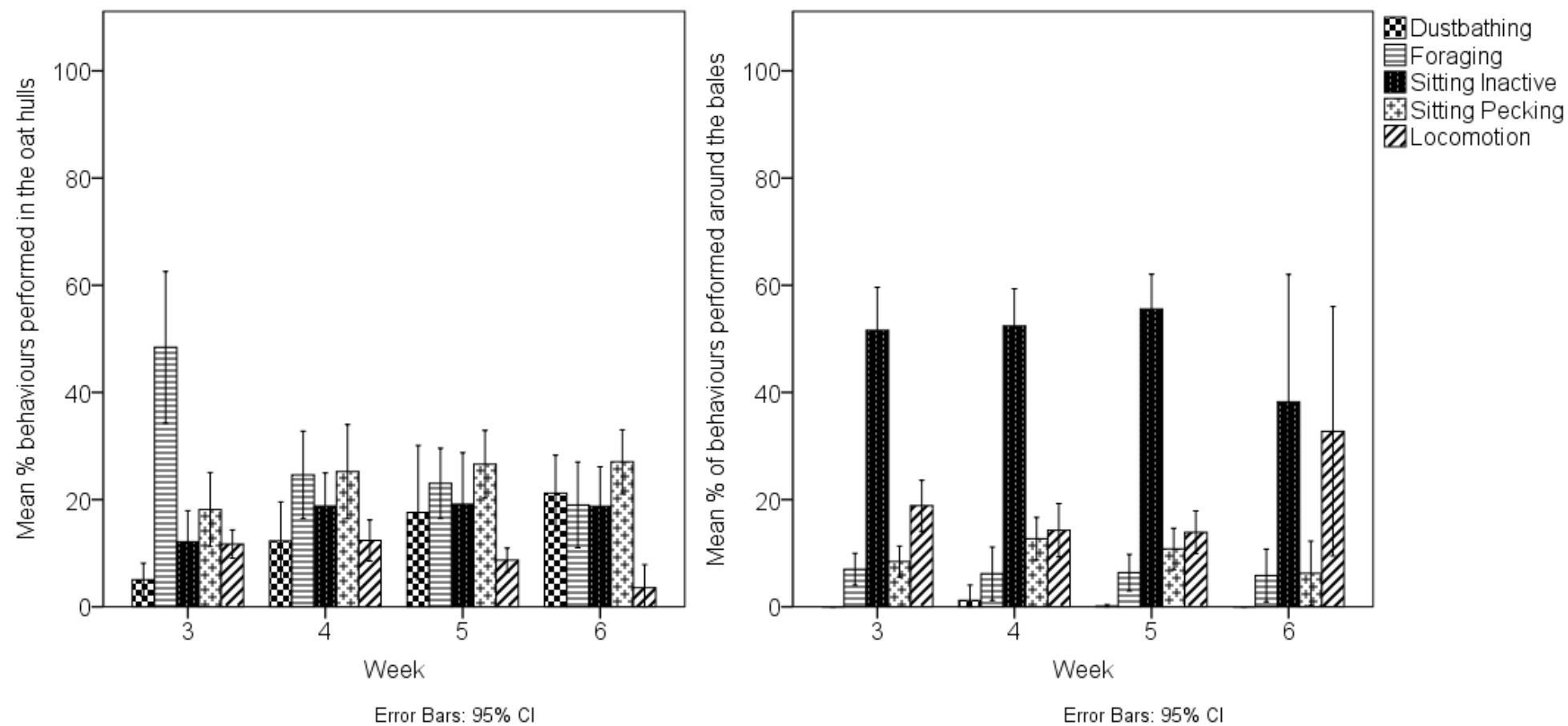
Behaviour (%):	Oat Hulls			Bales		
	OH	OH+B	<i>P</i> value	B	OH+B	<i>P</i> value
Dustbathing	12.67 (6.73, 18.60)	15.41 (9.32, 21.50)	0.346	0.058 (-.065, 0.18)	0.60 (-.68, 1.89)	0.391
Foraging	28.39 (18.73, 38.05)	29.15 (21.54, 36.76)	0.876	4.62 (3.07, 8.20) <sup>1</sup>	5.67 (2.83, 8.20) <sup>1</sup>	0.528
Sitting Inactive	17.94 (12.43, 23.46)	16.50 (12.56, 20.45)	0.795	49.87 (41.67, 58.08)	49.03 (39.23, 58.83)	0.818
Sitting Pecking	23.34 (19.0, 28.65) <sup>1</sup>	22.01 (17.88, 27.05) <sup>1</sup>	0.290	10.21 (6.92, 13.50)	8.88 (6.28, 11.49)	0.680
Locomotion	8.38 (5.25, 11.51)	9.81 (7.42, 12.20)	0.176	4.62 (3.07, 6.76) <sup>1</sup>	5.67 (3.83, 6.76) <sup>1</sup>	0.506
Preening	3.78 (2.50, 5.06)	2.74 (1.28, 4.20)	0.279	7.76 (4.77, 10.75)	6.74 (4.62, 8.86)	0.452
Resting	1.74 (0.81, 3.14) <sup>1</sup>	1.97 (0.96, 3.50) <sup>1</sup>	0.445	4.98 (3.12, 7.69) <sup>1</sup>	3.93 (2.40, 6.16) <sup>1</sup>	0.294

<sup>1</sup>Means and confidence intervals have been backtransformed to their original scale

**Table 9.** The effects of enrichment type and age on behaviours performed in oat hulls and around straw bales

Behaviour (%):	Mean $\pm$ SE		Enrichment		Age		Age*Enrichment	
	Oat Hulls	Bales	F (df)	<i>P</i> value	F (df)	<i>P</i> value	F (df)	<i>P</i> value
Dustbathing	14.04 $\pm$ 1.98	0.33 $\pm$ 0.30	10.98 (1,3)	0.045	6.373 (3,9)	0.013	8.004 (3,9)	0.007
Foraging	28.77 $\pm$ 2.84	6.35 $\pm$ 0.84	21.66 (1,3)	0.019	11.17 (3,9)	0.002	12.09 (3,9)	0.002
Sitting Inactive	17.22 $\pm$ 1.57	49.46 $\pm$ 2.95	37.84 (1,3)	0.009	1.24 (3,9)	ns	1.58 (3,9)	ns
Sitting Pecking	24.25 $\pm$ 1.56	9.55 $\pm$ 0.97	14.97 (1,3)	0.031	1.45 (3,9)	ns	1.97 (3,9)	ns
Locomotion	9.10 $\pm$ 0.92	19.95 $\pm$ 2.83	9.89 (1,3)	0.051 <sup>†</sup>	0.56 (3,9)	ns	3.88 (3,9)	0.050 <sup>†</sup>
Preening	3.26 $\pm$ 0.46	7.25 $\pm$ 0.85	11.93 (1,3)	0.041	4.09 (3,9)	0.044	0.58 (3,9)	ns
Resting	3.14 $\pm$ 0.89	5.83 $\pm$ 0.79	3.75 (1,3)	ns	2.85 (3,9)	0.097 <sup>†</sup>	3.01 (3,9)	0.087 <sup>†</sup>
Other	0.22 $\pm$ 0.078	1.31 $\pm$ 0.20	12.34 (1,3)	0.039	0.63 (3,9)	ns	0.30 (3,9)	ns

<sup>†</sup>*P* < 0.1



**Figure 3.** The effect of age on the mean % of behaviours performed in the oat hulls (left) and around the straw bales (right).

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2517 **Table 10.** Distribution of the frequencies of gait score (%)

Treatment	Week 3					
	GS0	GS1	GS2	GS3	GS4	GS5
Rings	69.4	26.3	4.4	0	0	0
Bales	69.4	28.8	1.9	0	0	0
Rings + Bales	66.3	33.1	0.6	0	0	0
Control	65.0	33.8	1.3	0	0	0
	Week 4					
	GS0	GS1	GS2	GS3	GS4	GS5
Rings	13.1	66.3	20.0	0.6	0	0
Bales	13.1	61.9	17.5	1.9	0	0
Rings + Bales	16.3	57.5	26.3	0	0	0
Control	8.8	69.4	20.0	1.9	0	0
	Week 5					
	GS0	GS1	GS2	GS3	GS4	GS5
Rings	2.5	52.5	41.3	1.9	2.5	0
Bales	1.3	52.5	41.3	5.0	0	0
Rings + Bales	3.1	59.4	33.1	4.4	0	0
Control	0.6	56.3	39.4	3.8	0	0
	Week 6					
	GS0	GS1	GS2	GS3	GS4	GS5
Rings	0	43.1	45.6	8.8	1.3	1.3
Bales	0	33.1	61.9	5.0	0	0
Rings + Bales	0	43.8	44.4	11.3	0.6	0
Control	0	26.9	62.5	10.0	0.6	0

<sup>1</sup>GS0 = gait score 0, GS1 = gait score 1 etc.

### 2518    **3.4    Discussion**

2519    In this trial, providing broilers with oat hulls, both in combination with straw bales  
2520    and as a stand-alone dustbathing enrichment, led to an improvement in gait score in  
2521    the final week of the production cycle. Birds in close proximity to the oat hulls and  
2522    straw bales show a marked difference in the way they use the enrichments, with  
2523    more foraging and dustbathing performed in oat hulls, and more sitting inactive  
2524    observed around straw bales. When provided together, there was no effect on the  
2525    level of use of adjacent oat hulls and straw bales compared to when they were  
2526    provided in separate houses. A significant effect of treatment on the behaviour of  
2527    broilers away from the enrichments was found, although our findings contradict  
2528    previous research that showed an increase in activity (Kells et al., 2001). Conversely,  
2529    this study found a decrease in locomotion and an increase in sitting behaviours in all  
2530    enriched treatments compared to the unenriched control.

2531    Broilers with access to oat hulls, in the OH and OH+B treatments, recorded better  
2532    gait scores compared to those housed in the C treatment with no enrichment. Birds  
2533    housed with only straw bales fell somewhere in the middle, with slightly lower gait  
2534    scores than those in the control treatment ( $P = 0.79$ ) and slightly higher scores to  
2535    those recorded in the OH and OH+B treatments ( $P = 0.57$ ). Broilers are particularly  
2536    susceptible to skeletal disorders that impair mobility and can spend up to 76-86% of  
2537    their time sitting down by slaughter weight (Weeks et al., 2000). This inactivity can,  
2538    in turn, lead to a worsening of leg health and additional damage such as contact  
2539    dermatitis (Bessei, 2006). These disorders are assumed to be painful and birds with  
2540    gait scores  $>2$  are considered to have poor welfare (Vestergaard and Sanotra, 1999;  
2541    Danbury et al., 2000). When young broilers are forced to exercise they show a  
2542    reduction in leg abnormalities by slaughter age, supporting the link between  
2543    inactivity and poor leg health (Thorp and Duff, 1988; Bessei, 2006). Promoting  
2544    activity in broilers has been attempted practically by increasing the distance between  
2545    feeders and drinkers, which led to increased locomotion and improved leg condition  
2546    (Reiter and Bessei, 1996). Increasing broilers environmental complexity with  
2547    barriers, perches and straw bales has been shown to increase activity (Kells et al.,  
2548    2001; Bizeray et al., 2002a). Providing oat hulls may have acted in a similar manner,  
2549    by providing broilers with an opportunity to exercise and improving the incidence of  
2550    poor leg health. Dustbathing is an active behaviour that begins with birds scratching

2551 at the ground before squatting on the substrate with their feathers erect. The birds  
2552 then use leg kicks, scratches and vertical wing shakes (an upward shuffling motion)  
2553 to move dust into their feathers (van Liere et al., 1991). The leg and body  
2554 movements involved may have helped to develop bone and muscle conformation,  
2555 leading to an improvement in leg health by slaughter weight (Sandusky and Heath,  
2556 1988; Rutten et al., 2002; Bessei, 2006).

2557 Contrary to our expectations, there were higher levels of locomotion and less sitting  
2558 inactive in the control treatment compared to unenriched areas of OH, B, OH+B  
2559 treatments. Considering the improvement in gait score in enriched treatments, it  
2560 seems unlikely that the reduction in activity was as a result of poorer leg health. It is  
2561 possible that the presence of the straw bales and/or oat hulls in the enriched  
2562 treatments led to a reduction in the amount of time birds spent exploring to find  
2563 suitable resources for foraging and dustbathing (Nicol and Guildford, 1991).  
2564 However, broilers time budgets are fairly inflexible in different enrichment  
2565 conditions (Shields et al., 2005), and it may be that providing enrichments that  
2566 promote exploratory and dustbathing behaviour creates areas of activity in enriched  
2567 treatments, and that unenriched areas are primarily used for rest. It is therefore  
2568 difficult to establish whether overall levels of activity by broiler chickens were  
2569 affected by treatment in the current study. Previous trials have provided broilers with  
2570 varying numbers of straw bales and reported either an increase in overall activity  
2571 (Kells et al., 2001) or no effect on any behaviours (Bailie et al., 2013; Bailie and  
2572 O'Connell, 2014). It is likely that the discrepancy in bale density can account for the  
2573 difference in results. Kells et al. (2001) reported an increase in locomotion and  
2574 standing, and a decrease in sitting and resting in houses with bales compared to  
2575 barren housing. Their enriched houses contained a high density of straw bales (118  
2576 in one house and 81 in another; 1 bale per 17 m<sup>2</sup>), compared to the current trial (9  
2577 bales at any one time and 46 across the cycle, equating to 2 / 1 000 birds; 1 per 155  
2578 m<sup>2</sup>). There has been little research on different levels of bale provision, however  
2579 Bailie and O'Connell (2014) found no improvement in bird welfare when broilers  
2580 were housed with 1 bale per 29 m<sup>2</sup> (2 bales per 1 000 birds at all times) compared to  
2581 a lower 1 bale per 44 m<sup>2</sup> (1.3 bales per 1 000 birds at all times). Currently protocols  
2582 for enriched housing in the UK (usually 1.5-2 bales per 1 000 birds) were largely  
2583 developed within the limitations of what could practically be implemented on farms

2584 at the time, however further research on the optimal level of bale provision would be  
2585 useful.

2586 The behaviour of broilers in close proximity to the oat hulls or straw bales was  
2587 considerably different. Birds performed more dustbathing, foraging and sitting  
2588 pecking in oat hulls compared to when they were around straw bales. Oat hulls are a  
2589 loose, friable substrate and possess qualities similar to peat and sand, for which  
2590 broilers show a preference for foraging and dustbathing (e.g. Petherick and Duncan,  
2591 1989; Shields et al., 2004). Although all straw bales provided during the trial were  
2592 dismantled, which means birds did peck and scratch the straw throughout the cycle,  
2593 levels of foraging behaviour observed around the bales were low. Foraging in  
2594 broilers is a relatively short behaviour, with average foraging bouts lasting around 3  
2595 minutes (Bizeray et al., 2002b), which may have been missed by scan sampling.  
2596 However, the high levels of sitting observed around bales suggest they may serve  
2597 another positive function by providing cover and perceived protection for broilers.  
2598 Similar clustering around long-cut straw bales has been reported (Kells et al., 2001;  
2599 Bergmann et al., 2017), which suggests plastic wrapped straw bales can fulfil a  
2600 similar role as cover. Increased levels of resting and preening are observed in hens  
2601 provided with cover panels, probably because they would be particularly vulnerable  
2602 to predation while their eyes are closed (Newberry and Shackleton, 1997; Cornetto  
2603 and Estevez, 2001b). Homogeneity of distribution of broilers is also improved with  
2604 the presence of cover, as birds have a tendency to group near pen walls (Cornetto  
2605 and Estevez, 2001a). There was also a difference in the way the two enrichments  
2606 were used over time. While foraging and dustbathing remained low around straw  
2607 bales, in oat hulls there was a reduction in foraging over time and an increase in  
2608 dustbathing between weeks 3 and 6. Consistent with previous research (e.g. Dawson  
2609 and Siegel, 1967), there was also a reduction in foraging in the unenriched areas of  
2610 the house. Foraging is also an example of contrafreeloading, whereby an animal with  
2611 easy access to food will choose to work for food (Osbourne, 1977). Broilers have  
2612 constant access to food and are less likely to perform contrafreeloading behaviours  
2613 compared to laying hens and their Red Jungle Fowl ancestors (Lindqvist et al.,  
2614 2006), and this may especially become the case when the activity requires more  
2615 energy in older and heavier birds. Dustbathing has a different motivational basis and  
2616 there was an increase in the level of dustbathing between weeks 3 and 6, which  
2617 corresponds with some previous studies (Weeks et al., 1994; Bokkers and Koene,

2003). These results suggest that a dustbathing substrate may be a more suitable enrichment for birds to engage with for the entirety of the production cycle.

Straw bales and oat hulls may serve different functions within a commercial house and therefore may be compatible enrichments if provided together. There was no effect on the types of behaviours performed with oat hulls or bales when they were placed near to the alternative enrichment (in the OH+B treatment), compared to when they were placed in individual houses (OH or B treatments). Both enrichments still continued to attract the same number of birds in single and combined conditions, which suggests there would be no impact on straw bale use if oat hulls were provided as a supplementary enrichment. There were also no negative effects on production or any environmental parameters of combining both enrichment types. It is important that enrichments have no negative effect on productivity in order for them to be successfully introduced commercially. Previous nutrition studies have found ground oat hulls to have no negative effect on broiler weight gain and to actually improve feed consumption and conversion efficiency (Hetland and Svihus, 2001; Hetland et al., 2003). There was also no effect of treatment on ammonia levels or litter quality, and no influence on the percentage of pododermatitis recorded. The dry nature of the oat hulls was expected to improve litter quality, and therefore reduce incidences of pododermatitis (Bilgili et al., 2009), however its restriction to rings around the house is likely to have limited its effectiveness in this respect. Although dust levels were not monitored in this study, previous reports of problems with the dustiness of oat hulls have been reported (Meyer et al., 2007), and should be considered in further trials.

### 2641 **3.5 Conclusions**

2642 In conclusion, oat hulls were successful at promoting dustbathing in commercially  
2643 housed broilers and maintained interest throughout the production cycle. Oat hulls  
2644 were more successful in promoting foraging and dustbathing compared to straw  
2645 bales, however bales were pecked at throughout the trial and were fully dismantled  
2646 in each cycle. The high number of birds sitting around the bales suggests their  
2647 additional value as protective cover for the birds. Importantly, broilers housed with  
2648 oat hulls as a dustbathing enrichment, both singly and in combination with straw  
2649 bales, had better gait scores than those in the control treatment with no enrichment.



2650 This demonstrates an effect of including a dustbathing substrate on the leg health of  
2651 broiler chickens. However, there was no increase in activity observed in unenriched  
2652 areas when broilers were given enrichments, which offers conflicting results to  
2653 previous research. Indeed, there was an increase in activity in the control treatment  
2654 compared to unenriched areas of the enriched treatments, which may be due to birds  
2655 using areas away from enrichments primarily for rest. There was no effect on the  
2656 level of use of each enrichment, and no negative effect on performance or  
2657 environmental measures, when both types of enrichment were provided together.  
2658 This suggests oat hulls would be suitable as a supplementary enrichment to straw  
2659 bales, and they appear to satisfy distinct motivations for broilers. Some practical  
2660 issues have been outlined that must be considered for this substrate to be introduced  
2661 commercially. Further research and collaboration with commercial suppliers will be  
2662 useful to find the most effective way of incorporating a dustbathing enrichment into  
2663 commercial housing.

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## **Chapter Four**

### **Study 3**

**Is there any benefit to grouping environmental enrichments in commercial broiler housing?**

2679 Preface

2680 In “higher welfare” housing, broiler enrichments are usually placed evenly around  
2681 the house in typically low densities. As little is known about the home ranges of  
2682 commercially housed broilers, this spread of enrichments increases the likelihood  
2683 that birds will encounter and benefit from these additions. However, some research  
2684 has shown that laying hens are more likely to interact with a pecking device if it  
2685 consisted of several different materials (Jones et al., 2000). Broilers were also  
2686 attracted to a peripheral area of a pen when presented with a variety of enrichments  
2687 simultaneously (a straw bale, peat, a platform and a ramp; Newberry, 1999). It was  
2688 therefore decided to test whether broilers would be more attracted to grouped  
2689 “enrichment areas” compared to single enrichments and whether this would increase  
2690 the overall level of enrichment use.

2691 There was some interest from the commercial producer in developing an appropriate  
2692 pecking stimulus for broiler chickens, and previous commercial scale research had  
2693 noted a higher interest in bunches of string (Bailie and O’Connell, 2015) than  
2694 previously reported in smaller studies (Arnould et al., 2004). In addition, there is  
2695 some evidence that poultry will perform more dustbathing and comfort behaviours in  
2696 the presence of vertical cover panels (Cornetto and Estevez, 2001b). As  
2697 demonstrated in previous chapters, straw bales appear to be an attractive area to rest  
2698 for broilers, suggesting their value as protective cover. It was hypothesised that more  
2699 dustbathing behaviour might be observed in dustbathing areas bordered by straw  
2700 bales. Therefore, the enrichments chosen for this experiment included straw bales,  
2701 rings of oat hulls, and plastic-coated chains (as a pecking enrichment). This study  
2702 was conducted at a commercial level, in order to best determine the practicalities and  
2703 effects of such a modification. This limited the number of replications possible and  
2704 this study is intended as a pilot trial to guide future research.

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2707     Abstract

2708     This experiment explored whether creating ‘enriched areas’ would attract more  
2709     broilers and stimulate a higher level of use compared to providing individual  
2710     enrichments. Approximately 56 000 Ross 308 broiler chickens were placed in two  
2711     matched commercial houses (30 kg/m<sup>2</sup>) on one farm. On day 4, three enrichment  
2712     types were supplied: plastic-wrapped straw bales (SB), oat hulls (OH) provided in  
2713     steel rings (1.1 diameter, 7.62 cm deep), and black/yellow plastic pecking chain (Pe)  
2714     hanging from feeder lines. These enrichments were grouped into seven enrichment  
2715     combinations per house: 1) SB only, 2) OH only, 3) Pe only, 4) SB+OH, 5) SB+Pe,  
2716     6) OH+Pe, and 7) SB+OH+Pe. The farm was visited twice weekly over one  
2717     production cycle in weeks 2, 3, 4 and 5. Level of enrichment use was assessed using  
2718     video footage taken of each enrichment area. Scan sampling was conducted of  
2719     broilers within 0.4 m of the straw bales and within the borders of the steel oat hulls  
2720     rings, with the percentage of broilers performing each behaviour calculated. Focal  
2721     sampling was also used to record the number of pecks directed at the straw bales and  
2722     pecking chain, and of the number of vertical wingshakes performed in the oat hulls.  
2723     Each enrichment type was compared with its three alternative combinations, e.g.  
2724     level of use of straw bales was compared between the SB, SB+OH, SB+Pe and  
2725     SB+OH+Pe combinations. In general, level of use of SB and OH was similar to  
2726     previous research, but use of Pe was higher than anticipated. Enrichment  
2727     combination did not have a significant effect on the number of broilers around the  
2728     straw bales or in the oat hull rings, or on the percentage of any behaviours observed.  
2729     Focal observations of direct use of each enrichment revealed that significantly more  
2730     vertical wingshakes were performed when the oat hulls were placed singly (OH)  
2731     rather than in the SB+OH+Pe combination ( $P = 0.026$ ). There was a significant  
2732     interaction between enrichment combination and week for the number of pecks  
2733     directed at the straw bales ( $P = 0.013$ ), and no effect of enrichment combination on  
2734     the number of pecks directed at the pecking chain ( $P > 0.05$ ). Specific effects of  
2735     placing SB close to OH (as a possible form of vertical cover) on levels of  
2736     dustbathing and of disturbance to birds within these dustbathing rings was examined,  
2737     but no significant effects were found. In conclusion, there appeared to be no obvious  
2738     benefits to grouping these enrichments together rather than providing them singly,  
2739     and some practical benefits to placing enrichments individually (such as more even  
2740     distribution of fresh straw into the litter throughout the house). Straw bales did not

2741 appear to offer significant protective cover around dustbathing areas, with no  
2742 increase in comfort behaviours or reduction in disturbances observed. Broilers were  
2743 substantially more interested in the pecking enrichment than has been previously  
2744 reported, highlighting the need for more commercial scale research.

## 2745 **4.1 Introduction**

2746 The use of environmental enrichment has become a common method of providing  
2747 intensively farmed animals with more complex and diverse environments.  
2748 Introducing resources that provide stimulation and improve the biological  
2749 functioning of animals (Newberry, 1995) has resulted in a reduction in abnormal  
2750 behaviours (D’earth et al., 2014; Tahamtani et al., 2016) and improvements in  
2751 production (El-Lethey et al., 2000) and well-being (Douglas et al., 2012). However,  
2752 there remains little information available on the most effective ways to provide  
2753 enrichment to commercial broiler chickens, and research is often performed in  
2754 laboratory settings which cannot replicate the conditions and difficulties encountered  
2755 at a commercial scale (Dawkins et al., 2003). Provision of straw bales has been  
2756 found to increase the levels of activity in a house (Kells et al., 2001) and to improve  
2757 leg health (Bailie et al., 2013), however the low numbers of bales commonly  
2758 provided at a commercial scale may mitigate this effect. The use of pecking  
2759 enrichments to promote normal pecking and exploratory behaviours has been  
2760 successful in reducing abnormal behaviours in laying hens (Gvoryahu et al., 1994;  
2761 McAdie et al., 2005), and may help to improve walking ability in broilers (Bailie and  
2762 O’Connell, 2015). However, broilers can show a low level of interest in such  
2763 enrichments (Arnould et al., 2004). Previous chapters have demonstrated that  
2764 broilers do show a sustained interest in appropriate dustbathing materials. Broilers  
2765 will readily perform dustbathing in a loose, friable substrate such as peat or oat hulls,  
2766 and show increased use of dustbathing substrates across the production cycle.

2767 Animals will show greater interaction with their environment when their  
2768 surroundings are complex rather than simple (Chamove, 1989), and broilers are  
2769 motivated to explore areas with novel items and non-essential resources (Newberry,  
2770 1999). In enriched housing, chickens are usually offered enrichments separately,  
2771 however laying hens will peck more readily at three pecking stimuli when offered  
2772 simultaneously rather than individually (Jones et al., 2000). It was therefore

2773 hypothesised that grouping enrichments together would attract a higher number of  
2774 birds to the area and result in a higher level of enrichment use compared to single  
2775 enrichments. This trial was conducted in commercial housing in order to better  
2776 understand the practicality of this method; straw bales, plastic coated pecking chains,  
2777 and rings filled with oat hulls as a dustbathing substrate were offered to the birds in  
2778 various combinations, with the level of use and types of behaviours performed  
2779 around each enrichment observed throughout the production cycle.

2780 In addition, previous trials have found that birds will group and rest around straw  
2781 bales (Kells et al., 2001; Study 2) indicating their possible value as protective cover.  
2782 Adaptive anti-predator behaviours have persisted in domesticated fowl and birds can  
2783 show strong fear reactions to sudden events and run for cover in the absence of  
2784 genuine predators (Evans et al., 1993a Evans et al., 1993b; Dawkins et al., 2003).  
2785 Both laying hens and broiler chickens show a preference for areas enriched with  
2786 some type of vertical cover that may offer perceived protection (Newberry and  
2787 Shackleton, 1997; Cornetto and Estevez, 2001a; Dawkins et al., 2003). In large  
2788 group sizes, broilers will perform more dustbathing, resting and preening in the  
2789 presence of vertical cover (Cornetto and Estevez, 2001b), and when grouped next to  
2790 a vertical structure birds are less likely to be jostled and disturbed by conspecifics  
2791 (Cornetto et al., 2002), which could otherwise approach from all directions (Buijs et  
2792 al., 2010). As such, our second hypothesis was that dustbathing areas bordered by  
2793 straw bales would be more protected, and therefore an increase in dustbathing and  
2794 comfort behaviours, and a reduction in disturbances would be observed in these  
2795 grouped enrichment areas.

## 2796 **4.2 Materials and Methods**

### 2797 **4.2.1 Subjects and housing**

2798 This trial was conducted in two matched houses on a Northern Ireland commercial  
2799 farm between May and July 2017. Approximately 28 000 Ross 308 broiler chickens  
2800 were placed “as hatched” in each house on the same day, giving an average 50:50  
2801 female to male ratio. The houses were matched for design and size; both were 85 m  
2802 x 20 m metal framed sheds, with an average usable floor space of ~1 716 m<sup>2</sup>. Birds  
2803 were housed at a maximum stocking density of 30 kg/m<sup>2</sup>, which was the standard for

2804 this farm. The houses were initially bedded on fresh woodshavings and additional  
2805 woodshavings were distributed throughout to maintain litter condition at the farmer's  
2806 discretion. Temperature, humidity and light levels were controlled automatically in  
2807 the same manner as described in Chapter 2 (2.2.1)

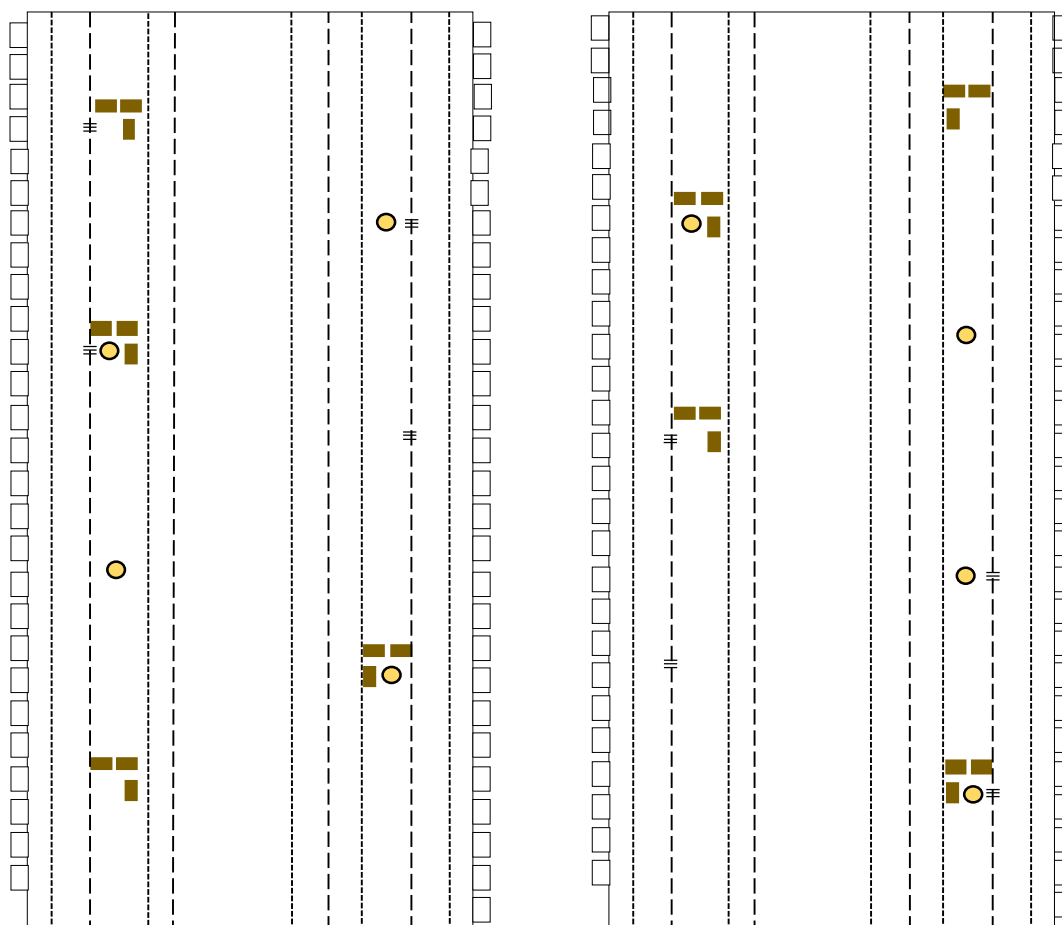
#### 2808 4.2.2 Treatments and experimental design

2809 Seven enrichment combinations were placed in each house (Figure 4): 1) individual  
2810 straw bales only (SB), 2) individual oat hulls rings only (OH), 3) pecking chains  
2811 only (Pe), 4) straw bales and pecking chains (SB+Pe), 5) oat hulls and pecking  
2812 chains (OH+Pe), 6) straw bales and oat hulls (SB+OH), 7) straw bales and oat hulls  
2813 and pecking chains (SB+OH+Pe; Photo 3). All enrichments were placed on day 4 of  
2814 the cycle, which was earlier than previously described enrichment placement in this  
2815 thesis, and was possible because of the farmers discretion that chick feeder sheets  
2816 would be sufficiently cleared by day 4. Enrichment location was chosen using  
2817 restricted randomisation, with the condition that enrichment areas should be evenly  
2818 placed in back and front areas of the houses. All enrichments were equidistant from  
2819 the nearest windows to control for the influence of natural light intensity. Straw bales  
2820 were plastic wrapped, short cut straw bales that were used as standard enrichment  
2821 bales on the farm. Three bales were placed in an L-shape which created a semi-  
2822 enclosed area (Photo 3). As per normal management practices, the two long sides of  
2823 the bales were cut open to allow birds to peck out the straw. For the purposes of this  
2824 trial, bales were replaced in the same location once the top of the bale collapsed.




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**Figure 4.** Placement of enrichment areas around matched houses. Vertical dotted lines represent nipple drinker lines; vertical dashed lines represent feeder lines. Boxes on the outer sides of the houses represent windows. The enrichments included were:

Straw bales            Rings of oat hulls            Pecking chain      

These enrichments were grouped into seven different enriched areas: 1) straw bales only, 2) oat hulls only, 3) pecking chain only, 4) straw bales and pecking chain, 5) oat hulls and pecking chain, 6) straw bales and oat hulls, 7) straw bales, oat hulls and pecking chain.

2828 Oat hulls were locally sourced (Whites Speedicook Ltd, Craigavon, UK) and  
 2829 delivered in 1 tonne bags as previously described. Oat hulls were provided in one  
 2830 steel ring per area; steel rings had a 1.1 m diameter and were 7.62 cm deep, with an  
 2831 area of 0.95 cm<sup>2</sup>. Birds were able to climb into the rings from day 4 and were unable  
 2832 to perch on the edges. Approximately 14 kg of oat hulls were initially placed in the  
 2833 rings, filling them to a depth of about 5 cm. All rings were topped up twice a week  
 2834 on the morning of observations throughout the trial to their original level. During  
 2835 weeks 4 and 5, oat hulls were also refilled on an additional day between observations  
 2836 to maintain their condition as the oat hulls degraded more rapidly in later weeks. The  
 2837 pecking chain provided was 8 mm black and yellow plastic-coated barrier chain, cut



2838 to lengths of approximately 30 cm (AIMTools Ltd, UK). Yellow has previously been  
2839 found to be an attractive pecking colour to chickens (Jones and Carmichael, 1998;  
2840 Jones et al, 2000). The chain was hung from the feeder lines, in three sections with  
2841 two hanging chains per section, opposite the single bale in the enrichment area  
2842 (Photo 3). The chains hung approximately 0.4 m from the edge of the oat hull rings,  
2843 if present, and varied in distance from each straw bale (Photo 3). In areas with both  
2844 straw bales and oat hulls, oat hull rings were approximately 0.5 m from the edge of  
2845 the bales.

2846



2847

2848 **Photo 3.** Photograph of enrichments placed in the SB+OH+Pe area (straw bales, oat hulls  
2849 and pecking chain). Each individual enrichment placement represents the way  
2850 enrichments are arranged in each combination, e.g. straw bales are arranged in an L-  
2851 shape whether placed singly or in combination with other enrichments

2850

2851

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#### 2853 4.2.3 Data collection

2854 Both houses were visited twice a week during weeks 2, 3, 4 and 5 of the production  
2855 cycle. Video footage of bird behaviour around each enrichment was taken between  
2856 09:00 h and 13:00 h, and on-farm measures of disturbance and light intensity were  
2857 completed between 13:00 h and 16:00 h each day.

2858 Camileo X-Sports cameras, mounted on 1 metre high wooden tripods, were used to  
2859 record enrichment areas. Both houses were filmed on the same day, one after the  
2860 other and the starting house was randomised for each observation. The footage was  
2861 then analysed using a combination of scan sampling and focal observations. For  
2862 straw bales, the two adjacent bales in all four locations were filmed on both sides  
2863 simultaneously for 35 minutes, using eight cameras. Following a 5 minute settling  
2864 period, birds directly in front of the bales (up to 0.4 m distance from the bales,  
2865 measured as the height of the bale virtually transposed onto the house floor in front  
2866 of the adjacent bales) were scan sampled at 10, 20 and 30 minutes of each video (a  
2867 total of 384 scans). All birds in the area around the bales were recorded as either  
2868 sitting inactive, sitting pecking, foraging, dustbathing, locomotion (walking or  
2869 standing), preening or play (frolicking or sparring). The three scan samples were  
2870 averaged to give the mean number of birds performing each behaviour in proximity  
2871 to the two bales. Scores for the two sides of the bales were summed to give a total  
2872 score, and the average number of birds performing each behaviour was then  
2873 expressed as a percentage of the total number of birds around the bales. The bi-  
2874 weekly observations were then averaged to give one value per week. Once per week,  
2875 footage of each of the two bales was also observed for a 10 minute focal period  
2876 (following a 10 minute settling period). The number of pecks directed at exposed  
2877 straw on the side of the bales facing the inner area of the L-shape (facing towards  
2878 other enrichments, if present) was recorded and values for the two bales summed, to  
2879 give a score of the number of pecks directed at the inner side of two bales.

2880 Oat hulls were similarly filmed for 35 minutes in each location, using a camera on a  
2881 tripod set up next to each of the four rings. Each video was analysed using scan  
2882 sampling to assess behaviour in the oat hulls, and focal sampling to measure the  
2883 amount of dustbathing. For each video, scan sampling was performed in the same  
2884 manner as around bales, with scans of bird behaviour taken at 10, 20 and 30 minutes  
2885 after a 5 minute settling period. The three scans were then averaged to give the mean

2886 number of birds performing each behaviour, and the bi-weekly scores averaged to  
2887 give one score per week. For weekly focal observations, following a 10 minute  
2888 settling period, the number of vertical wing shakes performed in the oat hulls was  
2889 counted during a 20 minute focal period as a measure of the amount of dustbathing  
2890 performed (e.g. Sanotra et al, 1995). The mean length of a dustbathing bout in oat  
2891 hulls is 14 minutes (SEM 0.85), with an average of 23 vertical wingshakes (Chapter  
2892 2). This length of focal observation was chosen in order to observe a number of  
2893 complete dustbathing bouts.

2894 Pecking chain areas were filmed for a total of 25 minutes each, using a camera on a  
2895 tripod placed next to each of the four chain areas (facing towards the house wall).  
2896 Due to the rapid nature of any engagement with pecking chains, only focal sampling  
2897 was performed on pecking chain footage. Once a week, after a 5 minute settling  
2898 period, the number of pecks directed at the six pecking chains per area was counted  
2899 for a 10 minute focal period.

2900 To assess whether bales act as cover for birds using oat hulls, a separate measure of  
2901 disturbance was recorded directly by the same observer each week. The number of  
2902 disturbance events was counted in birds in stand-alone oat hull rings (OH) and in oat  
2903 hull rings surrounded by bales (SB+OH). The observer sat approximately 2 metres  
2904 from the ring in both cases and, after a 5 minute settling period, recorded any  
2905 incidences of disturbance for the following 10 minutes. An incidence of disturbance  
2906 was recorded when a bird made physical contact with another bird, causing it to  
2907 stand (Estevez, 1994; Cornetto et al., 2002). During the focal period, the number of  
2908 birds in the ring was counted every minute, and the 10 scores were averaged to give  
2909 the mean number of birds present in the ring during the observation period. This  
2910 additional measure of ring occupancy was taken to allow direct comparison with  
2911 disturbance events, in order to better understand the relationship between bird  
2912 density in the rings and disturbance. The number of disturbance events recorded and  
2913 the number of birds recorded in the ring were averaged to give one score per week.

2914 Light levels were automatically maintained, however measures of light (lux meter)  
2915 were taken from all enrichment areas in both houses, no more than 5 minutes apart,  
2916 to monitor variation and avoid light as a confounding variable.

#### 2917 4.3.4 Statistical analysis

2918 Data were analysed using SPSS (version 23). For each enrichment type, the four  
2919 levels of enrichment combination were compared with each other; for example, data  
2920 for oat hulls were compared between 1) individual oat hulls, 2) oat hulls and pecking  
2921 chain, 3) oat hulls and straw bales and 4) oat hulls, straw bales and pecking chain.  
2922 Normality of residuals was assessed for each data set through inspection of normality  
2923 plots and Shapiro-Wilk tests. Significance level was set at  $P < 0.05$ .

2924 For observations of % behaviours performed around the bales and in the oat hulls,  
2925 the main and interaction effects of treatment and week were analysed using GLMM,  
2926 with enrichment combination + week as fixed effects, and house.week as a random  
2927 factor. Data from focal samples on the number of VWS performed in oat hulls ( $n =$   
2928 32), the number of pecks directed at bales ( $n = 32$ ), and the number of pecks directed  
2929 at pecking chain ( $n=32$ ) were analysed using the same model. Light intensity  
2930 ( $n=112$ ) was compared between the seven enrichment areas using GLM with  
2931 enrichment combination as a fixed factor and house as a random factor, and between  
2932 the two houses using a one-way ANOVA. There was no significant difference in ring  
2933 occupancy between OH and SB+OH during focal observations of disturbance ( $P >$   
2934 0.05), therefore disturbance was analysed using a GLM with enrichment  
2935 combination as a fixed factor and house as a random factor ( $n = 16$ ). A Pearson  
2936 product-moment correlation coefficient was calculated to investigate the linear  
2937 relationship of average birds in the ring and the number of disturbances recorded.

2938

### 2939 4.3 Results

2940 There was no significant difference in light intensity between enrichment  
2941 combinations or between houses ( $P > 0.05$ ). Light intensity was therefore  
2942 disregarded as a source of variation. Play behaviours were very infrequently  
2943 recorded and were excluded from analysis.

#### 2944 4.3.1 Straw Bales

2945 A total of 5370 broilers were observed and categorised around the bales during the  
2946 trial. Whether bales were placed singly or in combination with other enrichments did  
2947 not have a significant effect on the total number of birds counted around the bales.  
2948 Overall, there was an average of 30 (SE = 1.1) birds counted around the two bales,  
2949 with fewer birds counted around the bales as birds aged ( $F_{3,3} = 17.46$ ,  $P = 0.009$ ),  
2950 which was expected because the observation area was fixed so fewer birds fit within  
2951 the scan area. Although there was minimal dustbathing performed around the straw  
2952 bales ( $M = 0.3\%$ ,  $SE = 0.10$ ), there was a significant interaction between enrichment  
2953 combination and week ( $F_{9,12} = 2.92$ ,  $P = 0.043$ ). Simple effects analysis showed that  
2954 the broilers age had a significant effect on the amount of dustbathing performed in  
2955 the SB+OH (week 2,  $M = 0\%$ ; week 3,  $M = 0\%$ ; week 4,  $M = 1.1\%$ ; week 5,  $M =$   
2956  $1.1\%$ ) and SB+OH+Pe (week 2,  $M = 0\%$ , week 3,  $M = 0.3\%$ , week 4,  $M = 1.5\%$ ,  
2957 week 5,  $M = 0\%$ ) but not the SB (week 2,  $M = 0\%$ ; week 3,  $M = 0.26\%$ ; week 4,  $M$   
2958  $= 0\%$ ; week 5,  $M = 0\%$  and SB+Pe combinations (week 2,  $M = 0\%$ ; week 3,  $M =$   
2959  $0.81\%$ ; week 4,  $M = 0\%$ ; week 5,  $M = 0.34\%$ ). There was no significant effect of  
2960 enrichment combination on percentage of broilers sitting inactive, sitting pecking,  
2961 foraging, preening or in locomotion around the bales (Table 11), and no significant  
2962 interactions between combination and week. There was, however, a main effect of  
2963 age on the % of sitting pecking around the bales ( $F_{3,4} = 6.66$ ,  $P = 0.049$ ), with  
2964 significantly more sitting pecking observed in week 2 compared to week 5 (week 2,  
2965  $M = 14.1\%$ ,  $SE = 1.02$ ; week 3,  $M = 11.8\%$ ,  $SE = 5.13$ ; week 4,  $M = 8.1\%$ ,  $SE =$   
2966  $1.34$ ; week 5,  $M = 5.6\%$ ,  $SE = 0.69$ ).

2967 During the 10 minute focal period, there were an average of 310 (SE = 18.8) pecks  
2968 directed at the straw bales (Figure 5). There was a significant interaction between  
2969 enrichment combination and week for the average number of bale pecks ( $F_{9,12} =$   
2970  $4.08$ ,  $P = 0.013$ ). Average bale pecking was significantly affected by enrichment  
2971 combination in weeks 2 and 4, with higher levels of pecking at the SB compared to  
2972 the SB+OH+Pe combination in week 2 (SB,  $M = 451.0$ ,  $SE = 18.0$ ; SB+OH,  $M =$   
2973  $278.0$ ,  $SE = 50$ ; SB+Pe,  $M = 395.5$ ,  $SE = 3.65$ ; SB+OH+Pe,  $M = 207.0$ ,  $SE = 0.98$ ),  
2974 and higher levels of pecking in the SB+Pe combination compared to SB+OH in  
2975 week 4 (SB,  $M = 309.5$ ,  $SE = 122.5$ ; SB+OH,  $M = 133.5$ ,  $SE = 43.5$ ; SB+Pe,  $M =$   
2976  $375.0$ ,  $SE = 47.0$  ; SB+OH+Pe,  $M = 325.0$ ,  $SE = 118.0$ ).

#### 2977 4.3.2 Oat Hulls

2978 A total of 2161 broilers were observed and categorised in the oat hulls rings. Overall,  
2979 an average of 11 birds were counted in the rings; the birds age did not affect how  
2980 many birds were present in the rings ( $P > 0.05$ ). Whether the oat hulls were placed  
2981 singly or in combination with other enrichments also did not have a significant effect  
2982 on the total number of birds in the rings or the percentage of any behaviours  
2983 performed ( $P > 0.05$ ). There was a significant effect of broiler age on the percentage  
2984 of birds dustbathing ( $F_{3,4} = 14.44$ ,  $P = 0.013$ ), with levels of dustbathing increasing  
2985 as birds aged (week 2,  $M = 9.2\%$ ,  $SE = 1.56$ ; week 3,  $M = 17.7\%$ ,  $SE = 6.8$ ; week 4,  
2986  $M = 27.2\%$ ,  $SE = 2.20$ ; week 5,  $M = 31.9\%$ ,  $SE = 4.29$ ). There was also an overall  
2987 effect of age on the percentage of broilers sitting inactive in the rings, with the most  
2988 inactivity recorded in week 5 ( $F_{3,4} = 10.66$ ,  $P = 0.022$ ; week 2,  $M = 27.6\%$ ,  $SE =$   
2989  $2.01$ ; week 3,  $M = 31.0\%$ ,  $SE = 3.19$ ; week 4,  $M = 21.7\%$ ,  $SE = 2.81$ ; week 5,  $M =$   
2990  $31.4\%$ ,  $SE = 1.31\%$ ).

2991 There was an average of 74 ( $SE = 8.43$ ) vertical wingshakes per 20 minute focal  
2992 period. Enrichment combination had a significant effect on the number of vertical  
2993 wingshakes performed ( $F_{3,12} = 4.44$ ,  $P = 0.026$ ), with significantly more vertical  
2994 wingshakes performed in the OH only compared to SB+OH+Pe areas (OH,  $M =$   
2995  $93.6$ ,  $SE = 19.86$ ; OH+Pe,  $M = 71.1$ ,  $SE = 14.96$ ; SB+OH,  $M = 87.6$ ,  $SE = 11.82$ ;  
2996 SB+OH+Pe,  $M = 41.5$ ,  $SE = 15.81$ ; Figure 5). There was a significant main effect of  
2997 week on the percentage of birds dustbathing in the rings ( $F_{3,4} = 9.12$ ,  $P = 0.029$ ).  
2998 Pairwise comparisons indicated a significant increase in vertical wingshakes between  
2999 weeks 2 and 3, but no significant differences between weeks 2, 4 and 5 or weeks 3, 4  
3000 and 5 (week 2,  $M = 41.5$ ,  $SE = 9.86$ ; week 3,  $M = 106.9$ ,  $SE = 21.11$ ; week 4,  $M =$   
3001  $85.1$ ,  $SE = 13.55$ ; week 5,  $M = 63.0$ ,  $SE = 13.79$ ).

3002 There was a positive correlation between the average number of birds present in the  
3003 ring and the number of disturbance events recorded ( $r(14) = 0.88$ ,  $P < 0.001$ ) and, in  
3004 agreement with data from scan samples of behaviour, no significant difference in the  
3005 number of birds counted in the OH compared to the SB+OH during focal  
3006 observations. There was no significant effect of the presence of straw bales on the  
3007 level of disturbance in the oat hulls ( $P > 0.05$ ; SB+OH,  $M = 2.4$ ,  $SE = 0.65$ ; OH,  $M$   
3008  $= 4.5$ ,  $SE = 1.09$ ).

**Table 11.** The behaviour of broilers using enrichments placed in each combination (mean %  $\pm$  standard error)

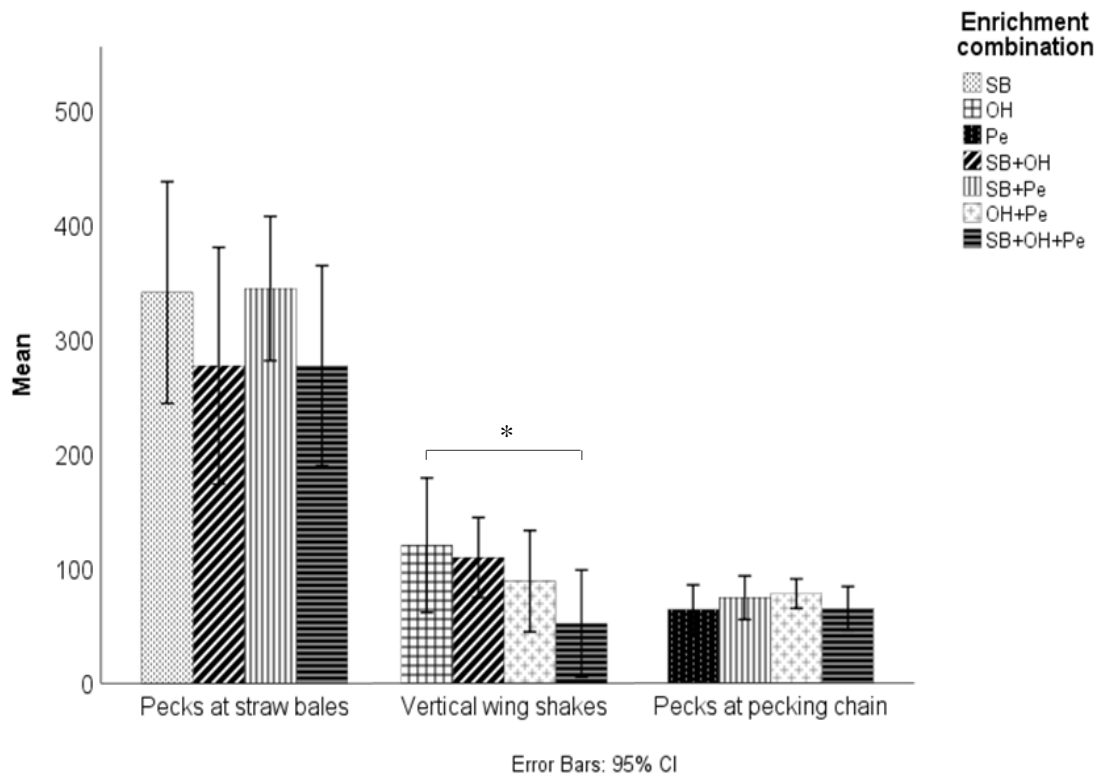
	Enrichment combination				Treatment	Age	Treatment*Age
	OH	SB+OH	OH+Pe	SB+OH+Pe	<i>P</i> value	<i>P</i> value	<i>P</i> value
Behaviour in oat hulls (%):							
Sitting inactive	26.58 ± 3.36	29.03 ± 1.69	28.07 ± 3.22	28.03 ± 2.74	ns	0.022	ns
Sitting pecking	15.66 ± 1.93	17.35 ± 2.38	23.52 ± 4.71	19.39 ± 2.27	0.071 <sup>†</sup>	ns	0.096 <sup>†</sup>
Foraging	17.06 ± 1.94	14.71 ± 2.23	14.40 ± 1.31	15.31 ± 2.42	ns	ns	ns
Dustbathing	24.40 ± 5.41	24.91 ± 4.11	19.19 ± 3.57	17.53 ± 3.25	ns	0.013	ns
Locomotion	9.70 ± 2.15	10.89 ± 1.81	10.67 ± 0.86	14.20 ± 3.34	ns	ns	ns
Preening	6.60 ± 0.76	3.12 ± 0.93	3.91 ± 0.46	5.54 ± 0.96	ns	ns	ns
	SB	SB+OH	SB+Pe	SB+OH+Pe			
Behaviour around straw bales (%):							
Sitting inactive	49.32 ± 2.89	51.80 ± 3.53	49.41 ± 3.68	48.44 ± 3.00	ns	ns	ns
Sitting pecking	9.91 ± 1.80	9.62 ± 1.86	9.14 ± 1.40	11.02 ± 1.96	ns	0.049	ns
Foraging	8.58 ± 1.00	8.67 ± 1.12	9.63 ± 1.06	8.63 ± 0.63	ns	ns	ns
Dustbathing	0.064 ± 0.064	0.56 ± 0.24	0.29 ± 0.21	0.45 ± 0.25	ns	ns	0.043
Locomotion	25.14 ± 1.89	23.81 ± 2.10	26.53 ± 3.52	26.83 ± 2.49	ns	0.086 <sup>†</sup>	ns
Preening	6.29 ± 0.91	4.84 ± 0.97	4.87 ± 0.88	4.64 ± 0.55	ns	ns	ns

<sup>†</sup>  $P < 0.1$ 

Oat hulls rings placed singly (OH) with straw bales (SB+OH), with pecking chain (OH+Pe), or with straw bales, oat hulls and pecking chain (SB+OH+Pe); straw bales placed singly (SB), with oat hulls (SB+OH), with pecking chain (SB+Pe), or with oat hulls and pecking chain (SB+OH+Pe).

4.3.3 Pecking Chain

A total of 2248 pecks were directed at the pecking chain during observations, with an average of 70 (SE = 3.9) pecks during each 10 minute focal period. The presence of other enrichments did not significantly affect the amount of chain pecking recorded ( $P > 0.05$ ; Figure 5).



**Figure 5.** Focal observations of enrichment use. The average pecks directed at the straw bales when placed singly (SB), with oat hulls (SB+OH), with pecking chain (SB+Pe), or with oat hulls and pecking chain (SB+OH+Pe). The number of vertical wingshakes performed in oat hulls when placed singly (OH), with straw bales (SB+OH), with pecking chain (OH+Pe) or with both enrichments (SB+OH+Pe). The number of pecks directed at pecking chain when placed singly (Pe) and in various combinations with straw bales and oat hulls. \*denotes a significant difference ( $P < 0.05$ ).



#### 3032 4.4 Discussion

3033 The aim of this experiment was to determine whether grouping enrichments together  
3034 would affect the way in which they were used, in order to look for any obvious  
3035 benefits of creating “enrichment areas” over placing separate enrichments around the  
3036 house. Contrary to expectations, the more complex enrichment areas did not appear  
3037 to be more attractive to broilers. Whether enrichments were placed singly or in  
3038 combinations did not have an effect on the number of broilers in the oat hulls or  
3039 around the straw bales, or on the overall percentage of each behaviour observed.  
3040 There was, however, significantly more vertical wingshaking recorded in the single  
3041 dust baths compared to those placed with several other enrichments. As broilers aged  
3042 there was a predictable effect on several behaviours, with an increase in inactivity  
3043 and dustbathing in the oat hulls, and a reduction in pecking around the bales  
3044 (Chapter 2; Chapter 3). There was also a positive correlation between the density of  
3045 broilers using the oat hulls and the average number of birds being disturbed, which is  
3046 consistent with previous trials (Hall, 2001; Buijs et al., 2010).

3047 Laying hens peck more readily at three types of pecking stimuli (string, beads and  
3048 chain) when presented simultaneously compared to singly (Jones et al., 2000), which  
3049 the authors suggest is because the varied stimuli are more effective at attracting the  
3050 birds. Our results suggest that grouping straw bales, oat hulls and pecking chain  
3051 together did not increase the attractiveness of these enrichments. There was no  
3052 increase in the number of broilers in the dustbathing areas or around the straw bales  
3053 when they were placed in combination with other stimuli. There are several reasons  
3054 why these enrichment areas may have failed to attract a higher number of birds than  
3055 separately placed enrichments, although it is difficult to draw clear conclusions in  
3056 this study. Unlike in Jones et al. (2000), where three types of the same pecking  
3057 stimulus were presented, in the present trial the three different enrichments provided  
3058 for different motivations. Oat hulls were attractive as a dustbathing substrate, while  
3059 straw bales were largely used as a resting area, and the plastic-coated chain acted as  
3060 an interactive pecking enrichment. The broilers may have been stimulated to use  
3061 each enrichment regardless of nearby resources. It is also possible that broilers are  
3062 less likely to engage with several enrichments when offered due to physical (Bessei,  
3063 2006) and motivational (Lindqvist et al., 2006) limitations. For example, an average  
3064 foraging bout for broilers lasts only 3 minutes (Bizeray et al., 2002c), which may

3065 only be directed at one type of enrichment before a period of rest. It is also possible  
3066 that the enrichments were too far apart to act as a clustered set of diverse stimuli.

3067 Overall, the types of behaviours observed around the straw bales and inside the rings  
3068 were largely unaffected by grouping enrichments together. There was an effect of  
3069 enrichment combination on the amount of dustbathing performed around the straw  
3070 bales, with the presence of nearby oat hull rings influencing levels of dustbathing  
3071 performed around the adjacent straw bales as birds aged. This effect was probably  
3072 due to some oat hulls being kicked into the space in front of the bales or by visual  
3073 contact with dustbathing birds in the nearby rings (Petherick et al., 1995). There  
3074 were no differences in the amount of sitting, foraging, locomotion or preening when  
3075 either straw bales or oat hulls were placed with other enrichments. Focal  
3076 observations of the number of pecks directed at the straw bales and pecking chain,  
3077 and the number of vertical wingshakes performed in the oat hulls were used to assess  
3078 direct enrichment use. There was a significant interaction between enrichment  
3079 combination and broiler age for the number of pecks directed at straw bales, however  
3080 this effect was inconsistent and varied over time. Enrichment combination did not  
3081 influence the number of pecks directed at the pecking chain throughout the cycle.  
3082 For oat hull use, despite enrichment combination not influencing the percentage of  
3083 broilers engaged in dustbathing bouts, focal observations revealed that significantly  
3084 more vertical wingshakes were performed when oat hulls were placed individually  
3085 (OH,  $M = 93.6$ ) rather than with both other types of enrichment (SB+OH+Pe,  $M =$   
3086  $41.5$ ). The amount of vertical wingshaking observed has previously been used to  
3087 identify substrate preferences for dustbathing (e.g. Sanotra et al., 1995) and our  
3088 results may indicate that broilers may prefer to dustbathe in oat hulls placed without  
3089 nearby straw bales or pecking chain. This was contrary to our hypothesis that straw  
3090 bales bordering the dustbathing areas would provide protective cover, which would  
3091 lead to an increase in dustbathing and reduction in disturbances. Provision of vertical  
3092 cover has been shown to increase the levels of dustbathing, resting and preening in  
3093 broilers (Cornetto and Estevez, 2001a; Newberry and Shackleton, 1997), probably  
3094 because birds seek cover to perform behaviours that obscure their vision. Artificial  
3095 cover has also been found to draw birds away from interior walls and reduce the  
3096 overall levels of disturbance in a group (Cornetto et al., 2002). However, our  
3097 observations showed no significant difference in the number of broilers jostled when  
3098 dustbathing areas were bordered by straw bales. The straw bales used in the present

trial (0.4 m high, 0.4 m wide) were not substantially smaller than cover panels (0.61 m high, 0.61 m wide) used in previous experiments (Cornetto and Estevez, 2001a). However, they may not have provided the extending vertical cover that birds show a preference for, for example the protection offered by trees and bushes (Dawkins et al. 2003). Straw bales may also have provided “obstructive” cover, which is less attractive than structures that provide partial concealment, possibly because opaque cover could conceal nearby predators (Newberry and Shackleton, 1997).

As broilers aged, levels of dustbathing and inactivity increased in the oat hulls and levels of sitting pecking increased around the straw bales, which is consistent with previous studies (Baxter et al., 2017; Baxter et al., 2018). The overall level of use of straw bales and oat hulls is comparable to previous research, with slightly less foraging in oat hulls in the present study (15-17% of birds observed were foraging) compared to previous reports (27-29%; Baxter et al., 2017; Baxter et al., 2018). The number of birds using the oat hulls for dustbathing appears to be fairly consistent, with 18-24% of birds observed dustbathing in the present trial and 13-19% in previous studies (Baxter et al., 2017; Baxter et al., 2018). Of the limited amount of studies that have looked at straw bale use in commercially housed broilers, their differences in methodology, housing and bale type make it difficult to draw direct comparisons. Kells et al. (2001) and Bailie et al. (2013) used long-cut straw bales and plastic wrapped straw bales respectively, both counting the number of birds on one side of the bale and doubling it for analysis. Kells et al. (2001) counted any birds clustering around the bale within a 180o angle, not specifying distance, and observed an average of 54 birds clustering around the bales in week 2, 22 in week 3 and 28 in week 4. Bailie et al. (2013) recorded birds 1 m distance from one side of the bale, reporting an average of 73 birds around the bales. They also found that birds were more likely to cluster around bales when provided with natural light in windowed housing which Kells et al. (2001) presumably did not use (not mentioned) and may explain the slightly lower numbers. In the present study, both sides of two adjacent bales were observed simultaneously, giving a more definite representation of the total number of birds around the bale. We observed an average of 30 birds within 0.5 m of the bales at any one time. It appears that birds are fairly consistent in their clustering around straw bales, suggesting they attract birds successfully in different systems and on different farms.

3132 There was relatively high interest in the plastic chain, with an average of 70 pecks  
3133 directed at the chain during the 10 minute observation period. White and yellow  
3134 string has previously been identified as an attractive pecking stimulus for laying hens  
3135 (Jones and Carmichael, 1998; Jones et al., 2000). However, when white string was  
3136 offered to broilers housed in pens (2 m by 6 m) in groups of 40 (with access to  
3137 woodshavings and sand), only 42 pecks were directed to the string during a total of  
3138 28 hours of observation (Arnould et al., 2004). Bailie and O'Connell (2015) reported  
3139 that broilers housed commercially had more interest in the string than previously  
3140 thought, with a bout of pecking directed at white string occurring every 78 seconds.  
3141 In agreement with a recent review of broiler enrichment research (Riber et al., 2017),  
3142 it appears that more on-farm research of broiler enrichments is needed to confirm the  
3143 results of smaller trials. The plastic chain supplied in this trial may also be a more  
3144 practical enrichment for commercial broiler housing than, for example, string (Jones  
3145 et al., 2000), because it can be washed and re-used between production cycles.

3146 There seemed to be no negative effects of presenting broilers with combinations of  
3147 enrichments. In caged mice, presenting enrichments in a cluster rather than singly  
3148 increased the amount of aggression, displacement of one animal using the  
3149 enrichment by another, and stereotypic behaviours (Akre et al., 2011). Very little  
3150 aggression was observed throughout the trial, which is consistent with previous  
3151 findings (Mench, 1988; Pettit-Riley et al., 2002), and there was no increase in the  
3152 amount of birds disturbed in combined enrichment areas. However, as commercial  
3153 broiler houses contain a large number of animals, it is likely to be of more benefit to  
3154 spread enrichments around the housing to impact more birds. It was also noted that  
3155 placing bales in one area consistently throughout the trial led to an accumulation of  
3156 dry straw in those specific areas, limiting the spread of fresh straw around the house.  
3157 As enriched commercial farms can only supply a limited number of straw bales,  
3158 distributing them evenly or in areas of wet litter may be more effective at  
3159 maintaining litter condition.

## 3160 **4.5 Conclusions**

3161 In conclusion, there appeared to be no obvious benefits to clustering enrichments  
3162 compared to offering them singly to birds. Grouping enrichments together did not  
3163 attract a higher number of birds to use the enrichments or clearly affect the majority

3164 of behaviours performed in enriched areas. Indeed, more vertical wingshaking was  
3165 observed in singly placed dustbathing areas and we found some practical advantages  
3166 to spreading enrichments evenly throughout the houses. Although birds grouped and  
3167 rested around the straw bales, there were no significant “protective” effects of  
3168 increased dustbathing or preening when bales were present around oat hulls, and no  
3169 reduction in disturbances. Further large-scale research applying different enrichment  
3170 combinations in different houses would be useful to look for overall effects on  
3171 behaviour and productivity. Broilers did show significantly more interest in a  
3172 pecking enrichment than has been reported previously, highlighting the need for  
3173 more commercial scale research.  
3174

## **Chapter Five**

### **Study 4**

**The effect of environmental enrichment on broiler play behaviours and fear responses in commercial housing**

## 3175 Preface

3176 The studies described in previous chapters have broadly been designed to investigate  
3177 the effectiveness of enrichments in terms of activity levels, expression of natural  
3178 behaviours, and leg health. However, little is known about whether environmental  
3179 enrichments have a positive effect on broiler mental well-being. There has been a  
3180 recent shift in thinking towards how we measure animal welfare, with the Five  
3181 Freedoms being criticised for focusing on removing suffering rather than providing  
3182 animals with a “life worth living” (FAWC, 2009; Wathes, 2010). It has, however,  
3183 proved difficult to identify positive welfare indicators in poultry. The recent  
3184 European Welfare Quality project developed recommendations of practical animal-  
3185 based measures that could be used to assess broiler welfare (Welfare Quality, 2009).  
3186 In this report, the authors highlight several key welfare questions to consider for any  
3187 animal, including “does the behaviour of the animals reflect optimised emotional  
3188 states?”. They suggest several animal-based measures that correspond to this  
3189 question, 1) expression of social behaviour (for which there has been no appropriate  
3190 measure developed for broilers), 2) expression of other behaviours (a measure of  
3191 range use only applicable to free range broilers), 3) good human animal relationship  
3192 (measured using an avoidance test to rate fearfulness), and 4) positive emotional  
3193 state. Positive emotional state is measured in this project using Qualitative  
3194 Behaviour Assessment (QBA), in which an observer applies descriptors to animals  
3195 based on their body language, these descriptors include ‘comfortable’, ‘friendly’,  
3196 ‘helpless’ and ‘scared’. This method has gained traction in recent years and makes  
3197 use of humans intuitive understanding of animal postures and behavioural  
3198 expression. However, identifying a behaviour that is associated with a positive  
3199 emotional state, in the same way that fearfulness is associated with a negative  
3200 emotional state, would be an important advance in monitoring broiler welfare. Play  
3201 has been identified in a broad range of species as a behaviour associated with  
3202 positive welfare (Ficken, 1977; Špinka et al., 2001; Burghardt, 2005). As discussed  
3203 in Chapter 1, while scientists studying broilers have been reluctant to classify certain  
3204 behaviours as play, there is some evidence that frolicking, sparring and food-running  
3205 have qualities that resemble play seen in other species. In addition, increasing the  
3206 complexity of an animal’s environment using enrichment has been successful in  
3207 inducing a positive emotional state in several species (Brydges et al., 2011; Douglas

et al., 2012; Carreras et al., 2016). Therefore, this chapter explores a novel method of stimulating play behaviours, and compare the frequency of these behaviours between barren housing and enriched environments. In order to try to avoid providing broilers with modifications rather than enrichments (Newberry, 1995), features that broilers have previously shown a preference for were chosen, rather than standard commercial enrichments.

## Abstract

Although providing environmental enrichment can improve broiler health and activity levels, there is limited understanding of the effect of these modifications on broiler experience. The main aim of this study was to investigate the emotional effects of providing broilers with environmental enrichment in commercial housing, by assessing levels of fearfulness and the frequency of behaviours that resemble play. There was also an interest in knowing whether the enrichments provided in this trial, platform perches and dust baths of peat, would have a positive effect on broiler activity levels. Broilers were assigned to one of three treatment houses over three production cycles: 1) platform perches, 2) platform perches + dust baths, and 3) barren control with no enrichment. Each house contained approximately 22 500 broilers. Six suspended platform perches (230 x 90 cm) were provided in Treatments 1 and 2, and four peat-filled dust baths (230 x 90 cm) in Treatment 2. Play behaviours and activity in unenriched areas of the house were measured in weeks 3, 4 and 5. To stimulate play behaviours, an observer walked 5 metres in front of a camera tripod, displacing birds and creating a space. The birds using the space were then filmed for 5 minutes and the occurrences of frolicking, sparring and food-running were recorded. Undisturbed behaviours, including foraging and locomotion, were determined from video recordings of unenriched areas of the house. Fearfulness of broilers both using enrichments and in unenriched areas was measured using observer avoidance tests in week 5. Walking through and displacing broilers appeared to be a successful method of artificially stimulating sparring and frolicking, with these behaviours observed in 93% of videos, however the presence of enrichments did not have an effect on the level of play recorded ( $P > 0.05$ ). There was also no effect of the presence of enrichments on the activity levels of birds in unenriched areas of the house ( $P > 0.05$ ). Consistent with previous work, levels of



3240 overall activity decreased as broilers aged. In comparison to the control treatment,  
3241 flight distances in unenriched areas were significantly lower in the perches + dust  
3242 bath treatment ( $P = 0.026$ ), and were numerically lower in the perches treatment.  
3243 This suggests a reduction in fearfulness with increased environmental complexity,  
3244 and thus possible welfare benefits. We offer support that sparring and frolicking  
3245 behaviours in chickens may be forms of play, and suggest that further research  
3246 should investigate whether increasing the level of provision of these enrichments  
3247 leads to more marked improvements in welfare.

## 3248 **5.1 Introduction**

3249 Providing captive animals with environmental enrichment has been shown to  
3250 improve stereotypical behaviours, reduce fear reactions and increase activity levels  
3251 (e.g. Beattie et al., 2000; Kells et al., 2001). Increasing the complexity of home  
3252 environments can also induce “optimism” in animals, which indicates a positive  
3253 emotional or affective state (Brydges et al., 2011; Douglas et al., 2012). Although  
3254 broiler chickens are typically raised without environmental enrichment, there is an  
3255 increasing demand for poultry to be raised to a higher welfare standard. Several  
3256 studies have demonstrated the positive effects of environmental enrichment on  
3257 broiler leg health (Bizeray et al., 2002a; Ventura et al., 2010) and activity levels  
3258 (Kells et al., 2001; Bizeray et al., 2002b). However, very little is known about the  
3259 influence of these modifications on broiler well-being. One way of investigating the  
3260 experience of an animal is to measure behaviours associated with positive and  
3261 negative states, such as fear and play.

3262 Fear is an emotional response to perceived danger and high levels of fear in poultry  
3263 have been linked to poor performance and a higher risk of injury (Jones, 1996).  
3264 Chickens also appear to experience a negative emotional state when frightened, and  
3265 will avoid situations in which they may experience fear (Duncan and Filshie; 1980;  
3266 Duncan and Petherick, 1991). Provision of enrichments has been shown to reduce  
3267 fear responses in chickens (Reed et al., 1993) and a reduction in fear could represent  
3268 an improvement in bird emotional state. Conversely, play has been identified as a  
3269 positive welfare indicator in animals (Held and Špinka, 2011), and is considered an  
3270 “opportunistic behaviour” that vanishes from the ethogram when conditions are

3271 poor, for example if food becomes less available (Loy, 1970; Fraser and Duncan,  
3272 1998; Špinka et al., 2001). Play has been historically defined as any “purposeless  
3273 motor activity” (Bekoff and Byers, 1981; Bekoff, 1984). More recently, Burghardt  
3274 (2005) suggested that play behaviour should be spontaneous, apparently self-  
3275 rewarding, differing from the adult version of the behaviour, repeated in a non-  
3276 stereotypical way, and occurring in the absence of severe stress. Complex play has  
3277 been recorded in several avian species, particularly in corvids and parrots (Diamond  
3278 and Bond, 2003). For domestic fowl, there has been little progress in identifying any  
3279 play behaviours or investigating their potential use as welfare indicators. However, it  
3280 has been tentatively suggested by several authors that sparring, frolicking and food-  
3281 running contain features of play seen in other animals (Kruijt, 1964; Ficken, 1977;  
3282 Mench, 1988; Duncan, 1998; Cloutier et al., 2004).

3283 As discussed in Chapter 1 (1.6), there are several behaviours exhibited by young  
3284 broilers that resemble play. Sparring is an immature version of adult fighting that  
3285 develops in young chicks several weeks before aggressive fighting is seen (Guhl,  
3286 1958; Dawson and Siegel, 1967). Although this behaviour was historically recorded  
3287 as a distinct behaviour from aggression in fowl ethograms (Guhl, 1958; Dawson and  
3288 Siegel, 1967; Rushen, 1982), it has recently been used as a measure of aggression in  
3289 juvenile broilers (e.g. Pettit-Riley et al., 2002). Frolicking is an apparently  
3290 functionless behaviour in young fowl that appears within the first week and is rarely  
3291 seen after week 10 (Guhl, 1958; Dawson and Siegel, 1967). When frolicking, chicks  
3292 will perform a spontaneous burst of running, with wing flapping and rapid direction  
3293 changes (Guhl, 1958 Dawson and Siegel, 1967). An increase in both frolicking and  
3294 sparring was noted when there was a disturbance, for example a loud noise or  
3295 turning on the lights (Guhl, 1958; Dawson and Siegel, 1967). Dawson (1962) noted  
3296 that there was an initial suppression of activity until the perceived danger (loud  
3297 noise) had passed, and then an abrupt increase in frolicking and sparring. This is  
3298 consistent with several species that show an increase in play following some  
3299 environmental disturbance (reviewed in Špinka et al., 2001). Food-running begins to  
3300 appear during the first week, when a chick picks up rod or “worm” shaped object and  
3301 runs with it, making loud and repeated peeping noises (Kruijt, 1964). Although food-  
3302 running may appear to be related to food competition, it occurs even when birds are  
3303 raised in isolation (Spalding, 1873; Brückner, 1933), before any pursuing response

3304 develops (Kruijt, 1964), when birds have ad libitum access to food (Rogers and  
3305 Astiningsih, 1991; Cloutier et al., 2004), and when birds are given any rod-shaped  
3306 non-nutritive material, such as pipe cleaners (Rogers and Astiningsih, 1991; Cloutier  
3307 et al., 2004).

3308 Although the use of perches and straw bales has become common in “higher  
3309 welfare” housing (e.g. RSPCA, 2017b; M&S, 2015), there are limitations to these  
3310 current enrichments. For example, the typical single bar perches provided in  
3311 commercial houses are difficult for broilers to balance on and are infrequently used  
3312 (LeVan et al., 2000; Norring et al., 2016; Bailie et al., 2018). Straw bales appear to  
3313 be attractive to broilers, however they mainly function as protected rest areas (Kells  
3314 et al., 2001; Bergmann et al., 2017; Study 2). Indeed, several materials are more  
3315 successful than straw at directly stimulating active foraging and dustbathing  
3316 behaviours in broilers (Shields et al., 2004). Due to the low numbers of enrichments  
3317 typically provided in commercial housing, it is important that each feature attracts a  
3318 high level of use and is successful in stimulating an active behaviour. Platform  
3319 perches, which consist of a large grid that birds can hop onto, appear to be a more  
3320 attractive to broilers and successful at eliciting perching behaviour in commercial  
3321 conditions (Bailie et al., 2018). Broilers also show a motivation to perform  
3322 dustbathing, with a preference for loose friable substrates (Study 1; Chapter 2). Peat  
3323 moss was particularly successful at eliciting dustbathing in Study 1 (Chapter 2) and  
3324 attracted use throughout the production cycle. Therefore, peat dust baths and  
3325 platform perches were considered to be appropriate enrichments that would attract a  
3326 high level of use and give broilers the opportunity to display a range of highly  
3327 motivated behaviours. In addition to directly stimulating active behaviours and  
3328 satisfying natural motivations, it was hypothesised that enrichments would also have  
3329 a more widespread effect on house activity levels. For example, visual contact with  
3330 broilers dustbathing may influence birds in unenriched areas (Petherick et al., 1995),  
3331 and attractive enrichments may encourage locomotion to and from different areas.

3332 Therefore, the main aims of this study were to investigate play behaviours in broiler  
3333 chickens, and whether sparring, frolicking and food-running would be more  
3334 prevalent in houses enriched with modifications shown to be attractive in previous  
3335 trials. As discussed, there is an increase in frolicking and sparring behaviours

3336 observed when chickens are disturbed (Guhl, 1958; Dawson and Siegel, 1967).  
3337 Sparring was also more frequent when birds had access to more space (Hughes and  
3338 Wood-gush, 1977; Pettit-Riley et al., 2002). These results were supported  
3339 anecdotally during pilot trials, where it was noticed that when an observer walked  
3340 through the house, clearing the space behind them of broilers, the birds would run  
3341 into this space and perform increased frolicking and sparring behaviours. It was  
3342 therefore hypothesised that an experimenter walking through the birds would  
3343 stimulate an increase in measurable play behaviours and that this display of positive  
3344 affective state may be influenced by the presence of environmental enrichment. The  
3345 behaviour of broilers in unenriched and undisturbed areas was also monitored  
3346 throughout the production cycle, in order to identify any effect of these preferred  
3347 enrichments on overall broiler behaviour. The final aim of this experiment was to  
3348 record the level of use of large dustbathing areas placed along the central line of the  
3349 house, and determine whether this would be a practical and effective method of  
3350 creating a dustbathing area in a commercial house.

3351

## 3352 **5.2 Materials and methods**

### 3353 **5.2.1 Subjects and housing**

3354 A total of 405 000 Ross broiler chickens (Aviagen Ltd) were used in this trial in  
3355 Northern Ireland, between March and August 2016. The study was conducted over  
3356 three replicate 6 week production cycles on two commercial farms. Three houses on  
3357 both farms were used, with all houses matched for structural design and size.  
3358 Approximately 22 500 birds were placed “as hatched” in each house at the start of  
3359 each cycle, giving an approximate 50:50 mix of males and females. Chicks of the  
3360 same strain were placed in all six houses, and the date of chick placement was  
3361 matched for the three houses on each farm. The houses were standard 19 m x 74 m  
3362 metal framed sheds, with an average usable floor space of ~1 361 m<sup>2</sup>. Stocking  
3363 densities did not exceed 30 kg/m<sup>2</sup>. Their initial bedding material differed, with  
3364 houses on Farm 1 bedded on straw pellets and houses on Farm 2 bedded on  
3365 woodshavings at the start of the cycle. Additional woodshavings were distributed

3366 across the litter to maintain its condition where necessary on both farms. Natural  
3367 light was provided through 24 windows with automated shutters along each side of  
3368 the house. Artificial strip lighting was also provided throughout the cycle, following  
3369 EU regulations as described in Chapter 2 (2.2.1).

## 3370 5.2.2 Treatments and experimental design

3371 One house on each farm was allocated to each of three treatments: 1) platform  
3372 perches (PP), 2) platform perches and dustbathing areas (PP+DB), and 3) control  
3373 with no enrichment (C). Treatments were allocated to different houses in each of the  
3374 three replicate production cycles on each farm, such that each treatment was applied  
3375 to each house over the course of the experiment (Table 12). All enrichments were  
3376 provided from day 7 of the rearing cycle. The PP treatment contained six ‘platform’  
3377 perches, three placed evenly along each long side of the house (Photo 4). These  
3378 designs have been found to be preferred by broilers in a previous study (Bailie et al.,  
3379 2018). The platform component of the perches was a plastic grid measuring 2.3 x 0.9  
3380 m. Platforms were suspended in a cradle at a height of 20 cm above the litter. The  
3381 PP+DB treatment contained six platform perches in matching locations to the PP  
3382 treatment and four dustbathing areas placed along the central line of the house  
3383 (Photo 4), in order to maximise the number of birds likely to use the dust bath  
3384 (Chapter 3). The dustbathing areas were contained within steel rectangles measuring  
3385 1 x 2.3 m, giving them a total available dustbathing area of 9.2 m<sup>2</sup> per house. The  
3386 steel rectangle was 7.62 cm high and birds were capable of climbing into the areas  
3387 within the first week but were not able to perch on the edges. Each dustbathing area  
3388 was filled with 160 litres of moss-peat (two standard 80 litre bags; Better Growing  
3389 Ltd, UK), which gave a depth of approximately 5 cm. Dustbathing areas were  
3390 refilled by researchers twice a week throughout the study. Farmers also examined the  
3391 dust baths daily and added additional peat once areas of the floor were visible and/or  
3392 the peat was not considered friable enough for the birds to use.

3393

**Table 12.** Rotation of treatments presented to broiler chickens over three production cycles, on two farms. Birds were housed with either no enrichment (Control; C), platform perches (PP), or platform perches and dust baths (PP+DB).

Cycle	Farm 1			Farm 2		
	House 1	House 2	House 3	House 1	House 2	House 3
1	C	PP	PP+DB	PP	PP+DB	C
2	PP	PP+DB	C	PP+DB	C	PP
3	PP+DB	C	PP	C	PP	PP+DB



**Photo 4.** Broiler chickens housed in the platform perches and dust baths treatment (PP+DB).

Platform perches (right) were placed along each long side of the house, in matching locations to the perches in the perches only treatment (PP). The dustbathing areas (left) were placed along the central line of the house in the PP+DB treatment.

### 3402 5.2.3 Data collection

3403 Two researchers visited both farms twice a week during weeks 3, 4 and 5 of each  
3404 cycle. All filming was performed using Camileo X-Sports cameras, mounted on 1.5  
3405 metre high wooden tripods. Filming of general activity and dustbathing areas took  
3406 place between 09:00 – 13:00 h, filming of play behaviour took place between 13:00  
3407 and 15:00 h. All analysis of video footage was performed by the same observer.

#### 3408 5.2.3.1 *Play behaviour*

3409 On one day per week, play behaviours were recorded in four locations in each house  
3410 following a walk-through by an observer. Aggressive interactions were also recorded  
3411 in these observations to monitor the frequency of aggression among broilers  
3412 following a disturbance, and whether the prevalence of aggressive interactions  
3413 changed over time. For the purposes of selecting random filming locations, the house  
3414 was virtually split into 72 sections, using windows and feeder/drinker lines as natural  
3415 markers, and categorised as either “central” or “edge”. The sections chosen to be  
3416 filmed each week were randomised using a number table, with the proviso that there  
3417 were an equal number of edge and central locations. When cameras were positioned  
3418 they were tilted towards the house floor in between a feeder and drinker line within  
3419 the chosen section, ensuring a view of at least 2 metres in front of the camera (see  
3420 Photo 5 for an example camera view). It was impossible to observe an even number  
3421 of areas around the house that were identically sized, due to the variation in distance  
3422 between feeder and drinker lines. The largest width between a feeder and drinker  
3423 was 230 cm in central areas and the smallest was 130 cm in edge areas. However,  
3424 these areas reflected the open space available in a commercial house and a balanced  
3425 number of edge and central areas were chosen to account for this variation.



3426



3427

3428     **Photo 5.** An example camera view after broilers had been displaced by an observer walk-  
3429 through. The observation area was between the neighbouring feeder and drinker lines, and a  
3430 distance of 2 m from the camera, which was measured on screen using the distance between  
3431 three feeder bulbs (2 m).

3432 Once the observer had positioned the camera, they left the house and broilers were  
3433 allowed to settle for 15 minutes. The observer then re-entered the house and walked  
3434 directly in front of and away from the camera before turning back and returning to  
3435 the camera. This displaced the birds and cleared a space a minimum of 5 metres in  
3436 length in front of the camera. The observer then left the house and each area was  
3437 filmed for a further 15 minutes before cameras were placed in the next location. The  
3438 three houses on each farm were filmed at roughly the same time; allowing for  
3439 walking distance between the houses there was approximately 5 minutes' difference  
3440 between the start of filming in each of the three houses. Footage was then analysed  
3441 using all-occurrence sampling during the 5 minutes after the observer walk-through.  
3442 The observation area consisted of the space between the feeder/drinker lines and a  
3443 distance of 2 m from the tripod, which was identified on the screen as the distance  
3444 between 3 feeder bulbs (Photo 5). With the difference in width between the feeder  
3445 and drinker lines, depending on the location, this gave an observation area of 2.6 –



3446 4.6 m<sup>2</sup>, which was considered during statistical testing. Any occurrences of sparring,  
3447 frolicking, food-running or aggression were then scored in the five minutes  
3448 following the birds being disturbed (Table 13). The time after the start of the test was  
3449 noted for each behaviour and behaviours were grouped by minute (e.g. behaviours  
3450 performed in minute 1, minute 2) in order to determine whether birds were more  
3451 likely to perform play behaviours immediately after being disturbed. Data for the  
3452 four locations were averaged to give one score per house, per week, prior to analysis.

### 3453 5.2.3.2 *Fearfulness*

3454 Fear responses were tested in week 5 of each cycle on one farm only (72 broilers in  
3455 total), by the same observer, using an avoidance distance test based on Graml et al.  
3456 (2008). In the PP treatment, one bird from four randomly chosen perches and one  
3457 bird from four random unenriched areas of the house was assessed (a total of eight  
3458 birds tested). In the PP+DB treatment, one bird from each of four randomly chosen  
3459 perches, one bird from each of the four dustbathing areas, and one bird from each of  
3460 four random unenriched areas was assessed (a total of 12 birds). In the C treatment,  
3461 one bird from each of four randomly chosen areas of the house was assessed (a total  
3462 of 4 birds). All unenriched areas were balanced for central and edge locations, with  
3463 random number tables used to choose the locations. When selecting birds for  
3464 assessment, those on perches or in dust baths had to be more than 20 cm away from  
3465 the edge of the enrichment, and birds in unenriched areas had to be at least 20 cm  
3466 away from feeders and drinkers. The avoidance test described by Graml et al. (2008)  
3467 was validated using laying hens and involves approaching hens from a distance of  
3468 1.5 m. The distance between the observer and the bird when they raised their second  
3469 foot is then measured. For the present trial, there was some concern that a proximity  
3470 of 1.5 m would be inappropriate for broilers. The birds left within that close a  
3471 proximity to the observer in a commercial house may have been limited by their leg  
3472 health and ability to avoid the observer (Vasdal et al., 2018). In pilot trials, it was  
3473 deemed that a distance of 5 m from a group of broilers could be achieved before  
3474 there was significant effort from birds in that area to avoid the experimenter.  
3475 Therefore, during testing the observer approached the chosen location and a bird was  
3476 randomly selected from a distance of approximately 5 m for assessment, using a  
3477 numbered Perspex grid and random number tables as in Bailie et al. (2013). The

3478 observer slowly approached the chosen bird from a distance of 5 metres, with one  
3479 hand held in front of the body and the other one loose at the side. At the point when  
3480 the selected bird withdrew, a line in the litter was made at the toe of the observer's  
3481 boot, and the approximate distance between the experimenter and where the bird had  
3482 moved from was recorded in centimetres using a measuring tape. 'Withdrawal' was  
3483 defined as when the bird lifted its second foot. If the bird failed to withdraw and  
3484 could be touched an avoidance distance of 0 cm was recorded.

#### 3485 5.2.3.3 *General activity*

3486 On one day per week, footage of unenriched areas of each treatment was recorded to  
3487 observe bird behaviour away from enrichments. Two locations away from  
3488 enrichments, one central and one edge location, were chosen randomly and filmed for  
3489 half an hour in each house; giving a total of one hour of footage per house. Birds within  
3490 a 2 m<sup>2</sup> space in the centre of the footage, measured using an overlay on the screen,  
3491 were included in observations. Scan sampling was used to record bird behaviour  
3492 within this section. Three scans were performed for each video, at 10 minute intervals  
3493 following a 5 minute settling period (at 5, 15, and 25 minutes). Broilers were  
3494 categorised as dustbathing, foraging, sitting inactive, sitting pecking, locomotion  
3495 (standing or walking), preening, resting or other (Table 13). Each behaviour was  
3496 expressed as a percentage of the total birds in that scan observation, and scan samples  
3497 in each location (n total = 377) were averaged for week. Bird density in each scan  
3498 sample was calculated to account for any variation in results caused by different  
3499 numbers of birds being counted in each scan sample. For each instantaneous scan, the  
3500 number of broilers in the observation area was counted and bird density was calculated  
3501 as the total birds per m<sup>2</sup> and averaged per week.

#### 3502 5.2.3.4 *Use of dust baths*

3503 On one day per week, two randomly chosen dustbathing areas were filmed for half  
3504 an hour each, giving a total of one hour of footage per house. Videos were analysed  
3505 using scan sampling. Six scans were performed per video, every 3 minutes after a 5  
3506 minute settling period. The number of birds in the dustbathing area and the number  
3507 of birds dustbathing was recorded. Dustbathing was defined as birds performing

3508 vertical wing shaking or clearly covered in peat and performing side-rubs or prone  
3509 leg-scratches (van Liere et al., 1991; Table 13). The number of birds dustbathing was  
3510 then expressed as a percentage of the total number of birds in the dustbathing area.

#### 3511 5.2.4 Statistics

3512 All analyses were performed using IBM SPSS (Version 23).

##### 3513 5.2.4.1 *Play behaviour*

3514 Overall there were only 9 occurrences of aggression; 4 of these recorded in the C  
3515 treatment, 3 in the PP+DB treatment and 2 in the PP treatment. No analysis was  
3516 therefore performed on occurrence of aggressive behaviours. Total play behaviours  
3517 included occurrences of frolicking, sparring and food-running. There was no  
3518 significant correlation between observation area size and the total play observed  
3519 ( $r(53) = 0.13$ ,  $P = 0.36$ ). The residuals for total play behaviours were normally  
3520 distributed. Cycle did not have a significant effect on total play ( $P > 0.05$ ) and was  
3521 removed from the model. The main and interaction effects of enrichment and age on  
3522 the total play behaviours recorded was analysed using general linear mixed models  
3523 (GLMM) with “treatment” and “week” as fixed factors and “farm” as a random  
3524 factor. Separate analyses of frolicking and sparring behaviours were also performed  
3525 using the same model. There were too few incidences of food-running to be included  
3526 as a separate outcome variable (14 overall; 13 in the PP treatment and 1 in the  
3527 PP+DB treatment). For the effect of time after the start of the test on total play, the  
3528 four locations in each house for all treatments were averaged to give the total  
3529 incidences of play performed per minute. A repeated measures ANOVA with  
3530 pairwise comparisons was then used to analyse the effect of time on the total play  
3531 performed after birds were disturbed. This analysis was also performed within-weeks  
3532 to assess the effect of week on the pattern of play after birds were disturbed. Where a  
3533 Greenhouse-Geisser correction was applied, adjusted degrees of freedom are  
3534 reported.

3535

**Table 13.** Ethogram used to record broiler chicken behaviour (adapted from Guhl, 1958; Kruijt, 1964; Mench, 1988; Girard et al., 2017).

Sparring	A bird simulates fighting behaviour with no obvious aggression or injurious contact. The following behaviours may begin a bout and occur during a bout: jumps with light kicking that make little or no contact with the receiver; stand-offs (threats) in which birds will face up to one another briefly, stepping close to one another and raising their necks to stand practically beak-to-beak (with or without a difference in head height); raising feathers around the neck, usually during a stand-off; stand-off with wing-flapping; stand-off with light pecks at the neck, head or beak of the receiving bird. These differ from aggressive actions in that they are not forceful, prolonged and they do not elicit strong avoidance from the receiver. It would be difficult to estimate a pecking order based on these behaviours. The bird that these behaviours are directed at may or may not respond, in some cases birds attempt a stand-off with a seated bird and are ignored. Birds usually end the short behaviour by sitting down or engaging in another activity.
Food-running	A bird follows and chases (runs at least two paces after another bird to begin the bout) a focal bird that has picked up or obtained a large object that projects from their beak. The focal bird has run from conspecifics but may make rapid and counter-intuitive direction changes towards conspecifics. There are conspicuous peeping noises that typically accompany this behaviour. The bout ends when the bird loses interest and begins another behaviour e.g. sits down or begins feeding.
Frolicking	Spontaneous and rapid running and/or jumping and wing-flapping with no obvious intention, often with rapid direction changes. Running without wing-flapping is not classified as frolicking. A frolicking bout ends when the bird sits down or resumes another activity. Birds displaying frolicking directly leading to sparring are categorised as sparring, to avoid misinterpretation of their movements. Only broilers finishing a frolicking bout within the frame were counted.
Aggression	Aggressive and vigorous pecking and/or kicking where the aggressor makes contact with another bird in a rapid and forceful manner. Aggressive pecking is usually directed at the head of the receiving bird. The receiving bird will take action to immediately avoid the

	aggressor or will respond with aggressive pecking and/or kicking. There is usually a clear ‘winner’ and ‘loser’, such that a pecking order could be interpreted. A bout begins when a bird makes forceful contact with another bird, and ends when the bird resumes another activity.
Dustbathing	Broilers were lying and performing head rubbing, vertical wing-shakes, leg scratching, and/or raking the substrate closer to them with their beak. Broilers clearly covered in peat and lying without clearly performing other behaviours were categorised as dustbathing because the end of a dustbathing bout is typically signified by a body-shake which removes excess ‘dust’. Broilers preening while covered in peat were classified as dustbathing. Broilers not covered in peat and performing preening without any additional dustbathing behaviours were classified as preening.
Foraging	Scratching and pecking at the ground (from a standing or walking position)
Sitting inactive	Sitting down without performing ground pecking or any other behaviours. The broilers eyes are open and the head is not tucked under a wing.
Sitting pecking	Ground pecking from a seated position
Locomotion	Walking (taking more than one pace in any direction) or standing with no other activity.
Preening	The bird runs their beak through their feathers in a seated or standing position
Resting	The bird sits with its eyes closed, or with its head beneath one wing/ resting on the ground, or the bird lies on one side with or without its eyes closed.

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Other

Any other behaviour, including eating and drinking.

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#### 3536 5.2.4.2 *Fearfulness*

3537 Overall flight distance residuals were normally distributed, however equal variance  
3538 could not be assumed. Due to the small sample sizes, non-parametric tests were used  
3539 to compare differences between treatments and locations. Kruskal-Wallis tests were  
3540 applied to test differences between fear responses from broilers in unenriched areas  
3541 in the three treatments (PP, PP+DB, C; n total = 36) and between the three locations  
3542 in the PP+DB treatment (floor, dust bath, perch; n total = 36). Comparisons between  
3543 broiler fear responses on perches in the PP and PP+DB treatments (n total = 24), and  
3544 between the perches and the floor in the PP treatment (n total = 24) were made using  
3545 Mann Whitney U tests.

#### 3546 5.2.4.3 *General Activity*

3547 As the effect that treatment had on each behaviour in unenriched areas of the house  
3548 was of interest, behaviours were modelled separately, with square root  
3549 transformations applied where necessary to improve normality. Dustbathing and  
3550 Other were infrequently recorded during scan sampling and were excluded from  
3551 analysis. No behaviours (%) were significantly affected by the variables “cycle”,  
3552 “density” and “farm”,  $P > 0.05$ . Analysis of each behaviour was therefore performed  
3553 using a GLM assessing the main and interaction effects of “treatment” and “age”.  
3554 Where there was a significant effect, Tukey post hoc tests were used to investigate  
3555 differences.

#### 3556 5.2.4.4 *Use of dust baths*

3557 In order to investigate whether dust baths continued to attract birds throughout the  
3558 cycle, the occupancy levels and % of birds dustbathing were analysed by week.  
3559 Residuals for dust bath occupancy were normally distributed and showed  
3560 homogeneity of variance, the main effects of week were therefore analysed using a  
3561 one-way ANOVA with “week” as a treatment factor. The percentage of birds  
3562 dustbathing showed non-normal distributions and heterogeneity of variance, neither  
3563 were improved by transformation and a non-parametric Kruskal-Wallis test was  
3564 applied to analyse the percentage dustbathing by “week”.

## 3565    **5.3    Results**

### 3566    5.3.1   Play behaviour

3567    Play was observed in 93% of the videos ( $n = 217$ ). A total of 2 701 episodes of play  
3568    were observed across both farms: 1 267 bouts of frolicking, 1 420 birds sparring, and  
3569    14 birds engaging in food-running. The highest levels of play behaviour were seen  
3570    immediately after the broilers had been disturbed by the walk-through ( $F_{1.9,102.2} =$   
3571     $20.97$ ,  $P < 0.001$ ), with the most play observed in the first minute and then gradually  
3572    declining (minute 1 =  $4.19 \pm 3.10$ , minute 2 =  $2.96 \pm 2.25$ , minute 3 =  $2.28 \pm 2.78$ ,  
3573    minute 4 =  $1.86 \pm 1.52$ , minute 5 =  $1.29 \pm 1.26$ ). Significantly more play was  
3574    performed in minute 1 compared to minutes 3, 4 and 5 ( $P < 0.05$ ), and in minute 2  
3575    compared to minutes 4 and 5, and in minute 3 compared to minute 5. There were no  
3576    significant differences between play performed in minutes 1 and 2, 2 and 3, or 3 and  
3577    4 ( $P > 0.05$ ).

3578    There was a significant effect of age on the total play behaviours performed ( $F_{2,2} =$   
3579     $41.38$ ,  $P = 0.025$ ), with the lowest average incidence of play behaviour (per 5 minute  
3580    test period) recorded in week 3 (week 3 =  $10.61 \pm 5.39$ , week 4 =  $13.96 \pm 7.31$ , week  
3581    5 =  $13.15 \pm 6.91$ ). Age also had an effect on the level of play seen directly after the  
3582    walk-through (week 3,  $F_{4,68} = 4.54$ ,  $P = 0.003$ ; week 4,  $F_{2,39,40,68} = 16.71$ ,  $P < 0.001$ ;  
3583    week 5,  $F_{1,74,29,60} = 36.19$ ,  $P < 0.001$ ), with the pattern of reducing play over time  
3584    only present from week 4 (Figure 6). In week 3, significantly less play was  
3585    performed in minute 1 compared to minute 2.

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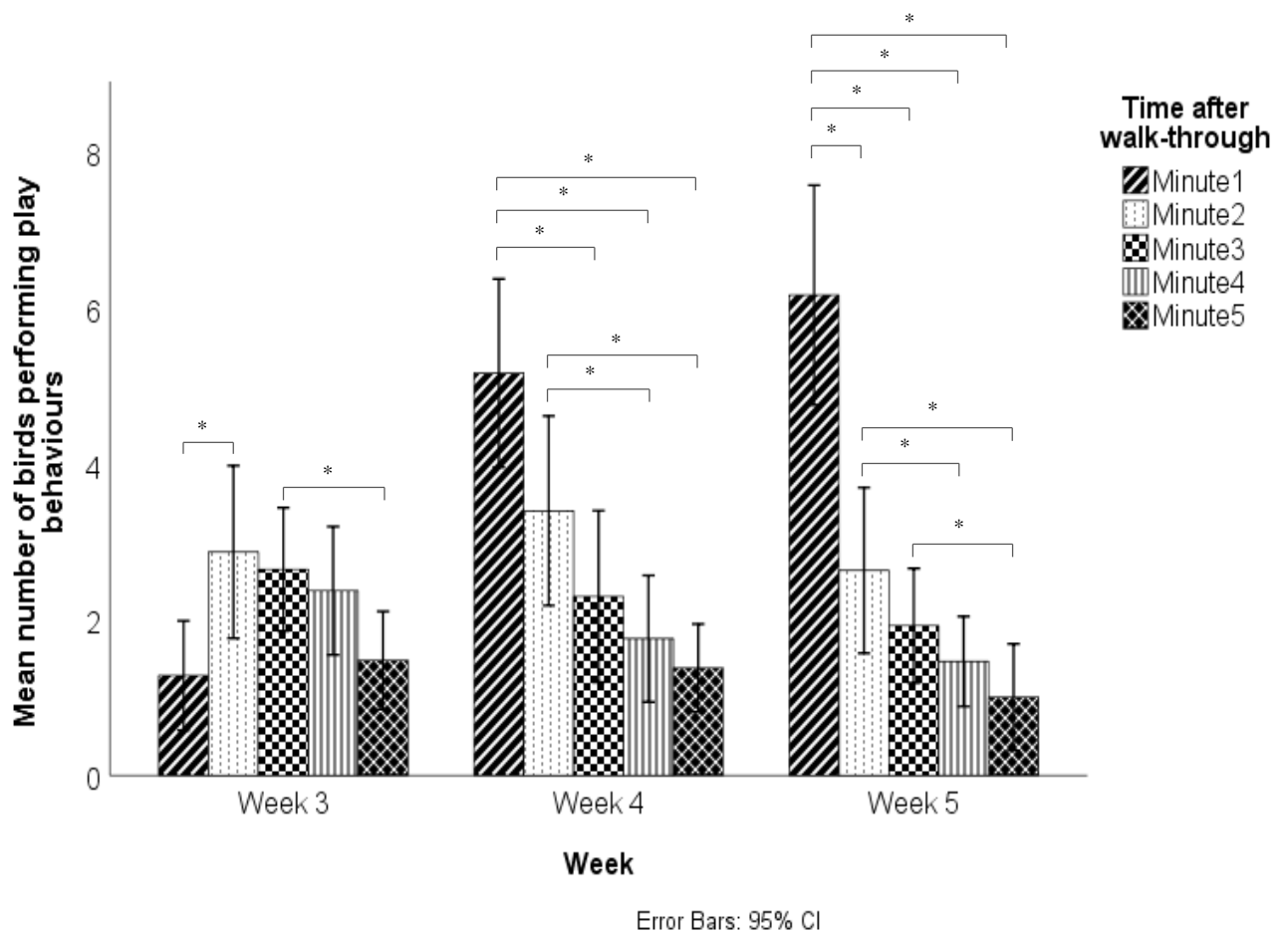
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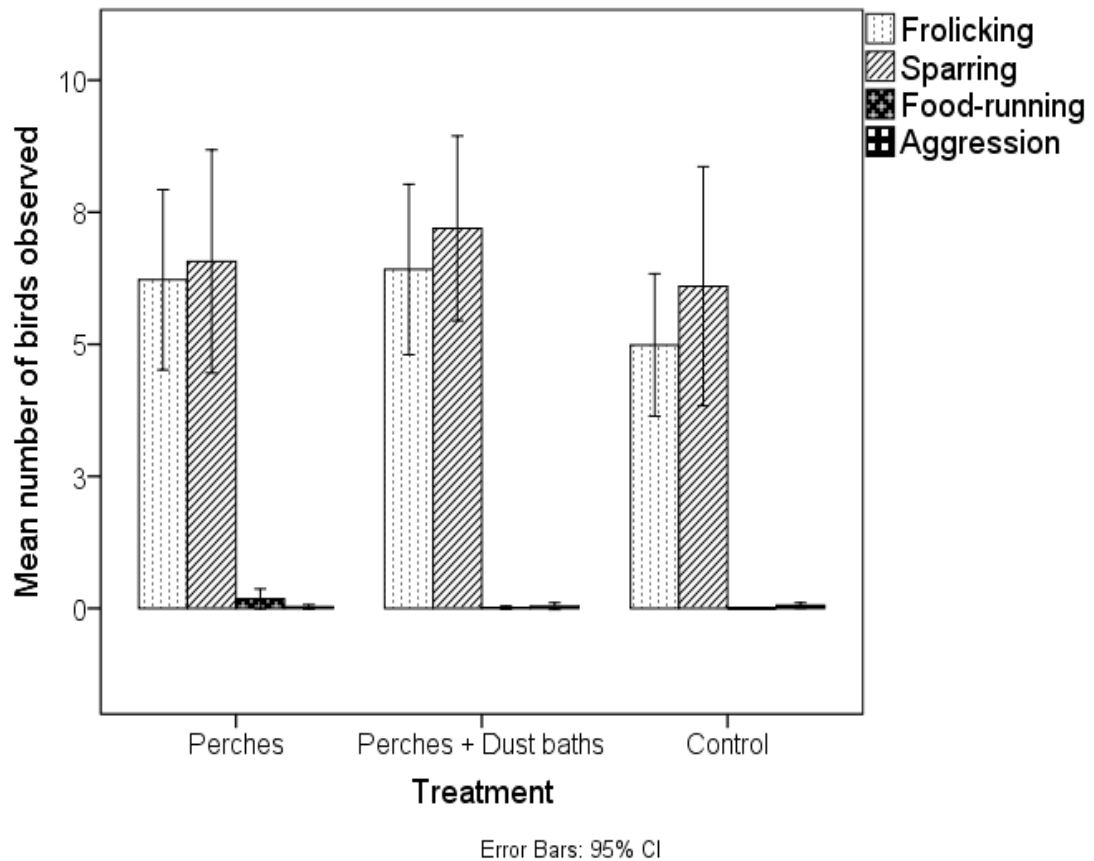
3593





**Figure 6.** The amount of play recorded in the 5 minutes after broiler chickens were disturbed by a walk-through, in weeks 3,4 and 5 of the production cycle. \*denote significance between minutes within weeks.

The presence of enrichments did not significantly affect the average amount of play performed ( $P > 0.05$ ; PP = 12.97, PP+DB = 13.63, C = 11.13) and no type by week interaction was found ( $P > 0.05$ ). When analysed separately, levels of frolicking and sparring were not significantly lower in the control treatment compared to either enriched treatments (Figure 7), and there were no significant interactions between treatment and age ( $P > 0.05$ ). There were also no significant age effects on the average incidence of frolicking per 5 minute test period (week 3 =  $5.20 \pm 5.83$ , week 4 =  $5.96 \pm 5.43$ , week 5 =  $5.72 \pm 4.51$ ) or sparring (week 3 =  $4.44 \pm 4.40$ , week 4 =  $6.24 \pm 6.21$ , week 5 =  $6.50 \pm 6.05$ ). All play and aggression (sparring, frolicking, food-running and aggression) measured in different treatments are shown in Figure 7.



3609

3610

3611 **Figure 7.** Occurrences of play behaviours and aggressive interactions in broiler chickens  
 3612 recorded in the five minutes after they were disturbed by a walk-through

3613

3614

### 3615 5.3.2 Fearfulness

3616 Treatment significantly affected the flight distance of birds in unenriched areas of the  
 3617 house ( $H(2) = 7.27$ ,  $P = 0.026$ ), with pairwise comparisons showing birds had a  
 3618 shorter flight distance, and could be considered less fearful, in the PP+DB compared  
 3619 to the C treatment ( $P = 0.033$ ; mean ranks: PP+DB = 14.17, PP = 16.25, C = 25.08;  
 3620 median values presented in Table 14). However, there were no significant effects of  
 3621 location, i.e. whether birds were on the floor or on a perch/in a dust bath, on flight  
 3622 distance in either the PP or PP+DB treatment ( $P > 0.05$ ; Table 14). There was also

no effect of treatment on flight distance of birds on perches in the PP compared to the PP+DB treatment ( $P > 0.05$ ; Table 14).

3625

**Table 14.** Median withdrawal distance (cm) of broiler chickens from an approaching observer, in houses containing either no enrichment (control; C), perches (P) or perches and dust baths (P+DB). Withdrawal distances were measured in birds in unenriched areas of all treatments, on perches in the P and P+DB treatments, and additionally in dust baths in the P+DB treatment.

Location	Treatment		
	Control (95 % CI)	Perches (95 % CI)	Perches + dust baths (95 % CI)
In unenriched areas	365 (310, 410)	260 (195, 433)	228 (145, 340)
On perches		285 (196, 390)	215 (165, 385)
In dust baths			108 (89, 120)
95% confidence intervals (95% CI)			

3626

### 3627 5.3.3 General Activity

3628 A total of 9679 broilers were observed in unenriched areas and categorised according  
 3629 to Table 13. There were no effects of treatment on any behaviours, however age had  
 3630 a significant effect on the percentage of birds foraging, in locomotion and sitting  
 3631 inactive (Table 15). No incidences of play were recorded during the scan samples.  
 3632 Post hoc tests revealed significantly more birds were foraging and in locomotion  
 3633 (standing or walking) in week 3 compared to week 4 and 5 ( $P < 0.05$ ). Conversely,  
 3634 significantly fewer birds were sitting inactive in week 3 compared to weeks 4 and 5  
 3635 ( $P < 0.05$ ).

#### 3636 5.3.4 Use of dust baths

3637 Overall, a total of 16 624 broilers were observed in the dust bath, with an average of  
3638 58 ( $\pm 17$ ) birds using each dust bath and 73% ( $\pm 26\%$ ) of them dustbathing. Week had  
3639 no significant effect on dust bath occupancy ( $F_{6,18} = 0.87$ ,  $P = 0.44$ ). The mean  
3640 number of birds counted in the dust bath during the cycle was as follows: week 3 =  
3641  $50.63 \pm 15.36$ , week 4 =  $63.65 \pm 19.14$ , week 5 =  $58.90 \pm 17.21$ . There was a  
3642 significant effect of week on the percentage of birds dustbathing ( $H(2) = 7.45$ ,  $P =$   
3643  $0.024$ ); ranked means, week 3 = 4.67, week 4 = 12.33, week 5 = 11.50. Pairwise  
3644 comparisons showed an increase in dustbathing between weeks 3 and 4 ( $P = 0.039$ ),  
3645 but no difference in % dustbathing between weeks 3 and 5 or 4 and 5.

3646

**Table 15.** The effects of enrichment treatment and age on the percentage of broiler chickens performing different behaviours in unenriched areas of the house. Post hoc tests were performed where age effects were significant and are outlined in the results section.

Mean birds (%)	N	Perches (CI)	Perches + Dust baths (CI)	Control (CI)	Treatment		Age	
					F	P-value	F	P-value
Foraging <sup>1</sup>	18	0.89 (0.37, 1.63)	1.50 (0.78, 2.42)	00.66 (0.23, 1.32)	1.155	0.223	14.34	<0.001***
Locomotion <sup>1</sup>	18	7.63 (5.70, 9.85)	7.66 (5.72, 9.88)	10.31 (8.04, 12.87)	1.910	0.160	11.44	<0.001***
Sit Pecking	18	9.03 (7.08, 10.99)	7.65 (5.70, 9.61)	9.12 (7.16, 11.07)	0.717	0.494	1.643	0.205
Sitting Inactive	18	58.70 (54.71, 62.71)	60.73 (56.73, 64.72)	56.82 (52.82, 60.81)	0.970	0.387	10.62	<0.001***
Preening	18	6.65 (4.99, 8.31)	8.43 (6.77, 10.09)	8.12 (6.46, 9.78)	1.332	0.274	0.521	0.721
Resting	18	14.94 (12.09, 17.79)	11.06 (8.21, 13.90)	11.67 (8.83, 14.52)	2.180	0.125	0.003	0.997

<sup>1</sup>Data were transformed prior to analysis, means and confidence intervals (CI) have been backtransformed to their original scale

\*\*\*  $P < 0.001$

## 3647    **5.4    Discussion**

3648    The main aims of this paper were to explore the effect of increasing environmental  
3649    complexity on broiler emotional state, measured through levels of play and  
3650    avoidance behaviours, and whether these enrichments would additionally have an  
3651    impact on activity levels away from enrichments. Our results suggest that disturbing  
3652    and displacing the broilers was effective in stimulating certain play behaviours,  
3653    however the presence of environmental enrichments did not influence the level of  
3654    play observed. Levels of sitting inactive in unenriched areas of the house were also  
3655    not affected by the presence of platform perches and dust baths, however birds  
3656    showed reduced avoidance behaviour when housed with both types of enrichment  
3657    compared to the barren control. Active behaviours decreased with age in this trial,  
3658    which is consistent with previous reports of broiler behaviour.

3659    The novel method of disturbing broilers described in this trial appeared to be  
3660    successful in stimulating sparring and frolicking, with play being performed in 93%  
3661    of the videos ( $n = 217$ ). This is consistent with previous studies that report an  
3662    increase in play following some disturbance to the animals environment (reviewed in  
3663    Špinka et al. 2001). Specifically for poultry, birds also appear to need a large amount  
3664    of space to perform sparring behaviours (Hughes and Wood-Gush, 1977; Pettit-Riley  
3665    et al., 2002). Higher levels of frolicking and sparring were observed immediately  
3666    after the observer walk-through in the present study, with frequency of these  
3667    behaviours gradually reducing over time. No play behaviours were observed at all  
3668    during scan samples of unenriched and undisturbed areas of the house. Although  
3669    frolicking and sparring may be infrequent in undisturbed areas, it is also likely that  
3670    scan sampling is an inadequate method of observing these short behaviours. Food-  
3671    running was only observed on 5 occasions throughout this study, involving 14 birds  
3672    in total. No specific artificial stimulus was offered in this study to elicit food-  
3673    running, which has been easily stimulated by previous authors using mealworms and  
3674    pipe cleaners (Rogers and Astiningsih, 1991; Cloutier et al., 2004). The observer  
3675    walk-through therefore appears to be a useful method of observing frolicking and  
3676    sparring only, with additional stimulus needed to provoke food-running.

3677 There was a slightly different effect of age on frolicking and sparring behaviours  
3678 observed in this study than previously reported. Dawson and Siegel (1967) found  
3679 that laying hens develop frolicking in week 1 and show an increase in the behaviour  
3680 until about 4 weeks of age when it declines and is surpassed by sparring behaviours,  
3681 which peak at around 5 weeks of age and then decline (Guhl, 1958; Dawson and  
3682 Siegel, 1967). The least of both sparring and frolicking was observed in week 3 in  
3683 this study, with similar levels of both behaviours in week 4 and 5. It is possible that a  
3684 different level of sparring and frolicking is seen when birds are given an artificial  
3685 opportunity to display these behaviours, rather than the normal level of these  
3686 behaviours in unstimulated areas. However, this finding may reflect the reduced  
3687 effectiveness of the walk-through method when available space in the house is  
3688 greater, rather than describing the overall effect of age on play behaviour. In week 3,  
3689 birds did not immediately use the space created after the walk-through for play  
3690 behaviours. This may be because broilers had more space overall in the house. As  
3691 birds grew and space became more restricted, the effect of the walk-through became  
3692 more pronounced and by week 5, there was an immediate increase in play  
3693 behaviours in the space created which then declined as broilers settled. It is also  
3694 possible that young broilers were more fearful, which led to a longer initial period of  
3695 behavioural suppression before frolicking and sparring occurred. Fearfulness was not  
3696 measured throughout the cycle in this study, however previous similar research has  
3697 found that birds were less fearful as they aged (Bailie and O'Connell, 2015).

3698 There was no statistically significant effect of providing enrichments on the total  
3699 amount of play being performed, or on the level of each individual type of play,  
3700 although more play was observed in the enriched treatments compared to the control.  
3701 There was also no effect of treatment on broiler activity levels in unenriched areas.  
3702 Measures of leg health were also taken during this study and have been published  
3703 elsewhere (Bailie et al., 2018); these measures were similarly unaffected by  
3704 treatment. This indicates that any differences in play behaviour were unlikely to be  
3705 related to physical ability in this study. There has been very little research conducted  
3706 on the frequency of play behaviours in chickens in different conditions, however  
3707 these results contradict a previous finding reported by Keeling and Zimmerman  
3708 (2009). In their trial, small groups of broilers (8 per pen) were housed in either  
3709 enriched pens (woodshavings bedding, perches and scattered whole-wheat), normal

3710 pens (woodshavings bedding only) or barren control pens (no woodshavings or  
3711 enrichment). Birds were then given “toys” (plastic toothpicks, a ball, a cardboard  
3712 box) to try to stimulate play. Contrary to their expectations, they found that birds  
3713 spent less time playing in enriched conditions compared to the normal and barren  
3714 treatments. This may be because play is an inaccurate measure of positive emotions,  
3715 however the toys offered may also have had little biological relevance and therefore  
3716 were not suitable for stimulating sparring and frolicking. It may also be that perch  
3717 provision had reduced the space available for birds to perform play. Hughes and  
3718 Wood-Gush (1977) found that laying hens need a considerable amount of space to  
3719 display sparring behaviours, and several recent studies have found a reduction in  
3720 sparring when broilers were housed with perches (Pettit-riley et al., 2002; Ventura et  
3721 al., 2012). When comparing various perch types, Ventura et al. (2012) found the  
3722 most sparring was performed when broilers were housed with either no perches or  
3723 perches that took up the least floor space. Our observations of sparring and frolicking  
3724 are supportive of previous authors that suggest these behaviours resemble play.  
3725 Frolicking appeared to be spontaneous and purposeless, and there was usually a clear  
3726 distinction between sparring and aggressive interactions. Recent studies have  
3727 hypothesised that a reduction in sparring in juvenile broilers leads to an improvement  
3728 in welfare (Pettit-riley et al., 2002; Ventura et al., 2012). Further research  
3729 investigating the motivation and frequency of these behaviours will be essential in  
3730 determining how they may be employed as indicators of animal welfare.

3731 Fear is an adaptive response, however it is associated with negative welfare and can  
3732 cause poor performance, injury and death in commercial conditions (Duncan and  
3733 Filshie, 1980; Jones, 1996). In the present trial, birds housed in the barren control  
3734 treatment had significantly longer flight distances compared to those housed with  
3735 perches and dust baths, and numerically longer flight distances to those housed with  
3736 perches only, which suggested birds in the most complex environment were less  
3737 fearful. This is consistent with previous studies that have reported reduced fear levels  
3738 in enriched environments, probably as a result of young birds being exposed to  
3739 varied and novel stimuli that do not all require a fear response (Jones and  
3740 Waddington, 1992). There is also some evidence that dustbathing behaviour is linked  
3741 to fearfulness (Gerken et al., 1988; Vestergaard et al., 1993). However, no difference  
3742 in fear response was found when birds were using an enrichment (in the dust bath or



3743 on a perch) compared to those on the floor. The anti-predator hypothesis suggests  
3744 that birds on elevated perches are more protected from ground predators and will be  
3745 less vigilant (Newberry et al., 2001), which implies that birds on perches would be  
3746 slower to show a fear response than those on the ground. It may be that the perches  
3747 were too low to the ground to make a difference to behavioural responses, however  
3748 birds do not appear show a difference in vigilance behaviour depending on the height  
3749 of a perch (Brendler et al., 2014). Broilers using the dust baths were at floor level  
3750 and so a difference in fear levels as a function of vigilance was less expected.

3751 Consistent with previous studies, a high number of broilers were attracted to the peat  
3752 dust baths and a high percentage of them were using the peat for dustbathing  
3753 (Petherick and Duncan, 1989; de Jong et al., 2005; Study 1). As expected, the  
3754 amount of foraging and locomotion decreased as birds aged in unenriched areas of  
3755 the house (Weeks et al., 2000; Bessei, 2006). However, contrary to our prediction  
3756 there was no effect of treatment on these behaviours, suggesting that although  
3757 enrichments were attractive, they did not influence overall activity levels. Kells et al  
3758 (2001) found that a high provision of straw bales increased activity in unenriched  
3759 areas of the house. More recent research that used a density of bales that more  
3760 closely resembled commercial practices did not find a similar increase in active  
3761 behaviour (Bailie et al., 2013). It may be that enrichment density had a similar  
3762 impact on this trial, and that a higher number of dust baths and perches would result  
3763 in a more widespread effect on house behaviour. There is generally a limit to the  
3764 number of enrichments that can practically be provided on commercial farms,  
3765 however more information on the optimal level of enrichments would be valuable.  
3766 Peat was used in this trial due to its attractiveness as a dustbathing substrate  
3767 (Petherick and Duncan, 1989; de Jong et al., 2005; Study 1), however it is expensive  
3768 and not an environmentally sustainable option for a commercial enrichment. In this  
3769 thesis, ground oat hulls have been suggested as an alternative dustbathing substrate  
3770 for commercial housing and future work on the optimal level of enrichments should  
3771 include substrates compatible with intensive systems.

3772 One aim of this study was to consider whether providing large rectangular, steel  
3773 dustbathing areas along the central line of the house would be an appropriate method  
3774 of introducing a dustbathing enrichment to commercial housing. There was an

average of 58 birds in each peat dust bath, with approximately 73% of those birds dustbathing. This is a significantly larger number than those present and dustbathing in the rings of oat hulls described in Chapter 3. However, the total dustbathing area available for broilers was similar; 8.6 m<sup>2</sup> available with oat hull rings and 9.2 m<sup>2</sup> available with peat baths. With four large dustbathing rectangles of peat, there would be an average of 232 birds using the dustbathing areas, and 169 dustbathing (based on 73%). In Chapter 3, there was an average of 11 birds using each oat hulls dustbathing ring, and 24% of those dustbathing. With 9 rings placed around the house, this equates to around 99 birds in total at any one time in the rings, and 24 birds dustbathing. While the study in Chapter 2 has shown that peat is a more attractive dustbathing substrate than oat hulls, only 28% of broilers in peat rings were observed dustbathing at any one time. It is possible that the dustbathing area design may also have influenced the prevalence of dustbathing. It has been suggested that dustbathing is socially facilitated (Vestergaard et al., 1990; Duncan et al., 1998), and that the sight of a dustbathing bird stimulates dustbathing in other birds (Duncan et al., 1998). Chickens will synchronise their dustbathing to include the entire group, which may reduce the risk of individual predation during performance of this vulnerable behaviour (Wood-Gush, 1989, in Olsson et al., 2002; Lundberg and Keeling, 2003). Placing the dustbathing material in a larger area may have facilitated group dustbathing, with more broilers being stimulated to dustbathe by the sight of dustbathing conspecifics. However, several recent studies have failed to show that chickens will increase their dustbathing when presented with a dustbathing conspecific (Olsson et al., 2002), or that the social facilitation may be connected to rank (Lundberg and Keeling, 2003). It is also likely that the peat was a more successful dustbathing material and this result may not be repeated with oat hulls. Further research comparing large and small dustbathing areas would be needed in order to confirm any effect of social facilitation. In terms of practicality, these larger areas were less time-consuming to maintain and clean between cycles, and are likely to be a more practical design for commercial housing.

## 5.5 Conclusions

Disturbing the broilers and creating space appeared to be an effective method of stimulating frolicking and sparring, and may be a suitable method for investigating

3807 these behaviours further. Additional research into the normal levels of these  
3808 behaviours in commercial broiler housing would be valuable. The provision of dust  
3809 baths and platform perches at the level of provision in this study did not significantly  
3810 affect the amount of play performed, or the activity levels in unenriched areas of the  
3811 house. However, there was a reduction in apparent fearfulness observed when birds  
3812 were provided with both types of enrichment, compared to the barren control, which  
3813 suggests the enrichments may have had a positive effect on bird welfare. Providing  
3814 broilers with large steel bordered dustbathing areas was more successful in eliciting  
3815 dustbathing and a more practical method than distributing smaller rings, and may be  
3816 a suitable method for creating dustbathing areas in intensive housing. It is suggested  
3817 that the motivation for sparring should be carefully considered before classifying the  
3818 behaviour as aggression, and that more research is needed to determine whether play  
3819 behaviour would be a suitable measure of positive emotion in poultry.

3820

3821

## Chapter Six

### **The role of environmental enrichment in improving broiler chicken welfare**

In general, changes to animal welfare standards in the UK reflect societal concerns (Caporale et al., 2005; Vanhonacker and Verbeke, 2014), and the general public have shown a distaste for barren intensive housing (Vanhonacker et al., 2008; Verbeke, 2009). Retailers and broiler producers have responded to these concerns by developing alternative rearing systems. These systems contain environmental enrichments that aim to reduce the prevalence of painful conditions and provide broilers with an opportunity to express natural behaviours. There is currently a lack of research capable of providing evidence-based recommendations to producers and policy makers about optimal enrichments. New and innovative housing designs for laying hens are currently being developed in the EU. For example, government funded Dutch scientists proposed a new form of indoor laying hen housing that include transparent side areas for dustbathing, allowing hens to dustbathe and sunbathe in natural light on a substrate that is constantly refreshed by a conveyor belt (van Weeghel et al., 2016). Rondeel laying hen housing systems have now been in use in the Netherlands for 7 years (van Niekerk and Reuvekamp, 2011; Waninge, 2016). These adapted barn systems have day and night areas, access to natural light, dust baths of peat, a woodchip floor and a visitor tunnel for consumers to view the birds (van Niekerk and Reuvekamp, 2011). These systems are expensive to create and are dependent on a positive consumer response. Progress in broiler chicken “higher welfare” housing has been limited by a lower understanding of broiler welfare problems among the general public (EU Commission, 2000) and by broilers showing a lack of interest in enrichments currently provided (Rodriguez-Aurrekoetxea et al., 2015; Arnould et al., 2004). However there remains a demand in the UK for high welfare meat, and producers show an interest in further developing a competitive product for this market. In addition, broilers show a motivation to

3854 perform natural behaviours that are not accommodated for in barren housing, and  
3855 more complex environments may improve issues such as low activity levels and  
3856 poor leg health (Kells et al., 2001; Bizeray et al., 2002a; Ventura et al., 2010). This  
3857 thesis describes four studies performed in commercial broiler housing on the  
3858 effectiveness of various enrichments and enrichment combinations on broiler  
3859 behaviour, leg health, and affective state.

3860 Dustbathing is an adaptive behaviour in red junglefowl that has persisted in a similar  
3861 pattern in their domestic fowl descendants (Vestergaard et al., 1990; Schütz and  
3862 Jensen, 2001). A high motivation to dustbathe (de Jong et al., 2007; McGrath et al.,  
3863 2016), signs of frustration when thwarted (Vestergaard et al., 1997; Zimmerman et  
3864 al., 2000), and a rebound effect of substrate deprivation (Hughes and Duncan, 1988;  
3865 Vestergaard, 1982; Vestergaard et al., 1999) have been reported in laying hens.  
3866 There is a lack of similar research performed using broilers chickens, which have  
3867 diverged from laying hens in their genetics, behaviour and housing (Wise, 1970;  
3868 Bessei, 2006; Weeks et al., 2000; Lay et al., 2011). However, some authors have  
3869 argued that broilers show similar patterns of dustbathing and are likely to be  
3870 similarly motivated (Vestergaard and Sanotra, 1999). When housed in cages and  
3871 given temporary access to a dustbathing substrate, many broilers will perform  
3872 dustbathing every day (Stub and Vestergaard, 2001) or every other day (Vestergaard  
3873 and Sanotra, 1999). Small scale studies largely looking at substrate preferences have  
3874 shown that broilers will use a dustbathing material if offered, and identify sand as an  
3875 attractive substrate (Vestergaard and Sanotra, 1999; Shields et al., 2004; Toghyani et  
3876 al., 2010; Villagr  et al., 2014). However, dustbathing is often considered an  
3877 infrequent and unimportant behaviour in modern broilers (Murphy and Preston,  
3878 1988). Several laboratory studies have found that the percentage of broilers observed  
3879 dustbathing at any one time was extremely low, with an average of 0.2 – 1% (Weeks  
3880 et al., 2000; Kristensen et al., 2007; Alvino et al., 2009; Schwean-Lardner et al.,  
3881 2012a). A similar low prevalence has been reported at a commercial level in broilers  
3882 housed on woodshavings (0.18%; Bailie et al., 2013) and straw pellets (0.3-0.46%;  
3883 Bergmann et al., 2017). Therefore, a priority of Study 1 (Chapter 2) was to determine  
3884 whether broilers would use a dustbathing substrate if it was offered, and whether  
3885 they displayed any substrate preferences at a commercial level. While a preference  
3886 for peat as a dustbathing substrate has been demonstrated in laying hens (Petherick  
3887 and Duncan, 1989; de Jong et al., 2007), Study 1 appears to be the first experiment

3888 to show that broiler chickens find peat similarly attractive compared to other bedding  
3889 materials. This provided justification for its inclusion in Study 4 (Chapter 5), which  
3890 sought to provide optimal enrichments for broilers. During Study 1, a similarly low  
3891 prevalence of dustbathing was found in broilers using areas with litter (0.72%),  
3892 woodshavings (0.49%) and straw pellets (1.79%). However, those using alternative  
3893 substrates showed substantially higher levels of dustbathing, with 28% in peat  
3894 performing dustbathing, and 19% in oat hulls. This high level of dustbathing was  
3895 also seen in larger areas of peat in Study 4 (Chapter 5), with more birds observed  
3896 throughout the study and an average of 73% of them dustbathing at any one time.  
3897 These results suggest that low levels of dustbathing previously reported may have  
3898 been confounded by a lack of appropriate substrate. Broilers readily used peat and  
3899 oat hulls, and their levels of dustbathing increased as they aged in these substrates  
3900 but not in woodshavings, litter, or straw pellets (Study 1, Chapter 2).

3901 Demonstrating that commercially housed broilers will make use of a dustbathing  
3902 substrate when offered, and show substantially higher levels of dustbathing in  
3903 substrates that they are not typically bedded with, identifies a possible way in which  
3904 their welfare could be improved. Preventing frustration, which is considered to be a  
3905 form of suffering, associated with dustbathing deprivation would reduce an aspect of  
3906 negative welfare (Duncan, 2005). Widowski and Duncan (2000) argue that rather  
3907 than preventing suffering, dustbathing may be a self-rewarding opportunistic  
3908 behaviour that increases pleasure. Even if this is the case, providing a dustbathing  
3909 substrate to broilers would improve their quality of life and may act as an indicator  
3910 for positive welfare. While peat and sand appear to possess qualities that make them  
3911 attractive dustbathing substrates (Study 1; Shields et al., 2004), their use in  
3912 commercial housing is unlikely. Sand could not be included in Study 1 because it  
3913 would have interfered with the litter disposal process, and although peat is a common  
3914 bedding in other European countries (Kaukonen et al., 2017a,b), it is considered  
3915 environmentally unsustainable in the UK (Defra, 2010; The Guardian, 2012). In  
3916 Study 1 (Chapter 2), oat hulls were also tested as a potential dustbathing substrate,  
3917 and appear to be successful in promoting dustbathing and foraging in broilers. This  
3918 material would be safe for broilers (Hetland and Svihus, 2001) and would increase  
3919 the value of a farming by-product. Oat hulls may offer additional benefits to  
3920 producers by stimulating exercise in broilers, which may improve leg health (Reiter  
3921 and Bessei, 1995). No change in final body weight was noted and there was no

3922 increase in general activity levels observed in Study 3 (Chapter 4) when broilers  
3923 were provided with oat hulls or a combination of oat hulls and straw bales. However,  
3924 in both cases, provision of this dustbathing substrate led to an improvement in gait  
3925 score compared to an unenriched house. This suggests inclusion of a suitable  
3926 dustbathing area may improve leg health, which would improve broiler welfare by  
3927 reducing the pain and risk of death associated with leg disorders. Furthermore, a  
3928 reduction in leg culls would have financial implications for commercial producers,  
3929 and provision of a dustbathing substrate may be a competitive method of  
3930 discriminating their product as high welfare.

3931 Despite straw bales being an almost ubiquitous enrichment in higher welfare broiler  
3932 systems, there appears to be very little research exploring their use. Several studies  
3933 have found an inconsistent effect of straw bales on overall activity levels, due, in  
3934 part, to their substantial differences in methodology (Kells et al., 2001; Bailie et al.,  
3935 2013; Bailie and O’Connell, 2014; Bergmann et al., 2017). In particular, it is worth  
3936 noting the variety of enrichment bales that are currently supplied to higher welfare  
3937 housing (Photo 6). Kells et al. (2001) and Bergmann et al. (2017) observed farms  
3938 supplied with long-cut traditional straw bales (Photo 6), which are demolished  
3939 slowly by broilers or not at all. This allows high numbers of bales to be easily  
3940 maintained across the production cycle and gives broilers a stable area to perch on.  
3941 Only one study has looked exclusively at the effect of long-cut straw bales on broiler  
3942 behaviour (Kells et al., 2001). In their experiment, there was a significant reduction  
3943 in the amount of time broilers spent sitting and resting when housed with a high  
3944 density of these bales, compared to barren housing. Bergmann et al. (2017) also  
3945 found less lying behaviour in broilers reared with straw bales, however these birds  
3946 were also reared with a lower stocking density, access to an outdoor run, and  
3947 additional perches and pecking objects. Both authors found that broilers would  
3948 primarily use the bales as resting areas to cluster around (Kells et al., 2001;  
3949 Bergmann et al., 2017), with 51% of broilers observed resting around straw bales at  
3950 the beginning of the cycle (Bergmann et al., 2017). Recently, the use of plastic  
3951 wrapped short-cut straw bales in place of traditional long-cut bales has become  
3952 common (Photo 6), especially in Northern Ireland. These bales are considered by  
3953 some to be more biosecure and practical for commercial farms (personal  
3954 communication). The plastic on the bales is cut open, and broilers peck and scratch  
3955 at the straw until the bale collapses (Photo 6). The loose, dry chopped straw

improves litter condition in the immediate vicinity and farmers can leave the bales in wet areas for the broilers to “self-bed” (personal communication). Broilers readily dismantle these bales, especially in later weeks, suggesting they are an attractive and interactive enrichment. However, this rapid degradation results in an unstable platform for perching and means that there are few enrichment bales left by the end of the cycle. With a provision of 2 bales per 1 000 birds, which is higher than the RSPCA requirements (RSPCA, 2017a), farmers tend to place all bales in the house and cut them open in a staggered manner, or introduce a smaller number that are then replaced throughout the cycle (as described in Study 2, Chapter 3). No effect of these bales on activity levels has been found (Bailie et al., 2013), even when the level of provision was increased (Bailie and O’Connell, 2014). However, broilers latency to lie was longer when they were housed with bales, which could indicate an improvement in leg health (Bailie et al., 2013). There was a similar failure for plastic wrapped straw bales to influence activity levels in Study 2 (Chapter 3), and no effect of bale provision on gait score. This appears to be the first study to provide information on the behaviour of broilers directly around plastic-wrapped bales. Of the broilers observed within a 0.4 m area around the bale, 50% were sitting down or resting (Study 2, Chapter 3). This is consistent with levels of resting seen around traditional straw bales (Bergmann et al., 2017), and suggests they are equally suitable in this regard. Only 5% of broilers were observed pecking at and foraging around plastic-wrapped straw bales during Study 2, which is comparable with the pecking directed at long-cut straw bales (6%; Bergmann et al., 2017). However, in Study 3 (Chapter 4), there was an average of 310 pecks directed at two straw bales over a 10 minute focal period. All bales were also dismantled by the end of the study, suggesting their use as a pecking and scratching enrichment should not be overlooked. Overall, it appears that both long-cut straw bales and plastic wrapped straw bales offer attractive areas of protective cover that provide broilers with an area to rest. The levels of foraging and pecking around each bale type may be similar; however, it is difficult to draw direct comparisons between such varying studies. Natural light has been found to have a large influence over activity levels (Bailie et al., 2013), and was supplied to all broilers in Study 2 and 3, which may have reduced the impact of straw bales reported previously in non-windowed housing (Kells et al., 2001; Bergmann et al., 2017). However, the increase in activity levels seen with long-cut straw bale provision has yet to be repeated with plastic-wrapped bales, and a direct comparison of these enrichment types will be needed in



3991 order to make appropriate recommendations for bale enrichments. There also appear  
3992 to have been no studies performed on the use of Miscanthus bales, which are  
3993 similarly permitted under the RSPCA Assured standards (CIWF, 2013).

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**Photo 6.** Examples of the types of enrichment bales used in higher welfare broiler housing. The RSPCA require 1.5 straw (top) or Miscanthus (centre) bales per 1 000 chickens to be maintained at all times (photos reproduced with permission from Compassion in World Farming; CIWF, 2013). Short-cut plastic wrapped straw bales (bottom) are commonly used in enriched housing in Northern Ireland and were used in Study 2 and 3 (Chapter 3 and 4)

3995 Environmental enrichments are usually designed to satisfy particular motivations or  
3996 allow expression of a natural behaviour, for example perches are included solely to  
3997 encourage perching behaviour. However, a broader aim of enrichment is to improve  
3998 the complexity of the environment. This is often achieved by including a variety of  
3999 features to encourage exploration and activity (Newberry, 1999). As discussed, when  
4000 both straw bales and oat hulls were provided during Study 2 (Chapter 3), there was  
4001 an improvement in broiler walking ability and no negative effect on final body  
4002 weights. There was also no difference in the way the two enrichments were used  
4003 when provided together rather than individually (Study 2), but a large difference in  
4004 the behaviours performed with each enrichment. More foraging and dustbathing was  
4005 seen in oat hulls, while straw bales were primarily used for rest. This suggests  
4006 addition of both enrichments would satisfy separate motivations and allow for a  
4007 range of behavioural expression, without compromising production levels. If a  
4008 dustbathing material is to be introduced as a supplementary enrichment, then  
4009 identifying the most attractive way of presenting multiple enrichments will help  
4010 maintain a high level of use. This was explored in Study 3, in which straw bales, oat  
4011 hulls and a pecking chain enrichment were arranged in different combinations  
4012 around a commercial house (Chapter 4). It was predicted that creating complex areas  
4013 with multiple enrichments would attract more broilers and increase the overall use of  
4014 each enrichment. However, there was no difference in enrichment use when each  
4015 feature was presented singly compared to in various combinations with other  
4016 enrichments. The amount of sitting pecking was the only behaviour to be affected by  
4017 enrichment combination, with more seated pecking performed in oat hulls when  
4018 combined with pecking chain. It is difficult to clearly identify the cause for this,  
4019 visual contact with broilers pecking at the chains may have stimulated more pecking  
4020 in the oat hulls or encouraged more pre-dustbathing behaviour (Guy and Wright,  
4021 2003). There was a higher level of interest in a pecking enrichment than previously  
4022 reported (Arnould et al., 2004), and more research would be needed to clarify any  
4023 potential benefits for commercial housing. Practically, there were issues with  
4024 confining short-cut straw bales to a particular house section. There was a build-up of  
4025 dry straw in specific areas as bales were dismantled, and farmers were unable to use  
4026 bales as a way for broilers to “self-bed” wet patches of litter. Study 3 was a short  
4027 trial, and a starting point for future research, however there appeared to be no  
4028 obvious benefits to grouping enrichments together.

4029 The role that perches have in improving broiler housing is unclear. While poultry  
4030 show a strong motivation to perch and experience frustration when thwarted (Olsson  
4031 and Keeling, 2000; Olsson and Keeling, 2002b), broiler chickens are unable to make  
4032 use of normal perching opportunities. A high body weight and peripheral centre of  
4033 gravity has limited their ability to jump up and balance on single bars, which has led  
4034 to a low level of use of these perches (LeVan et al., 2000; Rodriguez-Aurrekoetxea  
4035 et al., 2015; Norring et al., 2016; Bergmann et al., 2017). Single bar perches are  
4036 often totally unoccupied in commercial housing and farmers tend to be dismissive of  
4037 their inclusion (personal communication). Recent studies have shown that broilers  
4038 will make use of elevated platforms, which provide them with a raised flat area that  
4039 requires little balancing (Norrning et al., 2016; Bailie et al., 2018). These platforms  
4040 are more attractive to broilers and may be a more appropriate method of enabling  
4041 their natural perching behaviour (Bailie et al., 2018). Satisfying a highly motivated  
4042 behaviour is likely to improve broiler mental well-being (Duncan, 1998), and the  
4043 inclusion of a feature that promotes natural behaviour will be appealing to consumers  
4044 (Verbeke, 2009). In addition, it has been suggested that introducing perches may  
4045 provide broilers with an opportunity to exercise, which could improve leg health,  
4046 walking ability and bone quality (Reiter and Bessei, 1995; Bizeray et al., 2002a;  
4047 Ventura et al., 2010). No support for this theory was found during Study 4 (Chapter  
4048 5), in which broilers were housed with either platform perches or platform perches  
4049 and dust baths. Broilers showed no increase in activity levels in these enriched  
4050 conditions compared to a barren control, which is consistent with previous work  
4051 showing no improvements in walking ability when commercially housed broilers  
4052 were provided with bar perches (Bailie and O'Connell, 2015). Measures of gait  
4053 score, severity of leg deformities, footpad dermatitis and production parameters were  
4054 also taken during Study 4 and have been reported elsewhere (Bailie et al., 2018).  
4055 There appeared to be no beneficial effect of providing platform perches on any of  
4056 these additional leg health measures (Bailie et al., 2018), which offers conflicting  
4057 results to a recent Finnish study. In this study (Kaukonen et al., 2017a), the authors  
4058 report a significant improvement in gait score and the incidence and severity of tibial  
4059 dyschondroplasia when broilers were housed with platforms. This inconsistency is  
4060 likely to be due to the substantial differences between these two studies. The  
4061 commercial houses used by Kaukonen et al. (2017a) were significantly smaller (floor  
4062 area of 337 to 797 m<sup>2</sup> compared to 1 361 m<sup>2</sup> in Study 4) and housed fewer birds (5  
4063 016 to 13 947 compared to 22 500 in Study 4), but had higher stocking densities (36

4064 to 43 kg/m<sup>2</sup> compared to 30 kg/m<sup>2</sup> in Study 4). Their broilers were also raised  
4065 entirely on peat rather than woodshavings, as is the norm on Finnish farms  
4066 (Kaukonen et al., 2017a). Most importantly, their raised platforms covered 10% of  
4067 the floor area available and birds could use space under the platforms, while in Study  
4068 4 the total area of platforms represented less than 1% of the total floor area (2.07 m<sup>2</sup>  
4069 per platform, with 6 platforms) and broilers were not able to walk underneath them.  
4070 Any one of, or a combination of, these factors may have led to the improvement in  
4071 leg health not seen in Study 4 (Chapter 5), and more research looking at different  
4072 designs and particularly at different levels of perch provision will be needed.

4073 It has been widely accepted that animals are sentient creatures and ‘experience’ their  
4074 physical state and environment in some way (Duncan, 2006). Although welfare  
4075 measures are commonly resource-based or dependent on physical health, a separate  
4076 recognition of an animal’s cognitive needs is common in definitions of welfare.  
4077 Indeed, Duncan and Petherick (1991) argue that animal welfare should depend solely  
4078 on the animal’s mental state, because if its psychological needs are met then this will  
4079 generally cover its physical needs, i.e. if an animal ‘feels’ well then it is likely to be  
4080 physically well. Studies that draw conclusions about broilers mental experience are  
4081 almost exclusively related to negative welfare parameters, often measured through  
4082 inference depending on their physical health and related behaviours. For example,  
4083 leg disorders are assumed to be painful, and broiler walking ability or the presence of  
4084 infection can be used to imply level of suffering (Weeks et al., 2000; Bradshaw et  
4085 al., 2002; Gentle, 2011). Fearfulness and frustration, resulting from exposure to  
4086 negative stimuli or lack of resources, can also be assessed using behavioural  
4087 measures (Jones, 1996; Duncan, 1998; Olsson and Keeling, 2000; McGrath et al.,  
4088 2016). The assumption of these methods is that a lack of response or a reduction in  
4089 the severity of response will indicate the absence of suffering or an improvement in  
4090 welfare, respectively. In Study 4 (Chapter 5), a reduction in fear response was  
4091 observed in broilers housed with dust baths and platform perches, compared to those  
4092 in the barren control. Environmental enrichment has previously been shown to  
4093 reduce fearfulness in a range of species, possibly by preventing animals from always  
4094 associating novelty with danger (Jones, 1996). When laying hens are provided with a  
4095 more complex environment they show a range of behaviours associated with reduced  
4096 fear, including attenuated avoidance of an observer (Jones and Waddington, 1992)  
4097 and reduced reactivity during depopulation (Reed et al., 1993). Although the adapted

avoidance tests used in Study 4 were only performed in one week and should be interpreted with care, it is possible that environmental enrichment reduced this measure of suffering. However, the main purpose of Study 4 was to explore whether environmental enrichment would provide broilers with an element of positive welfare, by inducing positive affective states and pleasure. Focusing on providing animals with a good quality of life rather than reducing their level of suffering has gained traction recently (FAWC, 2009; Wathes, 2010), however little progress has been made in poultry and there are no clear indicators of positive welfare in broilers. Play is considered to be a self-rewarding “opportunity behaviour” and a generally reliable indicator of positive welfare in other animals (Burghardt et al., 2005; Špinka et al., 2001). It was argued during Chapter 1 (1.6), that sparring and frolicking behaviours displayed by domestic fowl resemble forms of play, and that these may be useful in further investigating broiler emotional state. Levels of sparring are typically low in broilers (Weeks et al., 2000; Pettit-Riley et al, 2002), probably due to an overall reduced motivation to perform active behaviours. However, a novel method of stimulating frolicking and sparring was developed in Study 4 (Chapter 5). A walk-through by an observer created an open space among broilers and caused a physical (but not severely frightening) disturbance, which stimulated sparring and frolicking behaviours in 93% of tests. This method may prove useful in further investigating the motivation and welfare associations of these behaviours. Although no conclusions can be drawn within this study about the self-rewarding nature of frolicking or the relationship between aggression and sparring, both behaviours appeared to be stimulated by factors that promote play in other species (Špinka et al., 2001). However, levels of frolicking and sparring were not significantly influenced by provision of dust baths of peat and/or platform perches (Study 4; Chapter 5). This was contrary to our prediction that environmental enrichment would increase this measure of positive welfare. It may be concluded that play is a poor indicator of positive welfare, or that the environmental enrichment provided was not sufficient to induce positive welfare. Nevertheless, as broilers were more fearful and tended to perform less play-like behaviours when housed in barren conditions, it is possible that environmental enrichment is capable of influencing broiler mental state independent of their physical abilities. A recent report on broiler welfare found that there were no peer reviewed articles available on the importance of play behaviour and sunbathing in young broilers (Hoeks et al., 2011). The Welfare Quality assessment protocol for broilers include two measures designed to assess broiler

4133 mental well-being; avoidance testing, which has been only validated with laying  
4134 hens, and qualitative behaviour assessment (QBA; Welfare Quality, 2009). It is clear  
4135 that research into positive welfare is in its infancy for poultry. While broilers will  
4136 make use of attractive environmental enrichments when offered, future studies are  
4137 likely to give interesting insight into broiler emotional state and whether these  
4138 additions will be capable of providing intensively farmed broilers with a “life worth  
4139 living”.

## 4140 **General Conclusions**

4141 Broiler chickens will make use of an attractive dustbathing substrate in commercial  
4142 housing and continue to dustbathe throughout the production cycle (Study 1 and 2).  
4143 In agreement with previous research involving laying hens (Petherick and Duncan,  
4144 1989), broiler chickens show a preference for moss-peat over other friable materials  
4145 for foraging and dustbathing (Study 1). Broilers also appeared to identify oat hulls as  
4146 a dustbathing substrate, with significantly more dustbathing performed in oat hulls  
4147 compared to standard litter, woodshavings and straw pellets (Study 1). This material  
4148 is a by-product of oat milling and may be suitable for inclusion in commercial  
4149 housing. In addition, provision of dust baths of oat hulls, both individually and in  
4150 combination with straw bales, resulted in an improvement in broiler gait score  
4151 compared to the barren control (Study 2). This suggests that a dustbathing  
4152 enrichment may function to encourage exercise and improve leg health, in addition  
4153 to allowing expression of a natural behaviour. However, no increase in activity levels  
4154 was seen in unenriched areas of the house when broilers were provided with dust  
4155 baths (Study 2 and 4) or with platform perches (Study 4), and indeed there was a  
4156 counterintuitive reduction in activity in some enriched conditions (Study 2). This  
4157 demonstrates the difficulties involved in increasing overall house activity, and may  
4158 result from a low density of enrichments or from broilers using unenriched areas  
4159 primarily for rest.

4160 A direct comparison of oat hulls and plastic-wrapped straw bales found that bales  
4161 tend to be used as protected areas for rest, while oat hulls attracted relatively high  
4162 amounts of dustbathing and foraging (Study 2). It is therefore suggested that oat  
4163 hulls may satisfy a separate motivation to straw bales and could be introduced as a  
4164 supplementary enrichment. However, there appear to be no benefits to grouping

4165 straw bales and oat hulls together into “enrichment areas”, and practical benefits to  
4166 presenting them separately (Study 3). Similarly, presenting a pecking chain  
4167 individually compared to in various combinations with oat hulls and straw bales did  
4168 not influence its level of use, although there was an unexpectedly high overall  
4169 interest in the pecking enrichment (Study 3). Frolicking and sparring, which are  
4170 behaviours that resemble play, were successfully stimulated by an observer walk-  
4171 through that created a physical disturbance and area of open space (Study 4).  
4172 Contrary to our prediction, broilers housed with dust baths of peat and platform  
4173 perches did not show significantly more of these play behaviours, although less of  
4174 both were observed in the barren control housing (Study 4). The enriched housing  
4175 did however appear to result in less fearfulness, which may indicate an improvement  
4176 in emotional state.

4177 This research has shown that providing a dustbathing substrate to broiler chickens  
4178 may have multiple benefits, including improving leg health, reducing fearfulness and  
4179 allowing the expression of a natural behaviour. Additional commercial scale research  
4180 with varying levels of enrichment provision will be needed to further explore these  
4181 findings. In addition, the existence of possible play behaviours in poultry has been  
4182 discussed, and a novel way of stimulating frolicking and sparring has been outlined.  
4183 Research into positive welfare indicators in poultry is sparse, and these ideas may be  
4184 useful in future investigations of broiler mental state.

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